

Project Review Criteria: Co Financing

Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines, with a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized?



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Project Review Criteria: GEF Financing

Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):

- STAR Allocation
- Focal Area allocation
- LDCF/SCCF
- Focal area set aside
- Impact Program incentive



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Project Review Criteria: PPG

Is PPG requested in Table E within the allowable cap? Has an exception (e.g. for regional projects) been sufficiently substantiated? (not applicable to PFD) (in endorsement letter)

- (a) For MSPs of up to USD 2 million, the limit is up to USD 50,000;
- (b) For FSPs up to USD 3 million, the limit is up to \$100,000;
- (c) For FSPs up to USD 6 million, the limit is up to USD 150,000;
- (d) For FSPs up to USD 10 million, the limit is up to USD 200,000; and
- (e) For FSPs above USD 10 million, the limit is up to USD 300,000.

A higher amount can be requested with justification. GEFSEC assesses the request and decides whether to approve it



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Project Review Criteria: Stakeholder Engagement

Does the PIF/PFD include indicative information on Stakeholders engagement to date? If not, is the justification provided appropriate?
Does the PIF/PFD include information about the proposed means of future engagement?

- ✓ Is there a description of any **consultations** that took place in the design of the project? If not, is the justification provided appropriate?
- ✓ Are the **future roles of stakeholders** (including CSOs, IPLCs, private sector, and other) identified?
- ✓ Is the project engaging stakeholders through adequate **means**? Is there a description of those **means**?



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Project Review Criteria: Gender Equality

Gender Equality and Women's Empowerment

Is the articulation of gender context and indicative information on the importance and need to promote gender equality and the empowerment of women, adequate?

- ✓ Does the project elaborate on the gender context and provide indicative information on the importance and need to promote gender equality and the empowerment of women?
- ✓ Does the project outline plans to collect sex-disaggregated data and information on gender?
- ✓ Has the project considered expected gender results and gender sensitive indicators?



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Project Review Criteria: Knowledge Management

Is the project/program's proposed Knowledge Management (KM) Approach in line with GEF requirements to foster learning & sharing and to contribute to overall project/program impact and sustainability?

Key ingredients of a Knowledge Management Approach at PIF/PFD stage:

1. an overview of existing lessons and best practice that inform the project concept
2. plans to learn from relevant projects, programs, initiatives & evaluations
3. proposed processes to capture, assess and document info, lessons, best practice & expertise generated during implementation
4. proposed tools and methods for knowledge exchange, learning & collaboration (at both program and project levels if a PFD), including knowledge platforms and websites
5. proposed knowledge outputs to be produced and shared with stakeholders (at both program and project levels if a PFD)
6. a discussion on how knowledge and learning will contribute to overall project/program impact and sustainability
7. plans for strategic communications



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Project Review Criteria: Environmental and Social Safeguards

Are environmental and social risks, impacts and management measures adequately documented and consistent with requirements set out in the ESS Policy?

Is the Overall Project/Program Risk Rating provided?

Are the different types and risks classified and provided?

Does the project, if applicable, outline management measures to address identified risks?

Has the project shared ESS documentation including screening/assessment reports and management plans?



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Project Review Criteria: Environmental and Social Safeguards

Types of Risks and Impacts to be screened and assessed in GEF Projects/Programs

- (MS1)** Climate Change and Disaster
- (MS1)** Disadvantaged or Vulnerable Individuals or Groups
- (MS1)** Disability Inclusion
- (MS1)** Adverse Gender-related impact, including Gender-Based Violence and Sexual Exploitation
- (MS3)** Biodiversity Conservation and the Sustainable Management of Living Natural Resources
- (MS4)** Restrictions on Land Use and Involuntary Resettlement
- (MS5)** Indigenous Peoples
- (MS6)** Cultural Heritage
- (MS7)** Resource Efficiency and Pollution Prevention
- (MS8)** Labor and Working Conditions
- (MS9)** Community Health, Safety and Security



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At CEO Endorsement Stage, more scrutiny of :

Review Items

- Project Management
- Co-financing
- Core Indicators (GEF 7)
- Institutional Arrangements and Coordination
- M & E Budget
- Project Budget
- Responses to Project Reviews

Issues/Concerns

Eligible vs Ineligible GEF funding

Evidence of co-financing

Realistic and achievable targets

Implementation versus

Execution functions

Eligible vs ineligible GEF funding

Eligible vs ineligible GEF funding

Reply to GEFSEC, STAP, Council



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Project Management Cost

Examples of eligible funding under PMC

Staffing Costs:

Project Manager, Project technical specialists; procurement specialist, financial specialist

Project Related Activities:

- Preparation of procurement plans
- TOR and procurement packages
- Management of deliverable outputs
- Record maintenance of project related documentation
- Knowledge management
- Preparation of progress reports and financial reports
- Consultation with project stakeholders
- Financial audits for the project

Common Charging Errors

- Charging government staff salaries
- Charging salaries and fees for GEF Agency staff or consultants
- Purchase of vehicles
- Charging monitoring of project indicators and periodic monitoring reports
- Charging independent terminal evaluation of project
- Charging mid-term review/evaluation



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Co-financing

At CEO Endorsement/Approval provide amounts, types and sources of co-financing and investment mobilized with supporting evidence.

- Name of co-financier
- Type of co-financing provided
- Amount of co-financing
- Timeframe for the provision of co-financing
- Co-financing identifies support to the implementation of the project
- Describe investment mobilized



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Entries in GEF-7 Portal

C. Sources of Co-financing for the Project by name and by type

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Investment Mobilized	Amount(\$)	Evidence
GEF Agency	EBRD	Loans	Investment mobilized	50,000,000	
GEF Agency	EBRD	Grant	Investment mobilized	460,000	
GEF Agency	EBRD	In-kind	Recurrent expenditures	540,000	
Total Co-Financing(\$)				51,000,000	

Describe how any "Investment Mobilized" was identified

EBRD will mobilize investment as loans related to bioenergy. For the grant contribution, the EBRD will mobilize funding through its Technical Cooperation Fund Programme, as confirmed in the co-financing letter.



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Examples of Evidentiary Proof of Confirmed Co-Financing (1)



Cornell University
Initiative on Responsible Finance

October 25, 2018

Ms. Sheila Aggarwal-Khan
Director, GEF & GCF Coordination Unit
International Union for Conservation of Nature
Gland, Switzerland

Dear Sheila:

We are pleased to be able to confirm that, in connection with funding we have secured from the Atkinson Center for a Sustainable Future and other sources, we will be contributing approximately **USD 616,000 in in-kind support** to the various components of the GEF project "CPIC Conservation Finance Initiative—Scaling up and demonstrating the value of blended finance in conservation". Our contributions will be divided across project components approximately as follows:

Component 1: Execution of proof-of-concept CPIC-generated deals using blended finance	USD 323,000
Component 2: Generation of knowledge for use of grant/non-grant instruments to incentivize private investment in conservation and other general support	USD 293,000

The start date for this three-year Atkinson Center grant is September 1, 2018. We therefore expect these resources to be expended by August 31, 2021.

Please let me know if you would like to discuss or whether you need any other information from me.

With best regards,

John Tobin-de la Puente
Professor of Practice of Corporate Sustainability
Founding Co-Director, Initiative on Responsible Finance
Faculty Fellow, Atkinson Center for a Sustainable Future

Sheila Aggarwal-Khan
Director, GEF & GCF Coordination Unit
IUCN
Gland, Switzerland

7th of November 2018

Dear Sheila

We are pleased to be able to confirm that as part of the Integrated Biodiversity Assessment Tool module development plan, we will be contributing approximately **35,000 USD in in-kind support** to the following components of the GEF project "CPIC Conservation Finance Initiative- scaling up and demonstrating the value of blended finance in conservation". Our contribution will be divided across project components approximately as follows:

Component 2: Generation of knowledge for use of grant/non-grant instruments to incentivize private investment in conservation:	35,000 USD
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Warm wishes,

Dr Eugenie Regan
IBAT Manager



Examples of Evidentiary Proof of Confirmed Co-Financing (2)



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New York, NY 10018-2702
T 212 869 8500
F 212 852 8468
www.rockefellerfoundation.org

Dr. Frank Hawkins
IUCN Washington D.C. Office
1630 Connecticut Ave., NW
Suite 300
Washington, D.C. 20009

1/31/2019

Dear Frank,

We are pleased to be able to confirm that it is our intention to allocate up to **2,000,000 USD of grant resources** over two years subject to The Rockefeller Foundation's internal approval processes to support the implementation of the GEF project "CPIC Conservation Finance Initiative- scaling up and demonstrating the value of blended finance in conservation". Our funding is intended to leverage GEF resources in the following project component:

Component 1: Execution of proof-of-concept CPIC generated deals using blended finance: 2,000,000 USD

Sincerely,

A handwritten signature in black ink, appearing to read "L. Bernasconi".

Dr. Lorenzo Bernasconi
Senior Associate Director
The Rockefeller Foundation



January 31, 2019

Ms. Sheila Aggarwal-Khan
Director, GEF & GCF Coordination Unit
International Union for Conservation of Nature
Gland, Switzerland

Subject: Co-financing support for CPIC Conservation Finance Initiative

Dear Sheila,

On behalf of International Union of Conservation for Nature, I am pleased to commit **USD 25,000 in co-financing** to support the achievement of the objectives and outcomes of the GEF funded "CPIC Conservation Finance Initiative – scaling up and demonstrating the value of blended finance in conservation", from the Global Forest and Climate Change Program of IUCN. The contribution will be dedicated to achievement of the objectives and activities within the Component 2: Knowledge generated for use of grant/non-grant instruments to incentivize private investment in conservation.

This contribution as described above is intended to qualify as co-financing should the project proposal be successful.

Sincerely,

A handwritten signature in black ink, appearing to read "Stewart Maginnis".

Stewart Maginnis

Institutional Arrangements and Coordination

Implementing Functions

- Project Identification
- Project Concept Preparation
- Detailed Project Document Preparation
- Project Approval and Start-up
- Risk Management and Mitigation
- Project Supervision and Oversight
- Project Completion and Evaluation

Executing Functions

- Management and administration of project activities
- Management of project outputs and delivery
- Accountability for intended and appropriate use of funds
- Responsibility for procurement and contracting of goods and services
- Responsibility for timely delivery of project inputs and outputs



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Monitoring and Evaluation Budget

Eligible activities

- Inception Report
- Standard GEF M & E
- M & E Indicators in Results Framework
- Project Implementation Report (PIR)
- Supervision Missions
- Knowledge Management
- M & E of Environmental and Social Risks, and Corresponding Management Plans as Relevant
- Learning Missions/Site Visits
- Mid-term GEF Tracking Tool to be Updated
- Mid-term Review (MTR)
- Final Updated GEF Tracking Tool
- Independent Terminal Evaluation (TE)



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Monitoring and Evaluation Budget

Examples of Ineligible Activities for M & E Plan

Charging of salaries of project manager/ Coordinator and other project staff

Audit services



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Project Review Criteria: Stakeholder Engagement

Does the CEO endorsement/approval include a Stakeholder Engagement Plan (SEP) or equivalent documentation?

- ✓ Does the SEP include information regarding Stakeholders who have been and will be engaged, the means and roles and responsibilities?
- ✓ Are there any resources allocated to ensure effective Stakeholder Engagement?
- ✓ Is there information on how information will be disseminated?



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Responses to Project Reviews

ANNEX B: RESPONSES TO PROJECT REVIEWS (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).



For Council comments, refer to the Compilation of Council Comments posted in the GEF website (see snapshot)

GEF Council Work Programs

<div>Council Documents</div> <div><u>Work Programs</u></div> <div>Policies and Guidelines</div> <div>Publications</div> <div>Replenishment</div> <div>Templates</div> <div>Tracking Tools and Results Frameworks</div> <div>Program Management Bulletins</div>	<div>Search All ></div> <div>GEF Council Work Programs Intersessional Work Programs LDCF/SCCF Work Programs</div> <div>LDCF/SCCF Intersessional Work Programs</div>			
		Date	Council Decisions	Comments
	C.57 Work Program Submitted for Council Approval	December 17, 2019	Excerpts from the Joint Summary of the Chairs GEF/C.57	Work Program GEF/C.57
	C.56 Work Program Submitted for Council Approval	June 10, 2019	Excerpts from the Joint Summary of the Chairs GEF/C.56	Work Program GEF/C.56
	C.55 Work Program Submitted for Council Approval	December 20, 2018	Excerpts from the Joint Summary of the Chairs and Highlights of the Council's Decisions GEF/C.55	Work Program GEF/C.55

For STAP screens, go to the Work Program

C.56 Work Program Submitted for Council Approval

GEF Trust Fund/Multi Trust Fund Program/Project Proposals submitted for Council Approval (38)

GEF ID	Project Title	Documents	Country	GEF Agency	Focal Areas
10201	Food Systems, Land Use and Restoration (FOLUR) Impact Program	<ul style="list-style-type: none">PFDAnnex: Child ProjectsReview SheetSTAP Review	Global, Burundi, China, Colombia, Cote d'Ivoire, Ethiopia, Ghana, Guatemala, Indonesia, Kazakhstan, Liberia, Malaysia, Mexico, Papua New Guinea, Peru, Tanzania, Thailand, Ukraine, Vietnam	World Bank, UNDP, IFAD, WWF-US, CI, UNIDO, UNEP, FAO	Multi Focal Area