

GEF Council Meeting
May 25 – 27, 2014
Cancun, Mexico

Agenda Item 3

ANNOTATED PROVISIONAL AGENDA

Agenda Item 1.**Opening of the Meeting**
(CEO Chairs)

1. The meeting will be opened by Naoko Ishii, CEO/Chairperson of the Facility.

Agenda Item 2.**Election of a Chairperson**
(CEO Chairs)

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between Council Members from developed and developing countries. The elected Chairperson at the previous Council meeting was Ms. Margarita Perez Villaseñor, the Council Member representing Costa Rica, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, and Venezuela.
3. Consistent with paragraph 18 of the Instrument, the Elected Chairperson is expected to Chair the Council meeting during discussions on agenda item 3, “Adoption of the Agenda”, agenda item 4, “Annual Monitoring Review (AMR) FY13: Part II”, agenda item 12, “Report of the Chairperson of the Scientific and Technical Advisory Panel”, agenda item 13, “Relations with the Conventions and Other International Institutions”, agenda item 15, “Annual Performance Report 2013 Main Findings and Recommendations and Management Response”, agenda item 16, “Annual Country Portfolio Evaluation Report 2014 and Management Response”, agenda item 17, “Work Program and Budget of the GEF Independent Evaluation Office”, agenda item 18, “Report of the Second Professional Peer Review of the GEF evaluation function and Management Response”, agenda item 19, “Report of the Selection and Review Committee”, and agenda item 20, “Appointment of the Director of the Independent Evaluation Office”.
4. The elected Chairperson and the CEO will jointly chair the Council meeting during discussions on agenda item 21, “Other Business”, and agenda item 22, “Joint Summary of the Chairs”.
5. The CEO/Chairperson of the Facility will chair the Council meeting during discussions of the other items on the agenda.

Agenda Item 3.**Adoption of the Agenda**
(Elected Chairperson Chairs)

6. A *Provisional Agenda* for consideration by the Council has been issued as document GEF/C.46/01/Rev.03.

7. *The Council is invited to adopt the agenda for the meeting.*

Agenda Item 4.

Annual Monitoring Review (AMR) FY13: Part II
(Elected Chairperson Chairs)

8. Document GEF/C.46/04, *Annual Monitoring Review (AMR) FY 13: Part II*, is an assessment of the GEF's active portfolio and is presented to the GEF Council in two parts. Part one contains a macro view of the portfolio under implementation and is presented to the Council at its fall meeting soon after the conclusion of the fiscal year. Part two is presented to the Council at its Spring meeting and contains a deeper assessment of outcomes, experiences, and lessons learned from the GEF's active portfolio of projects, with an emphasis on those at mid-term and completion. The report is based on the results and lessons gleaned project documentation received by the Secretariat, including tracking tool data, project implementation reports (PIRs), mid-term reviews (MTRs), and project completion reports or terminal evaluations (TEs) of projects under implementation in each GEF focal area. This review found the portfolio level lessons to be more targeted and substantive and revealed an increasingly catalytic role for the GEF in influencing policies, leveraging financing, and scaling up and mainstreaming best practices.
9. Draft decision: *The Council, having considered document GEF/C.46/04, "Annual Monitoring Review (AMR) FY13: Part II," welcomes the report and appreciates the reformed AMR process. The Council requests the GEF Secretariat to continue providing two AMR reports per year: the first, presented in the fall, containing a quantitative overview of information on the portfolio under implementation and the second, presented in the spring, containing more in-depth analysis of outcomes, experiences, and lessons learned.*
10. *The Council requests the GEF Agencies, in collaboration with the recipient countries and the Secretariat, to expedite the preparation of all overdue projects.*

Agenda Item 5.

Long-Term Strategy for the GEF – GEF 2020
(CEO Chairs)

11. Document GEF/C.46/10, *Long-Term Strategy for the GEF – GEF 2020*, presents to Council the GEF 2020 Strategy.
12. *Appropriate follow-up will be proposed to Council.*

Agenda Item 6.

Summary of Negotiations of the Sixth Replenishment of the GEF Trust Fund
(CEO Chairs)

13. Document GEF/C.46/07, *Summary of Negotiations of the Sixth Replenishment of the GEF Trust Fund*, highlights the main items that were considered during the replenishment negotiations. It is not a comprehensive report of all the detailed discussions that took place during the negotiating process. The discussions at specific meetings are detailed in the Co-Chairs' summaries of the replenishment meetings.
14. *A recommended decision will be provided to Council.*

Agenda Item 7.

Proposal for the System of Transparent Allocation of Resources (STAR) for GEF-6
(CEO Chairs)

15. Document GEF/C.46/05, *Proposal for the System of Transparent Allocation of Resources (STAR) for GEF-6*, presents for Council approval the STAR configuration for GEF-6, based on: (i) GEF-6 policy recommendations included in the *Summary of Negotiations of the Sixth Replenishment of the GEF Trust Fund*; (ii) the conclusions and recommendations from the MTE conducted by the Evaluation Office; and (iii) experience with STAR implementation. It outlines the proposed modifications to STAR, and presents the operational rules and procedures related to the STAR.
16. Draft decision: *The Council, having reviewed document GEF/C.46/05, "Proposal for the System of Transparent Allocation of Resources (STAR) for GEF-6," adopts all the elements of the revised STAR for implementation during the sixth replenishment period of the GEF.*
17. *The Council also notes the operational procedures described in Annex 2 of this document, and requests the GEF Secretariat to implement the STAR accordingly.*
18. *The Council also requests the Secretariat to present progress reports on the implementation of the STAR for GEF-6 at each Council meeting.*

Agenda Item 8.

Co-Financing Policy
(CEO Chairs)

19. Document GEF/C.46/09, *Co-Financing Policy*, presents to Council, for its approval, a recommended GEF Policy on Co-financing. This document responds to the request of the Participants in the Sixth

Replenishment Negotiations for the GEF Trust Fund (GEF-6 replenishment) that the GEF Secretariat, in consultation with the appropriate GEF entities, develop a revised co-financing policy that seeks to: clarify the definitions and approaches to promoting effective co-financing; indicate a level of ambition for the overall GEF portfolio to reach a co-financing ratio of at least 6:1 (total co-financing to total GEF resources) during the replenishment; and create expectations for greater co-financing for upper middle income countries that are not SIDS.

20. Draft decision: *The Council, having reviewed Council Document GEF/C.46/09, “Co-financing Policy,” approves the proposed policy attached as Annex 1 to the document. The Council requests the collaboration of recipient countries, GEF Partner Agencies, and the Secretariat in implementing the provisions of the Policy. This new policy replaces and supersedes the Co-financing policy of May 2003 set out in Council Document GEF/C.20/6/Rev.1, “Co-financing.”*

Agenda Item 9.

GEF Business Plan and FY15 Corporate Budget (CEO Chairs)

21. Document GEF/C.46/08/Rev.01, *GEF Business Plan and FY15 Corporate Budget*, summarizes the GEF’s key accomplishments in FY14, including the GEF-6 replenishment negotiations and progress made in implementing reforms and programming resources. It also describes the key tasks that the entities of the GEF network will undertake during the first year of the GEF-6 replenishment period.
22. Draft decision: *The Council, having reviewed document GEF/C.46/08, “GEF Business Plan and FY15 Corporate Budget,” took note of the business plan, and approved a FY15 corporate budget from the GEF Trust Fund of \$24.668 million, comprised of:*
 - (a) *\$18.988 million for the GEF Secretariat,*
 - (b) *\$2.540 million for the STAP, and*
 - (c) *\$3.140 million for the Trustee,*
23. *In addition, under agenda item 17, the Council, having reviewed document GEF/ME/C.46/01, “Work Program and Budget of the GEF Independent Evaluation Office” (IEO), approved a FY15 budget of \$3.912 million for the IEO, comprising \$3.242 million for its annual budget and \$0.670 million to support multi-annual evaluations.*
24. *The Council also approved a total FY15 administrative budget for the Nagoya Protocol Implementation Fund (NPIF) of \$49,600, comprised*

of the following allocations from the NPIF to cover the Secretariat's and Trustee's expenses for NPIF administration and implementation:

- (a) \$25,000 for the GEF Secretariat; and*
- (b) \$24,600 for the Trustee;*

- 25. *The Council requested the Secretariat, in consultation with the STAP and Trustee, to present a combined FY16 corporate budget and business plan for discussion at its spring 2015 meeting. The corporate budget should include the budgets of the Secretariat, STAP, and Trustee. This combined budget and business plans should report on the use of GEF resources during FY15 and GEF accomplishments over the same period.*

Agenda Item 10.

GEF Small Grants Programme: Implementation Arrangements for GEF-6
(CEO Chairs)

- 26. Document GEF/C.46/13, *GEF Small Grants Programme: Implementation Arrangements for GEF-6*, presents an update on the Small Grants Programme (SGP) and lays out its strategic directions to meet challenges in the sixth phase of the GEF (GEF-6). It also identifies key policies and implementation arrangements for accessing GEF-6 resources and the process for continued upgrading of select country programmes.
- 27. Draft decision: *The Council considered document GEF/C.46/13, "GEF Small Grants Programme: Implementation Arrangements for GEF-6," and approves the proposed implementation arrangements for country programs of the Small Grants Programme.*

Agenda Item 11.

Work Program
(CEO Chairs)

- 28. Document GEF/C.46/06, *Work Program*, presents the last set of full-sized project concepts and a programmatic approach for approval by the Council as GEF-5 comes to a conclusion. The work program consists of 37 new and two resubmitted project concepts, one non-expedited enabling activity and one programmatic approach.
- 29. Draft decision: *The Council, having reviewed document GEF/C.46/06, "Work Program," approved the work program comprising 37 new and two resubmitted project concepts, one non-expedited enabling activity, and one programmatic approach, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by June 9, 2014. Total resources approved in*

this work program amounted to \$241.78 million which include GEF project grants and Agency fees.

Agenda Item 12.

Report of the Chairperson of the Scientific and Technical Advisory Panel
(Elected Chairperson Chairs)

30. The Chairperson of the Scientific and Technical Advisory Panel (STAP) will be invited to make a statement to the Council on the work of the Panel. A written report of the Chairperson can be found in document GEF/STAP/C.46/Inf.01, *Report of the Chairperson of the Scientific and Technical Advisory Panel*. An opportunity will be provided for Council Members to comment on the report, address questions to the Chairperson, and provide guidance to the Panel, as appropriate.

Agenda Item 13.

Relations with the Conventions and Other International Institutions
(Elected Chairperson Chairs)

31. Document GEF/C.46/03, *Relations with the Conventions and Other International Institutions*, provides the Council with an update on the activities of the GEF in relation to the following multilateral environmental conventions: the Convention on Biological Diversity (CBD), the United Nations Convention to Combat Desertification (UNCCD), the United Nations Framework Convention on Climate Change (UNFCCC), the Stockholm Convention on Persistent Organic Pollutants (the Stockholm Convention), the Montreal Protocol on Substances that Deplete the Ozone Layer (the Montreal Protocol) and the Minamata Convention on Mercury. The document also provides information on relations between the GEF Secretariat and other international conventions and institutions.
32. Draft decision: *The Council, having considered document GEF/C.46/03, "Relations with the Conventions and Other International Institutions," welcomed the report and requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.*

Agenda Item 14.

Update on the Nagoya Protocol Implementation Fund
(CEO Chairs)

33. Document GEF/C.46/12, *Update on the Nagoya Protocol Implementation Fund*, presents to the Council a request to extend the operation of the NPIF Trust Fund.

34. Draft decision: *The Council, having reviewed document GEF/C.46/12, "Update on the Nagoya Protocol Implementation Fund," took note of the good progress made by the GEF Secretariat in managing the Nagoya Protocol Implementation Fund (NPIF), and decided to extend the operation of the NPIF (initially December 31, 2020) to allow continuation of project preparation for and implementation of the Project Identification Form (PIF) approved projects. The Council will not approve new PIFs under the NPIF after 30 June 2014 and will deliberate on the future of the fund taking into consideration the decision from 12th Conference of the Parties of the Convention on Biological Diversity scheduled in October 2014.*

Agenda Item 15.

Annual Performance Report 2013 Main Findings and Recommendations and Management Response
(Elected Chairperson Chairs)

Document GEF/ME/C.46/02, *Annual Performance Report 2013 Main Findings and Recommendations*, was prepared by the GEF Independent Evaluation Office. It provides a detailed overview of the performance of GEF activities and processes, key factors affecting performance, and the quality of Monitoring and Evaluation systems (M&E) within the GEF partnership. APR 2013 is the tenth APR prepared by the GEF IEO. It includes first-time coverage of 160 completed projects – the largest single APR year cohort to date. The large number of projects in the APR 2013 cohort is in part a reflection of a maturing GEF portfolio.

35. Document GEF/ME/C.45/03, *Management Response to the Annual Performance Report 2013*, was prepared by the GEF Secretariat.
36. Draft decision: *The Council, having reviewed document GEF/C.46/02, "Annual Performance Report 2013," and GEF/C.46/03, "Management Response to the Annual Performance Report 2013," notes the evaluative information on the performance of the GEF portfolio and business processes. The Council requests the GEF Independent Evaluation Office to continue its work on extending coverage of reporting on outcomes to earlier periods; ensuring consistency in reporting on outcomes; finalization of the terminal evaluation guidelines; developing its approach to reporting on programs; and streamlining of the management action record process.*

Agenda Item 16.

Annual Country Portfolio Evaluation Report 2014 and Management Response
(Elected Chairperson Chairs)

37. Document GEF/ME/C.46/04, *Annual Country Portfolio Evaluation Report 2014*, was prepared by the GEF Independent Evaluation Office.

This *Sixth Annual Country Portfolio Evaluation Report* (ACPER) provides a synthesis of the evaluative evidence contained in the Country Portfolio Evaluations (CPEs) and Country Portfolio Studies (CPSs) conducted in the Sub-Saharan Africa region. These include two CPEs, one conducted in Tanzania and one in Eritrea, and one CPS conducted in Sierra Leone. All these evaluations are at different stages of finalization and the reports, once completed, will be provided on the Office's website at the end of the second quarter of 2014. For the three evaluations, key preliminary findings and areas for recommendations were presented and discussed – and comments were received from GEF stakeholders – at final consultation workshops in each country. At the time of writing this report, the Tanzania report is being finalized, taking into consideration comments received to a draft circulated in February. The Eritrea and Sierra Leone draft reports are being finalized and will be circulated for comments by the end of April.

38. Document GEF/ME/C.46/05, *Management Response to the Annual Country Portfolio Evaluation Report 2014*, was prepared by the GEF Secretariat.
39. Draft decision: *The Council, having reviewed document GEF/ME/C.46/04, "Annual Country Portfolio Evaluation Report 2014," document GEF/ME/C.46/05, "Management Response to the Annual Country Portfolio Evaluation Report 2014," requests the Secretariat:*
 - 1) *To explore and pursue, where appropriate, the use of established SGP country programmes as service providers to implement community level activities for FSPs and MSPs.*
40. *The Council requests the Secretariat and the Agencies:*
 - 2) *To pay greater attention to national knowledge exchange and promote dissemination of data and information in the relevant national languages.*

Agenda Item 17.

Work Program and Budget of the GEF Independent Evaluation Office (*Elected Chairperson Chairs*)

41. Document GEF/ME/C.46/01/Rev.01, *Work Program and Budget of the GEF Independent Evaluation Office*, was prepared by the GEF Independent Evaluation Office. The work program of the Office in fiscal year 2015 consists of ongoing work in the four evaluative streams of the Office – Country Portfolio Evaluations, Impact Evaluations, Performance Evaluations and Thematic Evaluations, as

well as preparatory work for GEF-6. On the annual budget, \$ 3.242 million is needed to ensure flexibility in staff hiring and meeting operational expenses. The multi-annual budget needs to be topped up with \$ 0.67 million to ensure the ongoing evaluations can be finalized and preparatory work for GEF-6 can be carried out.

42. Draft decision: *The Council, having reviewed document GEF/ME/C.46/01, "Work Program and Budget of the GEF Independent Evaluation Office," approves the annual budget for the Independent Evaluation Office for fiscal year 2015 for a total of US\$ 3.242 million. The multi-annual budget for the evaluation program of the GEF Independent Evaluation Office is approved for an amount of US\$ 0.67 million for evaluations carried out in fiscal year 2015, thus closing the GEF-5 period for the Office. The Office is requested to prepare an updated work plan and multi-annual budget for the GEF-6 period, including the preparations for the 6th Comprehensive Evaluation of the GEF, after the new Director has taken up the position in September 2014.*

Agenda Item 18.

Report of the Second Professional Peer Review of the GEF evaluation function and Management Response *(Elected Chairperson Chairs)*

43. Document GEF/ME/C.46/06, *Second GEF Evaluation Office Peer Review*, was prepared by the GEF Independent Evaluation Office. The objective of the Professional Peer Review is to provide the GEF Council, the Assembly of the GEF, the GEF Secretariat, the GEF Agencies and the IEO with an independent assessment of the evaluation function within the GEF. The Review intends to inform strategic planning in the GEF as it moves forward in its next replenishment phase.
44. Document GEF/ME/C.46/07, *Management Response to the peer review report*, was prepared by the GEF Independent Evaluation Office in consultation with the GEF Secretariat, the GEF Agencies, and STAP.
45. Draft decision: *The Council, having reviewed document GEF/ME/C.46/06, "Report of the Second Professional Peer Review of the GEF Evaluation Function," as well as GEF/ME/C.46/07, "Management Response to the Report of the Second Professional Peer Review of the GEF Evaluation Function," requests the Independent Evaluation Office, in consultation with the Secretariat, STAP and the GEF Agencies, to take the findings and recommendations of the peer review, as well as comments made during the Council meeting, into account when preparing the Work Program of the Office for GEF-6.*

Agenda Item 19.

Report of the Selection and Review Committee
(Elected Chairperson Chairs – Executive Session)

46. The Chair of the Selection and Review Committee will present the report of the SRC to the Council for consideration and appropriate decision.

Agenda Item 20.

Appointment of the Director of the Independent Evaluation Office
(Elected Chairperson Chairs)

47. The Council will appoint the new Director of the Independent Evaluation Office in open session.

Agenda Item 21.

Other Business
(Jointly Chaired)

48. Members and the Chairs may raise any other business under this agenda item.
49. The Chair will inform the Council of possible dates for holding the fall 2015 Council meeting.

Agenda Item 22.

Joint Summary of the Chairs
(Jointly Chaired)

50. The Elected Chair and the CEO/Chairperson will present a joint summary of the conclusions of the meeting. Decisions approved by the Council during the meeting will be included in the Chairs' Joint Summary.

Provisional Timetable

Sunday, May 25, 2014

Morning Session

09:00 – 09:30	Agenda Item 1.	Opening of the Meeting (CEO Chairs)
	Agenda Item 2.	Election of a Chairperson (CEO Chairs)
	Agenda Item 3.	Adoption of the Agenda (Elected Chairperson Chairs)
09:30 – 10:30	Agenda Item 4.	Annual Monitoring Review (AMR) FY 13: Part II (Elected Chairperson Chairs)
10:30 – 11:30	Agenda Item 5.	Long-Term Strategy for the GEF – GEF 2020 (CEO Chairs)
11:30 – 12:00	Agenda Item 6.	Summary of the Negotiations of the Sixth Replenishment of the GEF Trust Fund (CEO Chairs)

Afternoon Session

14:00 – 15:00	Agenda Item 7.	Proposal for the System of Transparent Allocation of Resources (STAR) for GEF-6 (CEO Chairs)
15:00 – 16:30	Agenda Item 8.	Co-Financing Policy (CEO Chairs)
16:30 – 17:15	Agenda Item 15.	Annual Performance Report 2013 Main Findings and Recommendations and Management Response (Elected Chairperson Chairs)
17:15 – 18:00	Agenda Item 16.	Annual Country Portfolio Evaluation Report 2014 and Management Response (Elected Chairperson Chairs)
19:30 – 21:00		Council Reception (Offered by the Government of Mexico)

Monday, May 26, 2014

Morning Session

09:00 – 10:00	Agenda Item 11.	Work Program (CEO Chairs)
10:00 – 11:00	Agenda Item 12.	Report of the Chairperson of the Scientific and Technical Advisory Panel (Elected Chairperson Chairs)
11:00 – 11:45	Agenda Item 17.	Work Program and Budget of the GEF Independent Evaluation Office (Elected Chairperson Chairs)
11:45 – 12:30	Agenda Item 18.	Report of the Second Professional Peer Review of the GEF evaluation function and Management Response (Elected Chairperson Chairs)

Afternoon Session

14:00 – 15:00	Agenda Item 9.	GEF Business Plan and FY15 Corporate Budget (CEO Chairs)
15:00 – 15:30	Agenda Item 10.	GEF Small Grants Programme: Implementation Arrangements for GEF-6 (CEO Chairs)
15:30 – 16:00	Agenda Item 13.	Relations with the Conventions and Other International Institutions (Elected Chairperson Chairs)
16:00 – 16:30	Agenda Item 14.	Update on the Nagoya Protocol Implementation Fund (CEO Chairs)

Tuesday, May 27, 2014

Morning Session

09:00 – 09:30	Agenda Item 19.	Report of the Selection and Review Committee (Elected Chairperson Chairs - Executive Session)
09:30 – 10:00	Agenda Item 20.	Appointment of the Director of the Independent Evaluation Office (Elected Chairperson Chairs)
10:00 – 10:30	Agenda Item 21.	Other Business (Jointly Chaired)

10:30 – 11:30

LDCF/SCCF Council Meeting

11:30 – 12:30	Agenda Item 22.	Joint Summary of the Chairs (Jointly Chaired)
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