

56<sup>th</sup> GEF Council Meeting  
June 11 – 13, 2018  
Washington, D.C.

Agenda Item 03

## **ANNOTATED PROVISIONAL AGENDA**

**Agenda Item 01.**

**Opening of the Meeting**

1. The meeting will be opened by Naoko Ishii, CEO/Chairperson of the Facility.

**Agenda Item 02.**

**Election of a Chairperson**

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between Council Members from developed and developing countries. The elected Chairperson at the previous Council meeting was the Council Member representing the Coastal West Africa Constituency, composed by Benin, Côte d'Ivoire, Ghana, Guinea, Liberia, Nigeria, Sierra Leone and Togo. At this Council de Elected Chair will be from a developed country.

**Agenda Item 03.**

**Adoption of the Agenda**

3. In addition to this document GEF/C.56/02/Rev.03, *Annotated Provisional Agenda*, a Provisional Agenda for consideration by the Council has been issued as document GEF/C.56/01/Rev.02.
4. *The Council is invited to adopt the agenda for the meeting.*

**Agenda Item 04.**

**Policy on Monitoring**

5. Document GEF/C.56/03, *Policy on Monitoring*, presents for Council consideration a proposed policy on monitoring. The proposed policy, prepared by the Secretariat in consultation with stakeholders across the GEF Partnership, would supersede the provisions of the 2010 monitoring and evaluation policy that pertain to monitoring. Other elements of the policy would be superseded by a proposed evaluation policy, prepared by the Independent Evaluation Office.
6. Draft decision: *The Council, having reviewed document GEF/C.56/03, Policy on Monitoring, approves the Policy contained in Annex I of this document, which supersedes the provisions of the 2010 Monitoring and Evaluation Policy that pertain to monitoring.*

**Agenda Item 05.**

**Report on the Working Group on the GEF Partnership**

7. Document GEF/C.56/04, *Report on the Working Group on the GEF Partnership*, presents for Council a summary of the deliberations of the Working Group on the GEF Partnership, along with proposed next steps.
8. Draft decision: *The Council, having reviewed document GEF/C.56/04, Report on the Working Group on the GEF Partnership, takes note of the*

*deliberations of the Working Group and requests that the Secretariat continue to collaborate with the Working Group, in consultation with Agencies and other stakeholders, on issues related to the concentration of GEF resources across Agencies and the implementation of a 30% ceiling on the GEF's share of Agencies' portfolios with a view to further exploring, defining, and clarifying associated implementation modalities and presenting any associated recommendations for Council consideration at a future date.*

**Agenda Item 06.**

**Informing the Council on Possible Non-Compliance with Environmental and Social Safeguards**

9. Document GEF/C.56/05, *Informing the Council on Possible Non-Compliance with Environmental and Social Safeguards*, presents for Council consideration an amendment to the *Policy on Environmental and Social Safeguards* (GEF/C.55/07/Rev.01). At its 55<sup>th</sup> Meeting, the Council was particularly interested in being made aware of non-compliance cases where reputational risks to the GEF may arise.
10. Draft decision: *The Council, having reviewed document GEF/C.56/05, Informing the Council of Possible Non-Compliance with Environmental and Social Safeguards, approves the proposed amendment to the Policy on Environmental and Social Safeguards (GEF/C.55/07/Rev.01) as set out in Annex I.*

**Agenda Item 07.**

**GEF Business Plan and Corporate Budget for FY20.**

11. Document GEF/C.56/06, *GEF Business Plan and Corporate Budget for FY20*, reports on the execution of the FY19 administrative budget approved by Council and sets out the FY20 administrative budget request of the Secretariat. It presents the GEF Business Plan for FY20 budget request to cover expenses of the four central GEF entities for their activities under the GEF Trust Fund: Secretariat; the Scientific and Technical Advisory Panel (STAP) and the Trustee. It also includes budget requests for the Secretariat and the Trustee for their activities under the Nagoya Protocol Implementation Fund (NPIF) and CBIT.
12. Draft decision: *The Council, having reviewed document GEF/C.56/06, GEF Business Plan and Corporate Budget for FY20, takes note of the business plan, and approves a FY20 corporate budget from the GEF Trust Fund of US\$29.273 million, comprised of:*
  - (a) US\$23.539 million for the GEF Secretariat;*
  - (b) US\$2.725 million for STAP; and*
  - (c) US\$3.009 million for the Trustee*

*The Council also approves a total FY20 administrative budget for the Nagoya Protocol Implementation Fund (NPIF) of US\$17.900, comprised of the following allocations from the NPIF to cover the Secretariat's and Trustee's expenses for NPIF administration and implementation:*

*(a) US\$13,500 for the GEF Secretariat; and*

*(b) US\$4,400 for the Trustee*

13. *The Council also approves a total FY20 administrative budget for the Capacity Building Initiative for Transparency (CBIT) from the CBIT Trust Fund of US\$ 513,000, comprised of:*

*(a) US\$475,000 for the GEF Secretariat; and*

*(b) US\$38,000 for the Trustee*

*The Council requests the Secretariat, in consultation with STAP and the Trustee, to present a combined FY21 corporate budget and business plan for discussion at its 2020 spring meeting.*

**Agenda Item 08.**

**Status of Agencies' compliance with minimum requirements on AML-CFT**

14. Document GEF/C.56/07/Rev.01, *Status of Agencies' compliance with minimum requirements on AML-CFT*, presents for the Council's consideration the compilation of Agencies' certifications of compliance indicating that they meet the approved minimum requirement on issues related to Anti-Money Laundering and Combating the Financing of Terrorism.
15. Draft decision: *The Council, having reviewed document GEF/C.56/07/Rev.01, Status of Agencies' Compliance with Minimum Requirements on AML-CFT, takes note of the self-certifications presented in Annex I, where the following Agencies certify that they meet the GEF's minimum requirements on anti-money laundering and combating the financing of terrorism as set out in the Minimum Fiduciary Standards for GEF Partner Agencies (GA/PL/02):*
- i. African Development Bank (AfDB);
  - ii. Asian Development Bank (ADB);
  - iii. West African Development Bank (BOAD);
  - iv. Development Bank of Latin America (CAF);
  - v. Conservation International (CI);

- vi. Development Bank of Southern Africa (DBSA);
- vii. European Bank for Reconstruction and Development (EBRD);
- viii. Food and Agriculture Organization of the United Nations (FAO);
- ix. Foreign Economic Cooperation Office, Ministry of Environmental Protection of China (FECO);
- x. Brazilian Biodiversity Fund (FUNBIO);
- xi. International Fund for Agricultural Development (IFAD);
- xii. International Union for Conservation of Nature (IUCN);
- xiii. United Nations Development Programme (UNDP);
- xiv. United Nations Environment Programme (UNEP);
- xv. United Nations Industrial Development Organization (UNIDO);
- xvi. World Bank; and
- xvii. World Wildlife Fund US (WWF-US).

*With respect to the Inter-American Development Bank (IDB), the Council takes note of the information provided in Annex II and the Agency's request to review its compliance in 2020, once its AML-CFT Framework is fully implemented.*

#### **Agenda Item 09.**

#### **Report of the Chairperson of the Scientific and Technical Advisory Panel**

- 16. The Chairperson of the Scientific and Technical Advisory Panel (STAP) will be invited to make a statement to the Council on the work of the Panel. A written report of the Chairperson can be found in document GEF/STAP/C.56/Inf.01, *Report of the Chairperson of the Scientific and Technical Advisory Panel*. An opportunity will be provided for Council Members to comment on the report, address questions to the Chairperson, and provide guidance to the Panel, as appropriate.

#### **Agenda Item 10.**

#### **Semi-Annual Evaluation Report June 2019 and Management Response**

17. Document GEF/ME/C.56/01, *Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: June 2019*, presents the Annual Performance Report with a special thematic focus on GEF initiatives in sustainable transport, a Value for Money Analysis of GEF Interventions in Sustainable Forest Management, and an evaluation of GEF's Support to Scaling up Impact. The SAER also includes an update on the evaluations in progress, the peer review of the IEO, the knowledge management activities of the office and a summary of the Management Action Record. The full evaluation reports and the terms of reference for the peer review of the IEO are included as information documents. Document GEF/ME/C.56/04, Management Response to the Semi-Annual Evaluation Report of the Independent Evaluation Office, provides a response from the GEF Secretariat to the Independent Evaluation Office regarding the conclusions and recommendations of three evaluations completed by the IEO during the period from December 2018 to June 2019.

Draft decision: *The Council, having reviewed document GEF/ME/C.56 /01 the "Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: June 2019," endorses the recommendations of the included evaluations and approves the terms of reference for the professional peer review of the Independent Evaluation Office.*

#### **Agenda Item 11.**

#### **The GEF Evaluation Policy**

18. Document GEF/ME/C.56/02, *The GEF Evaluation Policy*, presents the revised GEF Evaluation Policy that would supersede the provisions for evaluation contained in the 2010 M&E Policy. This policy has been prepared with engagement of stakeholders across the GEF partnership. A separate GEF Monitoring Policy has been prepared by the GEF Secretariat. The IEO and the Secretariat have consulted to maintain continuity and coherence between the provisions of the two policies.

19. Draft decision: *The Council, having reviewed document GEF/ME/C.56/02, "The GEF Evaluation Policy":*

*(1) Approves the proposed GEF Evaluation Policy contained in Section 2 of this document, which supersedes the 2010 Policy on Monitoring and Evaluation as it relates to evaluation (Council document GEF/ME/C.39/6/Rev.1)."*

*(2) Authorizes the GEF Independent Evaluation Office to proceed with its dissemination and implementation.*

#### **Agenda Item 12.**

#### **Four-Year Work Program and Budget of the GEF Independent Evaluation Office – GEF-7**

20. Document GEF/ME/C.56/03/Rev.01, *Four-Year Work Program and Budget of the GEF Independent Evaluation Office – GEF-7*, presents the indicative IEO work program for GEF-7 and provides a description of the various evaluation themes. It also presents the indicative IEO evaluation Program FY19-22 and summarizes the IEO budget for GEF-6. The Council is requested to review and approve the Work Program for the total resources requested.

21. Draft decision: *Regarding the Four- Year Work program and Budget of the Independent Evaluation Office*

*The Council, having reviewed document GEF/ME/C.56/03/Rev.01 the “Four Year Work Program and Budget of the Independent Evaluation Office”*

*(1) Approves the annual IEO budget for fiscal year 2020 for a total of US\$6.22 million.*

*(2) Approves the work program for GEF-7 including the preparations for the 7th Comprehensive Evaluation of the GEF.*

### **Agenda Item 13.**

#### **Work Program for GEF Trust Fund**

22. Document GEF/C.56/08/Rev.01, *Work Program for GEF Trust Fund*, outlines important aspects of the proposed Work Program, including programming trends in the GEF resources relative to focal area strategies and objectives, distribution by regions and GEF Agencies, and highlights of innovative elements inherent in the programs and projects. The Council is requested to review and approve the Work Program for the total resources requested.

23. Draft decision: *The Council, having reviewed document GEF/C.56/08/Rev.01, Work Program for GEF Trust Fund, approves the Work Program comprising 31 projects and 7 programs, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by June 27, 2019.*

*Total resources approved in this Work Program amounted to \$865.9 million which include GEF project financing and Agency fees. The Work Program is comprised of the following Project Identification Forms (PIFs) and Program Framework Documents (PFDs): [See Annex A]*

*With respect to the PIFs and PFDs approved as part of the Work Program, the Council finds that each of these PIFs and PFDs (i) is, or would be, consistent with the Instrument and GEF policies and procedures, and (ii) may be endorsed by the CEO for final approval by the GEF Agency, provided that the final project documents fully incorporate and address the Council’s and the STAP reviewer’s comments on the Work Program, and that the CEO confirms that the project continues to be consistent with the Instrument and GEF policies and procedures.*

*With respect to any PIF approved in this Work Program, the final project document will be posted on the GEF website for information after CEO endorsement. If there are major changes to the project objectives or scope since PIF approval, the final project document shall be posted on the web for Council review for four weeks prior to CEO endorsement.*

*With respect to the PFDs approved as part of the Work Program, the final child project documents fully incorporating and addressing the Council's and STAP reviews shall be circulated for Council review for four weeks prior to CEO endorsement/approval.*

**Agenda Item 14.**

**Relations with the Conventions and Other International Institutions**

24. Document GEF/C.56/09/Rev.01, *Relations with the Conventions and Other International Institutions*, provides the Council with an update on the activities of the Global Environment Facility (GEF) in support of the following multilateral environmental agreements (MEAs): the Convention on Biological Diversity (CBD), the United Nations Convention to Combat Desertification (UNCCD), the United Nations Framework Convention on Climate Change (UNFCCC), the Stockholm Convention on Persistent Organic Pollutants (the Stockholm Convention), the Minamata Convention on Mercury, and the Montreal Protocol on Substances that Deplete the Ozone Layer (the Montreal Protocol). The document also provides information on relations between the GEF Secretariat and other international conventions, institutions, and fora.
25. Draft decision: *The Council, having considered document GEF/C.56/09/Rev.01, Relations with the Conventions and Other International Institutions, welcomed the report and requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.*

**Agenda Item 15.**

**Approval of the Memorandum of Understanding Between the Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility  
(CEO Chairs)**

26. Document GEF/C.56/10/Rev.01, *Approval of the Memorandum of Understanding Between the Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility*, in line with the procedures for approval of the Memorandum of Understanding as outlined in Council document GEF/C.51/11, the Memorandum of Understanding, as adopted by the second Conference of the Parties to the Minamata Convention is submitted to the GEF Council for approval.
27. Draft decision: *The Council, having considered document GEF/C.56/10/Rev.01, Memorandum of Understanding between the*



*Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility:*

*(a) Approves the Memorandum of Understanding as adopted by the second Conference of the Parties to the Minamata Convention on Mercury as communicated by the President of the Conference of the Parties.*

*(b) Requests the Secretariat of the Global Environment Facility to transmit its approval to the Conference of the Parties.*

**Agenda Item 16.**

**Further work on the Sustainability of GEF Project and Programs**  
(CEO Chairs)

28. In view of the work carried out by the IEO and the Secretariat to date, and the feedback received, Document GEF/C.56/Inf.08 presents further analysis on sustainability.

**Agenda Item 17.**

**Report of the Selection and Review Committee**  
(Executive Session)

29. The Selection and Review Committee will present its report to the Council for consideration and appropriate decision.

**Agenda Item 18.**

**Other business**

30. Members and the Chairs may raise any other business under this agenda item.
31. The Chair will inform the Council of possible dates for holding the 2021 Spring and Fall Council meetings.

**Agenda Item 19.**

**Joint Summary of the Chairs**

32. The Elected Chair and the CEO/Chairperson will present a joint summary of the decision approved during the meeting.

## **Provisional Timetable**

### **Tuesday, June 11, 2019**

09:00 – 09:30	<b>Agenda Item 01.</b>	<b>Opening of the Meeting</b> (CEO Chairs)
	<b>Agenda Item 02.</b>	<b>Election of a Chairperson</b> (CEO Chairs)
	<b>Agenda Item 03.</b>	<b>Adoption of the Agenda</b> (Elected Chairperson Chairs)
09:30 – 10:30	<b>Agenda Item 04.</b>	<b>Policy on Monitoring</b> (CEO Chairs)
10:30 – 11:30	<b>Agenda Item 05.</b>	<b>Report on the Working Group on the GEF Partnership</b> (CEO Chairs)
11:30 – 12:30	<b>Agenda Item 07.</b>	<b>GEF Business Plan and Corporate Budget for FY20</b> (CEO Chairs)
12:30 – 14:00		<b>Lunch</b>
14:00 – 15:00	<b>Agenda Item 9.</b>	<b>Report of the Chairperson of the Scientific and Technical Advisory Panel</b> (Elected Chairperson Chairs)
15:00 – 15:30	<b>Agenda Item 16.</b>	<b>Further work on the Sustainability of GEF Project and Programs</b> (CEO Chairs)
15:30 – 17:00	<b>Agenda Item 13.</b>	<b>Work Program</b> (CEO Chairs)

### **Wednesday, June 12, 2019**

09:00 – 10:00	<b>Agenda Item 10.</b>	<b>Semi-Annual Evaluation Report and Management Response</b> (Elected Chairperson Chairs)
10:00– 11:00	<b>Agenda Item 11.</b>	<b>The GEF Evaluation Policy</b> (Elected Chairperson Chairs)
11:00 – 11:30	<b>Agenda Item 12.</b>	<b>Four-Year Work Program and Budget of the GEF Independent Evaluation Office – GEF-7</b> (Elected Chairperson Chairs)

11:30 – 12:00	<b>Agenda Item 06</b>	<b>Informing the Council of Possible Non-Compliance with Environmental and Social Safeguards</b> (CEO Chairs)
12:00 – 12:30	<b>Agenda Item 08.</b>	<b>Status of Agencies' Compliance with Minimum Requirements on AML-CFT</b> (CEO Chairs)
12:30 – 14:00		<b>Lunch</b>
14:00 – 15:30	<b>Agenda Item 14.</b>	<b>Relations with the Conventions and Other International Institutions</b> (Elected Chairperson Chairs)
	<b>Agenda Item 15.</b>	<b>Approval of the Memorandum of Understanding Between the Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility</b> (Elected Chairperson Chairs)
15:30 – 16:00	<b>Agenda Item 18.</b>	<b>Other Business</b> (Jointly Chaired)
16:00 – 17:30	<b>Agenda Item 17.</b>	<b>Report of the Selection and Review Committee</b> (Elected Chairperson Chairs – Executive Session)

**Thursday, June 13, 2019**

09:00 – 11:00		<b>LDCF/SCCF Council Meeting</b>
11:00 – 12:00	<b>Agenda Item 19.</b>	<b>Joint Summary of the Chairs</b> (Jointly Chaired)
12:00 – 14:00		<b>Lunch</b>