

### STAP guidelines for screening GEF projects

<b>Part I: Project Information</b>	<b>Response</b>	
<b>GEF ID</b>	10539	
<b>Project Title</b>	Sustainable Forest and Forest Land Management in Viet Nam's Ba River Basin Landscape	
<b>Date of Screening</b>	May 26, 2020	
<b>STAP member screener</b>	Saleem H. Ali	
<b>STAP secretariat screener</b>	Guadalupe Duron	
<b>STAP Overall Assessment and Rating</b>	<p><b>Concur</b></p> <p>STAP welcomes UNDP's proposal "Sustainable Forest and Forest Land Management in Viet Nam's Ba River Basin Landscape". The proposal builds on a range of UNDP landscape management projects in this region and has been well-developed by the lead agency.</p> <p>Most of the STAP guidelines have been followed with reference to global environmental benefits, risk screening, theory of change and multi-stakeholder engagement.</p> <p>Perhaps the proponents may consider the following open source article in terms of projected change in the region's forests that could be valuable in their project adaptation forecasts:</p> <p><a href="https://www.nature.com/articles/s41467-019-09646-4">https://www.nature.com/articles/s41467-019-09646-4</a></p>	
<b>Part I: Project Information</b> <b>B. Indicative Project Description Summary</b>	<b>What STAP looks for</b>	<b>Response</b>

Project Objective	Is the objective clearly defined, and consistently related to the problem diagnosis?	Yes
Project components	A brief description of the planned activities. Do these support the project's objectives?	Provided with detail and building on earlier work undertaken
Outcomes	A description of the expected short-term and medium-term effects of an intervention. Do the planned outcomes encompass important global environmental benefits/adaptation benefits?	Yes
	Are the global environmental benefits/adaptation benefits likely to be generated?	Yes – these are particularly so in the case of carbon sequestration.
Outputs	A description of the products and services which are expected to result from the project. Is the sum of the outputs likely to contribute to the outcomes?	Yes provided in detail
<b>Part II: Project justification</b>	A simple narrative explaining the project's logic, i.e. a theory of change.	Adequate description provided even though specific section or diagram was not included. This is noted as being part of the project development phase.
<b>1. Project description.</b> <b>Briefly describe:</b> 1) the global environmental and/or adaptation problems, root causes and barriers that need to be addressed (systems description)	Is the problem statement well-defined?	Yes
	Are the barriers and threats well described, and substantiated by data and references?	Indirectly noted
	For multiple focal area projects: does the problem statement and analysis identify the drivers of environmental degradation which need to be	Yes – this is provided in detail

	addressed through multiple focal areas; and is the objective well-defined, and can it only be supported by integrating two, or more focal areas objectives or programs?	
2) the baseline scenario or any associated baseline projects	Is the baseline identified clearly?	Yes – very detailed overview of baselines.
	Does it provide a feasible basis for quantifying the project's benefits?	Yes
	Is the baseline sufficiently robust to support the incremental (additional cost) reasoning for the project?	Yes
	For multiple focal area projects:	
	are the multiple baseline analyses presented (supported by data and references), and the multiple benefits specified, including the proposed indicators;	Yes
	are the lessons learned from similar or related past GEF and non-GEF interventions described; and	Yes
	how did these lessons inform the design of this project?	Referenced and noted
3) the proposed alternative scenario with a brief description of expected outcomes and components of the project	What is the theory of change?	Theory of change is referenced a few times and the project narrative is adequate in coverage. This is noted as being part of the project development phase.
	What is the sequence of events (required or expected) that will lead to the desired outcomes?	To be developed in first phase of project
	What is the set of linked activities, outputs, and outcomes to address the project's objectives?	Described
	Are the mechanisms of change plausible, and is there a well-informed identification of the underlying assumptions?	Yes

	Is there a recognition of what adaptations may be required during project implementation to respond to changing conditions in pursuit of the targeted outcomes?	Yes
5) incremental/additional cost reasoning and expected contributions from the baseline, the GEF trust fund, LDCF, SCCF, and co-financing	GEF trust fund: will the proposed incremental activities lead to the delivery of global environmental benefits?	Yes
	LDCF/SCCF: will the proposed incremental activities lead to adaptation which reduces vulnerability, builds adaptive capacity, and increases resilience to climate change?	
6) global environmental benefits (GEF trust fund) and/or adaptation benefits (LDCF/SCCF)	Are the benefits truly global environmental benefits/adaptation benefits, and are they measurable?	Yes – these are provided in detail.
	Is the scale of projected benefits both plausible and compelling in relation to the proposed investment?	Yes
	Are the global environmental benefits/adaptation benefits explicitly defined?	Yes
	Are indicators, or methodologies, provided to demonstrate how the global environmental benefits/adaptation benefits will be measured and monitored during project implementation?	Yes
	What activities will be implemented to increase the project's resilience to climate change?	Other donor projects, particularly with ADB are noted in this area but a clearer climate risk screening could be provided in Phase 1.
7) innovative, sustainability and potential for scaling-up	Is the project innovative, for example, in its design, method of financing, technology, business model, policy, monitoring and evaluation, or learning?	Real-time remote sensing is presented as an innovation.

	Is there a clearly-articulated vision of how the innovation will be scaled-up, for example, over time, across geographies, among institutional actors?	Yes
	Will incremental adaptation be required, or more fundamental transformational change to achieve long term sustainability?	Possibly
<b>1b. Project Map and Coordinates.</b> Please provide geo-referenced information and map where the project interventions will take place.		Yes
<b>2. Stakeholders.</b> Select the stakeholders that have participated in consultations during the project identification phase: Indigenous people and local communities; Civil society organizations; Private sector entities. If none of the above, please explain why. In addition, provide indicative information on how stakeholders, including civil society and indigenous peoples, will be engaged in the project preparation, and their respective roles and means of engagement.	Have all the key relevant stakeholders been identified to cover the complexity of the problem, and project implementation barriers?	Yes - noted

	What are the stakeholders' roles, and how will their combined roles contribute to robust project design, to achieving global environmental outcomes, and to lessons learned and knowledge?	Good stakeholder analysis provided in a detailed table which also includes indigenous peoples.
<b>3. Gender Equality and Women's Empowerment.</b> Please briefly include below any gender dimensions relevant to the project, and any plans to address gender in project design (e.g. gender analysis). Does the project expect to include any gender-responsive measures to address gender gaps or promote gender equality and women empowerment? Yes/no/ tbd. If possible, indicate in which results area(s) the project is expected to contribute to gender equality: access to and control over resources; participation and decision-making; and/or economic benefits or services. Will the project's results framework or logical framework include gender-sensitive indicators? yes/no /tbd	Have gender differentiated risks and opportunities been identified, and were preliminary response measures described that would address these differences?	There is a detailed section on gender empowerment with good background information as well as a clear 50% training target for women.

	Do gender considerations hinder full participation of an important stakeholder group (or groups)? If so, how will these obstacles be addressed?	Possibly could
<b>5. Risks.</b> Indicate risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved, and, if possible, propose measures that address these risks to be further developed during the project design	<p>Are the identified risks valid and comprehensive? Are the risks specifically for things outside the project's control?</p> <p>Are there social and environmental risks which could affect the project?</p> <p>For climate risk, and climate resilience measures:</p> <ul style="list-style-type: none"> <li>• How will the project's objectives or outputs be affected by climate risks over the period 2020 to 2050, and have the impact of these risks been addressed adequately?</li> <li>• Has the sensitivity to climate change, and its impacts, been assessed?</li> <li>• Have resilience practices and measures to address projected climate risks and impacts been considered? How will these be dealt with?</li> <li>• What technical and institutional capacity, and information, will be needed to address climate risks and resilience enhancement measures?</li> </ul>	Excellent risk analysis provided
<b>6. Coordination.</b> Outline the coordination with other relevant GEF-financed and other related initiatives	Are the project proponents tapping into relevant knowledge and learning generated by other projects, including GEF projects?	UNDP coordination with ADB and other donor projects noted.
	Is there adequate recognition of previous projects and the learning derived from them?	Partially
	Have specific lessons learned from previous projects been cited?	Partially
	How have these lessons informed the project's formulation?	Yes

	Is there an adequate mechanism to feed the lessons learned from earlier projects into this project, and to share lessons learned from it into future projects?	Yes
<b>8. Knowledge management.</b> Outline the “Knowledge Management Approach” for the project, and how it will contribute to the project’s overall impact, including plans to learn from relevant projects, initiatives and evaluations.	What overall approach will be taken, and what knowledge management indicators and metrics will be used?	Noted as part of Component 3 of project
	What plans are proposed for sharing, disseminating and scaling-up results, lessons and experience?	Using existing UNDP mechanisms.



## Notes

STAP advisory response	Brief explanation of advisory response and action proposed
<b>1. Concur</b>	STAP acknowledges that on scientific or technical grounds the concept has merit. The proponent is invited to approach STAP for advice at any time during the development of the project brief prior to submission for CEO endorsement.
	* In cases where the STAP acknowledges the project has merit on scientific and technical grounds, the STAP will recognize this in the screen by stating that <b><i>“STAP is satisfied with the scientific and technical quality of the proposal and encourages the proponent to develop it with same rigor. At any time during the development of the project, the proponent is invited to approach STAP to consult on the design.”</i></b>
<b>2. Minor issues to be considered during project design</b>	STAP has identified specific scientific /technical suggestions or opportunities that should be discussed with the project proponent as early as possible during development of the project brief. The proponent may wish to:
	(i) Open a dialogue with STAP regarding the technical and/or scientific issues raised;
	(ii) Set a review point at an early stage during project development, and possibly agreeing to terms of reference for an independent expert to be appointed to conduct this review.
	The proponent should provide a report of the action agreed and taken, at the time of submission of the full project brief for CEO endorsement.

<b>3. Major issues to be considered during project design</b>	<p>STAP proposes significant improvements or has concerns on the grounds of specified major scientific/technical methodological issues, barriers, or omissions in the project concept. If STAP provides this advisory response, a full explanation would also be provided. The proponent is strongly encouraged to:</p>
	<p>(i) Open a dialogue with STAP regarding the technical and/or scientific issues raised; (ii) Set a review point at an early stage during project development including an independent expert as required. The proponent should provide a report of the action agreed and taken, at the time of submission of the full project brief for CEO endorsement.</p>