

Integrated Agro-ecosystem Approach for enhancing Livelihoods and Climate Resilience in Tuvalu

Review PIF and Make a recommendation

Basic project information

GEF ID

10517

Countries

Tuvalu

Project Name

Integrated Agro-ecosystem Approach for enhancing Livelihoods and Climate Resilience in Tuvalu

Agencies

FAO

Date received by PM

3/19/2020

Review completed by PM

4/14/2020

Program Manager

Asha Bobb-Semple

Focal Area

Land Degradation

Project Type

FSP

PIF

Part I – Project Information

Focal area elements

1. Is the project/program aligned with the relevant GEF focal area elements in Table A, as defined by the GEF 7 Programming Directions?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

We note that the project is developed under the LD.1.4 objective on integrated landscapes. However, due to the importance placed of capacity building of key institutions for SLM and LDN, we suggest also including the LD2.5 Objective to create the enabling environment to support LDN.

4/10/2020:

Cleared.

Agency Response Thank you for your feedback. LD.2.5 objective has been added.

Indicative project/program description summary

2. Are the components in Table B and as described in the PIF sound, appropriate, and sufficiently clear to achieve the project/program objectives and the core indicators?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully. Please address the following questions/queries:

- - Please clarify the potential 'women centric' value chains?
- - Please include the estimate for carbon sequestered
- - Please include the number of potential beneficiaries or small holder farmers for Components 1 & 2.
- - The project indicates 650 ha of terrestrial areas under SLM, and 150 ha of restored lands. However, there is no information about the nature of activities to make the distinction between SLM and land restoration. Please, clarify or indicate what specific activities will be engaged for SLM and what restoration activities will be undertaken?

4/10/2020:

Cleared.

4

Agency Response

Women centric value chains: Women play an important role in agroforestry based value chains, this refers to both handicraft products like woven mats, and other products (both domestic market oriented and potentially export oriented) like copra, noni juice, coconut virgin oil, red toddy and millionaire salads. As mentioned under the barriers these value chains at this point suffer from various shortcomings that affect their efficacy and economic viability to local communities. The analysis to be conducted during the PPG will guide us in terms of identifying the value chains to be targeted by the project (the analysis will include a distinct focus on value chains' potential to benefit women in Tuvalu). Text has been added under component description.

The indirect GHG emissions impact estimate has been included.

Number of potential beneficiaries/small holders for Components 1 and 2 has been added.

SLM and Restoration Activities: The restoration activities will be a combination of assisted natural regeneration and replanting (this has been added under Component 2). The rest of activities (soil and water management, and agroforestry practices) will be SLM oriented.

Co-financing

3. Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines, with a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes. However can you clarify why the grant funding provided by Live & Learn and GCF is classified as recurrent expenditure?

4/10/2020:

Cleared

Agency Response

The classification has been updated.

GEF Resource Availability

4. Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):

Secretariat Comment at PIF/Work Program Inclusion

3.24/2020:

Yes

Agency Response

The STAR allocation?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes

Agency Response

The focal area allocation?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes. Tuvalu has applied their flexibility option to supplement the LD focal area funding.

Agency Response

The LDCF under the principle of equitable access

Secretariat Comment at PIF/Work Program Inclusion n/a

Agency Response

The SCCF (Adaptation or Technology Transfer)?

Secretariat Comment at PIF/Work Program Inclusion n/a

Agency Response

Focal area set-aside?

Secretariat Comment at PIF/Work Program Inclusion n/a

Agency Response

Impact Program Incentive?

Secretariat Comment at PIF/Work Program Inclusion n/a

Agency Response

Project Preparation Grant

5. Is PPG requested in Table E within the allowable cap? Has an exception (e.g. for regional projects) been sufficiently substantiated? (not applicable to PFD)

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes

Agency Response

Core indicators

6. Are the identified core indicators in Table F calculated using the methodology included in the correspondent Guidelines? (GEF/C.54/11/Rev.01)

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully

-Please include the indirect CO2 emissions.

-Please clarify how the number of direct beneficiaries were derived.

4/10/2020:

Not fully.

-Please correct the sub-indicator for CO2 emissions. Given the nature of the project, sub-indicator 6.1 should be completed.

4/14/2020:

Cleared

Agency Response

The indirect CO2 emissions impact estimate has been included.

The number of beneficiaries were derived using the national census and correlation with the indicative locations to be targeted by the project. This estimation will be clarified and confirmed during the PPG phase.

RE: 10 Apr - sub-indicator has been updated under 6.1.

Project/Program taxonomy

7. Is the project/ program properly tagged with the appropriate keywords as requested in Table G?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

Please review the taxonomy and consider additional possible options such as - climate resilience/adaptation; private sector, value chains, among others.

4/10/2020:

Cleared.

Agency Response The taxonomy has been updated by including climate resilience/adaptation and private sector.

Part II – Project Justification

1. Has the project/program described the global environmental / adaptation problems, including the root causes and barriers that need to be addressed?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

-We would like to see more in the analysis related to integration at the local and national levels in keeping with the vision on integration as demonstrated in the UNCCD NAP.

-In reference to market access and value-addition, please provide additional details on the areas that require further strengthening.

4/10/2020:

Not fully.

It is not clear where in the Portal PIF submission, the challenges of market access and value addition have been included. Please insert.

4/14/2020:

Thank you for the additional information on the value chain strengthening. At PPG please provide additional details in the project document on the challenges related to value chain development that the project is in turn seeking to address.

Agency Response

Additional text has been added. A barrier has been added on lack of sectoral coordination/integration and an additional output on creation of a multi-sectoral and multi-stakeholder forum on coordinating efforts to achieve LDN has been added as well.

Additional text has been added. In terms of market access, there are products like coconut virgin oil and noni juice that can be marketed regionally (this can be achieved through the existing trading agreements like Pacific Island Countries Trade Agreement- PICTA), and there are niche products like red toddy and millionaire salads that can be exported widely (where demands exist for these products among the Pacific community), for example, USA is a potential market (this can be facilitated through Tuvalu's Treaty of Friendship with the USA). With regards to value-addition, this refers to improved primary production, primary processing and secondary processing techniques. These processes at this point are inadequate in terms of both efficiency and quality. For example, red toddy production is limited because of lack of knowledge/poor technique in terms of properly storing the sap (primary processing part of the value chain), similarly, export of millionaire salads are limited as there is no capacity to properly store and package the products (secondary processing) for export.

RE: 10 Apr – Updates have been made under the Output 2.1.2. Agroecosystem management plans implemented

2. Is the baseline scenario or any associated baseline projects appropriately described?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

We note the inclusion of the GEF Project ID #5550 (“Implementing ‘Ridge to Reef’ approach to protect biodiversity and ecosystem functions in Tuvalu -Tuvalu R2R Project). However, we note the absence of projects from the LDCF portfolio (GEF ID 3694-Increasing Resilience of Coastal Areas and Community Settlements to Climate Change; GEF ID 9512- Climate Resilience in the Outer Islands of Tuvalu) which could also serve as useful baselines in terms of resilience. Please include brief information in the PIF and ensure more detailed plans for coordination are outlined during the PPG phase to avoid duplication.

4/10/2020:

Thank you. Cleared.

Agency Response

GEF ID 3694- Reference to this project has been added.

GEF ID 9512- This project was an infrastructure focused project, with very limited relevance to this project.

Text has also been added under KM explaining how efforts will be undertaken to coordinate with the past/ongoing projects to capture lessons learnt and avoid any duplication.

3. Does the proposed alternative scenario describe the expected outcomes and components of the project/program?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully. Please address the comments below.

-The project is organized around the Ministry of Agriculture, but the NAP under UNCCD is developed under a vision of integration both at central and local levels. We would like to see this notion of integration more visible in the analysis, the capacity development strategy and the result framework.

-We would also like to see a brief theory of change using the UNCCD NAP and the Tuvalu National Agriculture Sector Plan 2016-2023, proposing pathways to LDN, integration and SLM on the ground. During the PPG we expect the ToC to be further developed and we suggest to make use of the LDN TPP tool as a guide develop LDN aspects of the project.

Component 1

-How will the challenge of insufficient existing data and knowledge and data sharing protocols be addressed in order to implement the reforms outlined in this component?

-How will the project ensure that mechanisms are in place for the data to be consistently updated for future planning purposes?

-Given the output to review all relevant policies and the integrated nature of the project. Are there plans to review any biodiversity or climate related policies so that they are in line with that agro-ecosystem approach? Overall more effort on the integration aspects would be welcome in the institutional activities

-We recommend reinforced and strengthened engagement with local farmers cooperatives in terms of training for new approaches as well as possible engagement of the Pacific Islands (Pacific Islands Farmer Network) and not solely the farmer extension services.

Component 2

- The project does not make reference to engagement with farmer organizations. We suggest taking lessons from other projects in the region (beyond the GEF as IFAD and EU projects for instance) and/or please see and refer to the work from PIFON in other Pacific Islands (Pacific Islands Farmer Network).

-Please indicate how the nurseries will be maintained.

-How does this component address the barrier on inadequate land use planning on traditionally owned lands (as was referenced in Section 1)

-Please indicate how the project will address other considerations for value chain development which can also assist with scale, such as access to finance?

Component 3

-Are there plans to institutionalize the monitoring and reporting mechanisms outlined in Output 3.1.2 so that they can be used after the project has ended and in particular to assist with preparation of Action Plans and reports under the UNCCCD? We also recommend to explore using monitoring and reporting tools which have been developed by (e.g. Trends Earth) and make use of free/open software where possible. You may also explore utilizing WOCAT which may have examples of SLM interventions that have worked in your context.

4/10/2020:

Not fully.

-While the Theory of Change as written makes reference to Tuvalu's UNCCD NAP and the National Agriculture Plan (2016-2023) it makes no reference to the integrated agro-ecosystem approach that the project is utilizing nor LDN. It also makes reference to incorrect Outcomes (eg. Outcome 3.1 and 3.2, 4.1, 4.2, 4.3). Please revise.

Outputs 1.1.3 and 1.1.4- Please indicate which specific sectors are being targeted. This information is important given the integrated nature of the project.

4/14/2020:

Cleared.

Agency Response

The capacity development part of the Component 1 includes all relevant sectors. This is referenced in both the barrier and component description part of the PIF (highlighted in yellow). The trainings will target all policy level/management level staff in all relevant sectors to ensure the knowledge and understanding towards driving Tuvalu to LDN is integrated across sectors. Also, an additional barrier and corresponding output has been added to address the need for inter-sectoral coordination and integration.

- A brief Theory of Change has been added.

Component 1:

- The challenge of insufficient existing data and knowledge will be addressed through two measures: 1. Through the analysis and assessments to be conducted during the PPG phase and 2. Activities under Output 3.1.2
- Data sharing protocols will be established under Output 3.1.2
- The regular monitoring process will be institutionalized under the Department of Agriculture. This will be carried out under the Output 3.1.2.
- As mentioned under the indicators for Outcome 1.1, all relevant policies (including biodiversity and climate related policies) will be reviewed. Integration aspects have addressed as explained above.
- Farmer associations will be engaged throughout the project design and implementation. Refer below.

Component 2:

- The project will engage with the farmer associations present in the project islands. Component description now includes reference to the farmer associations (farmer associations have been added in the stakeholder table as well). Farmer associations will play a key role in coordinating and mobilizing the smallholders for training, planning and subsequently the implementation of field level practices.
- Nurseries staff will be trained in maintenance and production of seedlings (this aspect has been added under the Results Framework). In terms of funds for nursery management after the end of project, this will come from the Department of Agriculture's yearly corporate plan and budget.
- Output 2.1.1 will develop participatory integrated and whole island agro-ecosystem management plans. This will address the barrier on inadequate land use planning. As explained under the component description (highlighted in yellow), the plans will be prepared with full involvement of the community elders (Kaupules/Falekaupules- traditional assemblies)
- One of the areas identified for value chain strengthening is improved access to finance, this along with forming market linkages regionally- with neighboring countries, especially for products like noni juice and coconut virgin oil, will aid in achieving scale (please refer to the response related to value chains under Q1).

Component 3:

- The monitoring and reporting mechanisms outlined in Output 3.1.2 will be institutionalized under the Department of Agriculture; this will include setting up the systems and providing the appropriate training aids. We also take note of your recommendations on monitoring and reporting tools, this will be explored thoroughly during the PPG phase.

RE: 10 Apr - Reference to the project approach and LDN has been added under the Theory of Change section.

Earlier, under the Theory of Change, the project components' alignment to the Outcomes under the National Agriculture Plan (Appendix 1 of the document) was described, this has been slightly revised to avoid any confusion.

Output 1.1.3 and 1.1.4- The line Ministries targeted will be the Ministry of Home Affairs and Agriculture , Ministry of Public Works, Infrastructure, Environment, Labour, Meteorology and Disaster, Ministry of Fisheries and Trade, Ministry for Transport, Energy & Tourism, and Ministry for Health, Social Welfare & Gender. Additional text has been added under the component description.

4. Is the project/program aligned with focal area and/or Impact Program strategies?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes

Agency Response

5. Is the incremental / additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Please note comments under question 3.

Agency Response

6. Are the project's/program's indicative targeted contributions to global environmental benefits (measured through core indicators) reasonable and achievable? Or for adaptation benefits?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes.

4/10/2020:

Given the selection of '1' for the Rio Marker on Climate Change Adaptation, please provide brief details on the expected adaptation and resilience benefits. We expect further information at the CEO Endorsement Stage.

4/14/2020:

Cleared. Please include additional details on the adaptation benefits at the CEO Endorsement stage.

Agency Response

RE: 10 Apr – Clarification has been added under the section 6 adaptation benefit.

7. Is there potential for innovation, sustainability and scaling up in this project?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

Sustainability: How will the training on the agro-ecosystem approaches be institutionalized? What are the plans to ensure the maintenance of the data collected for the process of monitoring of LDN targets? How will the support for value chain development be maintained?

The geo-referenced information has been uploaded as a separate document.

4/10/2020:

Thank you. During the PPG phase we recommend consideration for i) inclusion of these approaches and practices in curriculum at tertiary level educational institutions/training colleges ii) ensure that the maintenance of data collection and monitoring is budgeted in the relevant Ministry or government agency who will house and maintain the data after project financing has ended; iii) details on how the value chain development will continue to be supported either through additional financing or government led initiatives.

4/14/2020:

Thank you for the additional information. At CEO Endorsement we expect further consideration on i) ensuring that the maintenance of data collection and monitoring is budgeted in the relevant Ministry or government agency who will house and maintain the data after project financing has ended; ii) how the value chain development will continue to be supported either through additional financing or government led initiatives.

Agency Response

Trainings will be institutionalized by the Ministry with the project's help through the preparation of manuals targeting different audience, for example, separate manuals will be prepared for policy level staff, extension staff, nursery staff and community level transfer of practices). These manuals will be formally adopted by the Ministry for use in future training programs.

Output 3.1.2 will include setting up the systems and delivering required training aids for maintenance of data and continued monitoring.

The efforts of the project will be aimed at supporting and strengthening the value chains in order for the value chains to be market oriented and self-sustaining. The value chains will have ongoing support from the Department of Agriculture, the Ministry of Trade and the Tuvalu National Private Sector Organization (TNPSO) (added in the Stakeholder table), as per their respective mandates.

Project/Program Map and Coordinates

Is there a preliminary geo-reference to the project's/program's intended location?

Secretariat Comment at PIF/Work Program Inclusion

Agency Response

Stakeholders

Does the PIF/PFD include indicative information on Stakeholders engagement to date? If not, is the justification provided appropriate? Does the PIF/PFD include information about the proposed means of future engagement?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

-As mentioned previously, we note the absence of farmers cooperative/associations. Do these groups exist in Tuvalu and will they be engaged?

-The Risk Certificate mentions indigenous peoples living within and outside the project area, and private sector is mentioned as a key stakeholder however they are not selected under Section 2- Stakeholders. Please clarify.

4/10/2020:

Not fully. Thank you for the additional information related to Indigenous Peoples and the risk rating.

However we are querying their reference in the Stakeholders section. For Section 2 on Stakeholders (*Select the stakeholders that have participated in consultations during the project identification phase*), as '*Most of the Pacific Island populations are considered indigenous* ' can we assume that a 'Yes' should be selected for this question? Please confirm.

Please note that these questions are important for the GEF to have an accurate reflection of project identification activities across our project portfolio.

At CEO Endorsement we expect a stakeholder engagement plan which captures all the key elements of engaging stakeholders. Please refer to guidance here https://www.thegef.org/sites/default/files/documents/Stakeholder_Engagement_Guidelines.pdf

4/14/2020:

Cleared.

4/17/2020:

Please see below additional information requested following further review by the GEF Secretariat.

We note that the PIF includes a comprehensive description of further consultations that will take place with 'all relevant stakeholders'. However, it doesn't include a description of the consultations conducted with civil society organizations and private sector entities which were consulted in the identification of the project. Please note that the Policy on Stakeholder Engagement requires that at PIF stage 'Agencies provide a description of any consultations conducted during project development...'

4/20/2020:

Cleared.

Agency Response

The project will engage with the farmer associations in Tuvalu. These associations are supported by the Department of Agriculture. These details are added in the stakeholder table.

Most of the Pacific Island populations are considered indigenous, and as can be proven by past experiences, hardly any adverse or negative impact arise from development projects or affect negatively the people, such as to move people out of the project area or people losing their property and landownerships. This PIF has been consulted with FAO's technical officer who is also aware of similar cases and considers this project as low risk. However, the certification system assigns ratings automatically to moderate risk. During the PPG phase, the formulation team will take necessary steps and conduct assessments, as prescribed, to ensure compliance to the FAO and GEF Env. and Social Safeguards.

RE 17 Apr: During the PIF development process, private sector was not consulted, but consultation was conducted with the head of Tuvalo Association of Non-Governmental Organization (TANGO) on the project concept (introducing the concept and obtaining feedback/thoughts especially on Component 2) and the possibility of conducting further detailed and participatory consultations with NGOs/CSOs under TANGO during the PPG phase.

Gender Equality and Women's Empowerment

Is the articulation of gender context and indicative information on the importance and need to promote gender equality and the empowerment of women, adequate?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully. Please include additional brief information on the gender context.

4/10/2020:

Cleared.

Agency Response

Additional text on gender context has been added in the PIF.

Private Sector Engagement

Is the case made for private sector engagement consistent with the proposed approach?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

Please provide brief information on the scale of the private sector, it's sectors of focus, and potential value chains that could be explored. Please also include brief details on the potential to access financing/investment from the private sector on value chain development.

4/10/2020:

Thank you. At CEO endorsement please include a criteria on how the final value chains are chosen.

Agency Response

Information on the scale of the private sector and sectors of focus are added under the private sector section.

The potential value chains, as explained above, include noni juice, coconut virgin oil, millionaire salads and red toddy. Accessing financing in country will be through the Development Bank of Tuvalu (DBT). Accessing investments from private sector parties will be through the Tuvalu National Private Sector Organisation (TNPSO) and its linkages with the Pacific Island Private Sector Organisation (PIPSO).

Risks

Does the project/program consider potential major risks, including the consequences of climate change, that might prevent the project objectives from being achieved or may be resulting from project/program implementation, and propose measures that address these risks to be further developed during the project design?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes

4/17/2020:

Following further review by the GEF Secretariat please see additional comments below.

We note that a risk certification for the project has been attached, however in line with the GEF policy on Environmental and Social Safeguards, we expect further information on any measures to address identified risk during project design/development. In addition, please provide further information on additional assessments to be carried out during PPG.

4/20/2020

Cleared.

Agency Response RE 17 Apr: please see additional language in the PIF. During the PPG phase, a Free, Prior and Informed Consent Process will be conducted to ensure project activities should outline actions to address and mitigate any potential impact. In addition, Indigenous People's Plan may be developed.

To preserve cultural resources and to avoid their potential destruction or damage, due diligence will be undertaken: a) assess provisions of the normative framework; and b) through collaboration and communication with indigenous people's own governance institutions/leadership, verifying the probability of the existence of sites/intangible cultural heritage that are significant to indigenous peoples.

Coordination

Is the institutional arrangement for project/program coordination including management, monitoring and evaluation outlined? Is there a description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives in the project/program area?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

We note the institutional arrangements have been outlined. Are there plans to coordinate with other sectoral government agencies responsible for climate change or biodiversity given the integrated approach of the project.

Please provide brief details on how the project will coordinate with the existing GEF projects as well as regional partners such as SPREP or SPC and additionally interventions financed by the EU.

4/10/2020:

Not fully.

We also expect in this section to see information on which specific government agencies will be/are expected to be/are likely to be involved in the institutional and governance framework of the project for example serving on the Project Steering Committee. Please include given the integrated nature of the project.

4/14/2020:

Cleared.

Agency Response

As indicated under the Stakeholder table, all relevant government agencies will be involved in the design and implementation of the project. This includes the Ministry of Public Works, Infrastructure, Environment, Labour, Meteorology and Disaster (MPWIELMD), this Ministry is responsible for climate change and biodiversity.

Please refer to the highlighted text for details on coordination with the UNDP GEF project. Text has been added for coordination with other partners and projects.
RE: 10 Apr - Additional text has been added to this section.

Consistency with National Priorities

Has the project/program cited alignment with any of the recipient country's national strategies and plans or reports and assessments under relevant conventions?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully.

Please also include any possible alignment with national strategies related to Biodiversity and Climate Change and any plans or reports related to other conventions such as CBD and UNFCCC. We also would like to see reference to the Tuvalu National Agriculture Sector Plan 2016-2023 and how this will be integrated into the project.

4/10/2020:
Cleared.

Agency Response

Additional text has been added.

Knowledge Management

Is the proposed “knowledge management (KM) approach” in line with GEF requirements to foster learning and sharing from relevant projects/programs, initiatives and evaluations; and contribute to the project’s/program’s overall impact and sustainability?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Not fully

We note that the project has provided an indicative KM approach, however please provide details on how the project will incorporate learning, knowledge products, assessment, studies etc from previous or existing projects GEF and other donor funded projects. (GEF project ID #5550 “Implementing ‘Ridge to Reef’ approach to protect biodiversity and ecosystem functions in Tuvalu -Tuvalu R2R Project, GEF ID 3694-Increasing Resilience of Coastal Areas and Community Settlements to Climate Change; GEF ID 9512- Climate Resilience in the Outer Islands of Tuvalu)

4/10/2020:

Thank you.

At CEO endorsement we expect to see more detailed information on the overall KM approach for the project including how it intends to incorporate learning, knowledge products, assessment, studies etc from previous or existing projects GEF and other donor funded projects; document and share knowledge generated by this project; exchange knowledge with other similar projects in Tuvalu that are being implemented at the same time.

Agency Response

During the PPG phase, apart from in country consultations with the national counterparts involved in the mentioned GEF projects, UNDP (GEF agency) will be contacted for documents (knowledge products, assessments, etc.) to ensure there is no duplication of efforts and incorporation of key lessons learnt. Additional text has been added in the PIF.

RE: 10 Apr - Thank you for your additional guidance for the PPG phase.

Part III – Country Endorsements

Has the project/program been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF data base?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

Yes

Agency Response

Termsheet, reflow table and agency capacity in NGI Projects

Does the project provide sufficient detail in Annex A (indicative termsheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table in Annex B to assess the project capacity of generating reflows? If not, please provide comments. After reading the questionnaire in Annex C, is the Partner Agency eligible to administer concessional finance? If not, please provide comments.

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

N/A

Agency Response

GEFSEC DECISION

RECOMMENDATION

Is the PIF/PFD recommended for technical clearance? Is the PPG (if requested) being recommended for clearance?

Secretariat Comment at PIF/Work Program Inclusion

3/24/2020:

The PIF is not recommended for clearance at this time. Please address the comments above.

4/10/2020:

The PIF is not yet recommended for clearance. Please address the comments above.

4/14/2020:

The questions have been addressed. The PIF and PPG are recommended for technical clearance.

4/17/2020:

Following further review by the GEF Secretariat, additional information is required on Stakeholder Engagement and Risks as outline above. Please address these comments prior to clearance of the PIF.

ADDITIONAL COMMENTS

Additional recommendations to be considered by Agency at the time of CEO endorsement/approval.

Secretariat Comment at PIF/Work Program Inclusion

4/14/2020:

As indicated above. Additional comments for consideration at CEO Endorsement are below:

-Please provide addition details in the project document on the challenges related to value chain development that the project is in turn seeking to address.

-Please include a criteria on how the final selected value chains are chosen.

-Please include additional details on the adaptation benefits at the CEO Endorsement stage.

-Regarding Sustainability, we expect further consideration on i) ensuring that the maintenance of data collection and monitoring is budgeted in the relevant Ministry or government agency who will house and maintain the data after project financing has ended; ii) how the value chain development will continue to be supported either through additional financing or government led initiatives.

-We expect a stakeholder engagement plan which captures all the key elements of engaging stakeholders. Please refer to guidance here

https://www.thegef.org/sites/default/files/documents/Stakeholder_Engagement_Guidelines.pdf

-We expect to see more detailed information on the overall KM approach for the project including how it intends to incorporate learning, knowledge products, assessment, studies etc from previous or existing projects GEF and other donor funded projects; document and share knowledge generated by this project; exchange knowledge with other similar projects in Tuvalu that are being implemented at the same time.

Review Dates

	PIF Review	Agency Response
First Review		
Additional Review (as necessary)		

	PIF Review	Agency Response
Additional Review (as necessary)		
Additional Review (as necessary)		
Additional Review (as necessary)		

PIF Recommendation to CEO

Brief reasoning for recommendations to CEO for PIF Approval