



Global Environment Facility

GEF/C.33/Inf.4
March 25, 2008

GEF Council
April 22-25, 2008

PROGRESS REPORT ON THE IMPLEMENTATION OF THE RAF

1. The GEF Council reviewed progress reports and provided additional guidance on the implementation of the RAF at its meetings between 2005 and 2007. The Council requested the Secretariat to report on the implementation of the RAF on a regular basis at its meeting in December 2006. This report summarizes the current status in programming resources available in the biodiversity and climate change focal areas under the RAF. It also informs the Council about the key issues in the implementation of the RAF since the report to Council in November 2007.
2. Operational focal points were informed in December 2007 about the ability to track the country's RAF allocations and its utilization on the country profile page of the GEF website, which is publicly available. In addition, operational focal points have been able to obtain through a secure portion of the country profile webpages, the status of PIFs and projects submitted from their respective countries during various stages of the project cycle.
3. The utilization of country and group allocations for each focal area under the RAF by each country are summarized in Annex A. As of March 3, 2008 countries had utilized a total of \$196.029 million in biodiversity allocations and \$199.768 million in climate change allocations. The current pipeline of projects under preparation will utilize an additional \$158.583 million in biodiversity and \$103.861 million in climate change. The pipeline includes projects in the April work-program for \$75.570 million in biodiversity and \$68.110 million in climate change. The remaining projects in the pipeline for \$83.013 million in biodiversity and \$35.751 million for climate change are expected to be presented for approval beyond the April 2008 work-program. With the approval of the April work-program, about 28% of the resources available in these two focal areas (\$537.477 million) will have been utilized.
4. The programming rate in the biodiversity and climate change focal areas was slow through June 2007 but has picked up considerably in FY08, as a number of new initiatives have been finalized and are being presented to Council as programmatic approaches. The *Sustainable Forest Management Program (SFM Program)* was approved by Council in November 2008. The April 2008 workprogram includes a number of new PIFs that deepen GEF's programming under the Council-approved *SFM Program*. In addition, the April 2008 workprogram contains a number of new programmatic approaches in the biodiversity and climate change focal areas, including *Energy Efficiency in India and in Russia*, *Pacific Alliance for Sustainability and Biosafety*. It is expected that programming in these two focal areas will further pick-up in 2008, as additional PIFs are added to these new Programs and additional programmatic approaches are added in future workprograms. Programming in these two focal areas is expected to surpass 50% of the resources available in these focal areas during the calendar year 2008.
5. The GEF Secretariat has taken initial steps towards completing a mid-term reassessment and reallocation of resources available under the RAF, in accordance with paragraph 2(d) of the Council's Decision on the Resource Allocation Framework, *Joint Summary of the Chairs Special Meeting of the Council August 31-September 1, 2005*. The reassessment will include a recalculation of the GEF Benefits Index and the GEF Performance Index of all eligible countries using updated data and a reallocation of available resources using the RAF model. The mid-term reassessment will be completed in June 2008, and the updated allocations are expected to be publicly available in July 2008. The new allocations for all countries will be published on the

RAF pages of the GEF website. They will also be available through the country profile page of the GEF website (<http://www.gefonline.org/Country/CountryProfile.cfm>).

6. A number of countries with access to the biodiversity and climate change group allocations have sought clarification on the resources that would be available to them and on how to access the available resources after the mid-term reassessment and reallocations.
7. All of the existing RAF rules and guidance applicable to countries in the group continue to apply after the second half of GEF-4 begins.¹ Nevertheless, clarification is necessary for these rules to be applied.
8. Generally, during GEF-4, the resources allocated to the countries in the climate change and biodiversity groups are sufficient to fund projects up to \$1 million for all countries in each group and between \$1 million and the upper limit for some, but not for all countries.
9. Historically, not all eligible countries have used funding during each replenishment period. For instance during GEF-3, of the approximately 165 countries eligible for climate change, 86 countries received more than \$1 million, 37 received less than \$1 million and 42 received no funding. Similarly, of the 150 countries eligible for biodiversity, 101 countries received more than \$1 million, 31 received less than \$1 million and 18 received no funding. So far during GEF-4, 7 of the 116 countries in the climate change group and 27 of the 90 countries in the biodiversity group have utilized any of the resources available to the group.
10. Accordingly, the management of the group allocations will be made operational in the second half of GEF-4 as follows:
 - (a) Between July 1 and December 31, 2008 all countries in the biodiversity or climate change groups can submit PIFs for project proposals for up to \$1 million for each focal area, less whatever amount they had already received during the first half of GEF-4 for that focal area. These PIFs will be reviewed and technically qualified PIFs will be approved in accordance with the GEF project cycle. After December 31 2008 similar PIFs may be submitted and they will be reviewed in a similar manner but their approval will depend on the availability of funds.
 - (b) From July 1, 2008, all countries in the biodiversity or climate change groups, will be able to submit PIFs for project proposals for up to the revised upper limit (para

¹ Countries in the group do not have individual allocations and instead have collective access to the resources for the group. However, to ensure fairness across countries in the group, the RAF establishes an upper limit for the total projects approved for a country in the group. The Secretariat had outlined in the November 2006 *Progress Report on the Implementation of the RAF* the criteria to be used in managing the group allocations. These criteria will continue to guide the management of allocations to the group: (a) the group allocation cannot be used to provide additional funds for the Small Grants Program; (b) project proposals in excess of \$1 million during GEF-4 will be reviewed collectively against all other projects from countries in the group at periodic intervals to determine the projects that will be funded, and (c) proposals for up to \$1 million during GEF-4 from countries in the group will be reviewed within the context of the national priorities of the country and without reference to alternative proposals from other countries in the group.

c), less whatever amount they have already received during GEF-4 for the focal area. These PIFs will be reviewed collectively against all other PIFs and technically qualified PIFs will be selected for funding after January 1, 2009 in accordance with the selection criteria to be published, as noted in para (d) below.

- (c) The upper limits for countries in the biodiversity and climate change focal areas specify the maximum level of resources that can be approved for any country in either of the groups during the GEF-4 replenishment period. The upper limit applicable to biodiversity was initially set at \$3.5 million. Similarly, the upper limit applicable to climate change was initially set at \$3.1 million. These amounts will be revised on July 1, 2008 as part of the mid-term reassessment and reallocation. The revised upper limits for countries in the groups in biodiversity and climate change will be made publicly available in July 2008.
- (d) The Secretariat, in consultation with the agencies, will develop the criteria against which projects that are submitted under the collective review process, described in para b) above, will be selected for funding. These criteria will be made publicly available in July 2008.

RAF Country/Group Allocation Utilization Report*

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Biodiversity				
<i>Summary for Biodiversity</i>				
Countries with Individual Allocation	753.200	174.149	134.335	444.716
Countries in Group	146.800	13.120	23.698	109.982
Regional/Global Exclusion	50.000	8.761	0.550	40.689
Total for Biodiversity	950.000	196.029	158.583	595.387

* All amounts in US\$M and include GEF Agency Fees.

** As of date March 03, 2008

Group (Biodiversity)

Countries in the group have collective access to US\$M 146.80 in GEF - 4. No country in the group can access more than US\$M 3.50 in GEF - 4.

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Countries with Individual Allocations				
Afghanistan	3.500	-	-	3.500
Algeria	3.700	0.224	-	3.476
Argentina	14.500	0.150	0.900	13.450
Bolivia	11.400	0.200	-	11.200
Brazil	63.200	27.876	15.246	20.078
Cameroon	11.900	0.150	6.160	5.590
Cape Verde	4.100	-	-	4.100
Chile	15.700	6.729	-	8.971
China	44.300	19.336	6.293	18.671
Colombia	36.600	3.300	7.639	25.661
Congo DR	9.600	-	-	9.600
Costa Rica	12.000	5.812	4.398	1.790
Cote d'Ivoire	3.600	0.150	3.112	0.338
Cuba	14.700	0.195	6.287	8.218
Dominican Republic	5.800	0.300	2.775	2.725
Ecuador	23.200	5.284	7.586	10.330
Egypt	4.300	0.100	3.978	0.222
Ethiopia	7.700	0.150	-	7.550
Fiji	5.100	0.100	-	5.000
Guatemala	8.200	4.501	-	3.699
Haiti	4.100	0.150	-	3.950
Honduras	6.800	0.200	2.234	4.366
India	29.600	7.040	4.730	17.830
Indonesia	41.400	12.452	4.305	24.642
Iran	6.700	1.621	0.440	4.639
Jamaica	5.100	0.495	-	4.605
Kazakhstan	5.500	2.622	2.647	0.231
Kenya	7.900	1.570	-	6.330
Lao PDR	5.200	-	-	5.200
Madagascar	24.200	0.700	0.675	22.825
Malawi	4.200	0.143	-	4.057
Malaysia	15.200	2.070	1.650	11.480
Mauritius	5.600	4.850	-	0.750
Mexico	54.600	10.315	7.590	36.695
Mongolia	3.800	1.903	-	1.897
Morocco	4.300	0.100	-	4.200
Mozambique	6.800	0.180	-	6.620

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Group (Biodiversity)

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Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Namibia	6.500	0.300	0.090	6.110
Nicaragua	4.000	1.994	-	2.007
Nigeria	5.600	-	-	5.600
Pakistan	5.100	1.194	-	3.906
Panama	11.200	0.200	-	11.000
Papua New Guinea	12.500	-	3.945	8.555
Peru	25.300	11.473	3.215	10.613
Philippines	21.300	1.687	10.724	8.889
Russian Federation	25.300	9.462	-	15.838
Seychelles	5.300	2.180	-	3.120
South Africa	22.500	10.570	9.900	2.030
Sri Lanka	6.400	0.960	-	5.440
Sudan	4.300	-	-	4.300
Tanzania	12.800	4.265	-	8.535
Thailand	9.200	5.144	-	4.056
Turkey	6.100	2.730	1.072	2.298
Uganda	4.000	-	0.883	3.118
Venezuela	16.700	0.093	7.907	8.700
Vietnam	10.200	0.931	7.505	1.765
Zambia	5.100	-	0.450	4.650
Subtotal for Countries with Individual Allocation	753.200	174.149	134.335	444.716

* All amounts in US\$M and include GEF Agency Fees.

** As of date March 03, 2008

Group (Biodiversity)

Countries in the group have collective access to US\$M 146.80 in GEF - 4. No country in the group can access more than US\$M 3.50 in GEF - 4.

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Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Countries in Group				
Albania		1.038	1.045	
Angola		-	-	
Antigua And Barbuda		-	0.483	
Armenia		-	1.100	
Azerbaijan		-	-	
Bahamas		-	-	
Bangladesh		0.625	-	
Barbados		-	0.633	
Belarus		-	-	
Belize		-	-	
Benin		-	-	
Bhutan		0.980	-	
Bosnia-Herzegovina		-	1.210	
Botswana		-	1.100	
Bulgaria		1.040	-	
Burkina Faso		-	-	
Burundi		-	-	
Cambodia		-	-	
Central African Republic		-	-	
Chad		-	-	
Comoros		-	0.400	
Congo		-	-	
Cook Islands		-	-	
Croatia		-	-	
Djibouti		-	-	
Dominica		-	0.483	
El Salvador		-	0.598	
Equatorial Guinea		-	-	
Eritrea		-	-	
Estonia		-	-	
Gabon		-	-	
Gambia		-	-	
Georgia		0.299	0.685	
Ghana		0.410	-	
Grenada		-	-	
Guinea		-	-	
Guinea-Bissau		-	0.950	

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Group (Biodiversity)

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Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Guyana		0.299	-	
Hungary		-	-	
Jordan		-	-	
Kiribati		-	-	
Korea DPR		-	-	
Kyrgyzstan		1.048	1.103	
Latvia		-	-	
Lebanon		-	1.078	
Lesotho		-	1.100	
Liberia		0.056	0.831	
Libya		-	-	
Lithuania		-	-	
Macedonia		1.100	-	
Maldives		-	-	
Mali		-	-	
Marshall Islands		-	2.000	
Mauritania		-	-	
Micronesia		-	2.000	
Moldova		-	-	
Montenegro		-	-	
Myanmar		-	-	
Nauru		-	-	
Nepal		0.212	-	
Niger		-	-	
Niue		-	-	
Palau		-	2.000	
Paraguay		1.107	0.200	
Poland		-	-	
Republic Of Korea		-	-	
Romania		0.483	0.605	
Rwanda		-	-	
Samoa		-	-	
Sao Tome and Principe		-	-	
Senegal		-	-	
Serbia		-	-	
Sierra Leone		-	-	
Slovak Republic		1.060	-	
Solomon Islands		-	-	

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Group (Biodiversity)

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<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
St. Kitts And Nevis		0.193	0.483	
St. Lucia		-	0.483	
St. Vincent and Grenadine		-	0.483	
Suriname		-	-	
Swaziland		-	0.500	
Syria		-	-	
Tajikistan		1.045	-	
Timor Leste		-	-	
Togo		-	-	
Tonga		-	-	
Trinidad and Tobago		-	0.633	
Tunisia		0.112	0.440	
Turkmenistan		-	-	
Tuvalu		-	-	
Ukraine		2.013	-	
Uruguay		-	-	
Uzbekistan		-	-	
Vanuatu		-	-	
Yemen		-	1.075	
Zimbabwe		-	-	
Subtotal for Countries in Group	146.800	13.120	23.698	109.982

* All amounts in US\$M and include GEF Agency Fees.

** As of date March 03, 2008

Group (Biodiversity)

Countries in the group have collective access to US\$M 146.80 in GEF - 4. No country in the group can access more than US\$M 3.50 in GEF - 4.

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Climate Change				
Summary for Climate Change				
Countries with Individual Allocation	751.400	172.233	87.764	491.403
Countries in Group	148.600	4.397	13.996	130.206
Regional/Global Exclusion	50.000	23.138	2.100	24.762
Total for Climate Change	950.000	199.768	103.861	646.371

* All amounts in US\$M and include GEF Agency Fees.

** As of date March 03, 2008

Group (Climate Change)

Countries in the group have collective access to US\$M 148.60 in GEF - 4. No country in the group can access more than US\$M 3.10 in GEF - 4.

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Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Countries with Individual Allocations				
Algeria	7.600	-	-	7.600
Argentina	13.300	0.150	0.900	12.250
Azerbaijan	4.100	-	-	4.100
Bangladesh	6.700	1.091	-	5.609
Belarus	7.900	0.400	-	7.500
Bolivia	3.100	0.100	1.000	2.000
Brazil	38.100	15.039	8.600	14.461
Bulgaria	8.500	0.300	-	8.200
Cambodia	3.300	0.300	-	3.000
Chile	6.100	0.075	2.901	3.124
China	150.000	75.401	3.863	70.737
Colombia	8.900	0.025	0.975	7.900
Cuba	4.200	0.135	-	4.065
Egypt	11.500	0.200	-	11.300
Ethiopia	4.900	0.100	-	4.800
Hungary	8.200	-	-	8.200
India	74.900	26.705	10.582	37.613
Indonesia	16.300	9.363	0.891	6.046
Iran	16.500	0.400	9.722	6.378
Kazakhstan	13.500	0.150	-	13.350
Kenya	3.400	0.130	-	3.270
Korea DPR	6.400	-	-	6.400
Latvia	3.200	-	-	3.200
Lithuania	3.400	-	-	3.400
Malaysia	11.300	0.283	5.500	5.517
Mexico	28.300	1.090	11.550	15.660
Morocco	3.800	0.100	-	3.700
Nigeria	9.300	-	-	9.300
Pakistan	13.200	1.425	1.050	10.725
Peru	4.600	-	-	4.600
Philippines	6.600	-	-	6.600
Poland	38.100	-	-	38.100
Romania	13.900	0.300	-	13.600
Russian Federation	72.500	10.932	20.768	40.801
Slovak Republic	5.700	-	-	5.700
South Africa	23.900	12.007	-	11.893
Sudan	5.700	-	-	5.700

* All amounts in US\$M and include GEF Agency Fees.

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Group (Climate Change)

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Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Syria	4.900	0.125	-	4.775
Tanzania	4.800	-	-	4.800
Thailand	14.700	4.632	-	10.068
Turkey	17.500	0.300	5.873	11.327
Uganda	3.100	0.300	-	2.800
Ukraine	18.900	9.295	-	9.605
Uzbekistan	9.300	0.150	3.590	5.560
Venezuela	8.800	-	-	8.800
Vietnam	8.500	1.231	-	7.269
Subtotal for Countries with Individual Allocation	751.400	172.233	87.764	491.403

* All amounts in US\$M and include GEF Agency Fees.

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<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Countries in Group				
Afghanistan		-	-	
Albania		-	1.075	
Angola		-	-	
Antigua And Barbuda		-	-	
Armenia		0.050	0.900	
Bahamas		-	-	
Bahrain		-	-	
Barbados		-	-	
Belize		-	-	
Benin		-	-	
Bhutan		-	-	
Bosnia-Herzegovina		-	1.045	
Botswana		-	-	
Burkina Faso		-	0.971	
Burundi		-	-	
Cameroon		-	-	
Cape Verde		-	-	
Central African Republic		-	-	
Chad		-	-	
Comoros		-	-	
Congo		-	-	
Congo DR		-	-	
Cook Islands		-	-	
Costa Rica		-	-	
Cote d'Ivoire		-	-	
Croatia		-	-	
Cyprus		-	-	
Djibouti		-	1.100	
Dominica		-	-	
Dominican Republic		-	-	
Ecuador		-	-	
El Salvador		-	-	
Equatorial Guinea		-	-	
Eritrea		-	-	
Estonia		-	-	
Fiji		-	-	
Gabon		-	-	

* All amounts in US\$M and include GEF Agency Fees.

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Group (Climate Change)

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<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Gambia		-	-	
Georgia		-	-	
Ghana		-	-	
Grenada		-	-	
Guatemala		-	-	
Guinea		-	-	
Guinea-Bissau		-	-	
Guyana		-	1.100	
Haiti		0.150	1.075	
Honduras		-	-	
Israel		-	-	
Jamaica		-	-	
Jordan		-	-	
Kiribati		-	-	
Kuwait		-	-	
Kyrgyzstan		-	0.990	
Lao PDR		-	-	
Lebanon		-	-	
Lesotho		-	-	
Liberia		-	-	
Libya		-	-	
Macedonia		-	-	
Madagascar		-	1.100	
Malawi		-	-	
Maldives		-	-	
Mali		-	-	
Malta		-	-	
Marshall Islands		1.075	-	
Mauritania		-	-	
Mauritius		1.006	-	
Micronesia		-	-	
Moldova		-	-	
Mongolia		-	-	
Montenegro		1.076	-	
Mozambique		-	-	
Myanmar		-	-	
Namibia		-	-	
Nauru		-	-	

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** As of date March 03, 2008

Group (Climate Change)

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Climate Change

Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Nepal		-	-	
Nicaragua		-	-	
Niger		-	-	
Niue		-	-	
Oman		-	-	
Palau		-	1.075	
Panama		-	-	
Papua New Guinea		-	-	
Paraguay		-	0.800	
Qatar		-	-	
Republic Of Korea		-	-	
Rwanda		-	-	
Samoa		-	-	
San Marino		-	-	
Sao Tome and Principe		-	-	
Saudi Arabia		-	-	
Senegal		-	-	
Serbia		-	-	
Seychelles		-	-	
Sierra Leone		-	-	
Singapore		-	-	
Solomon Islands		-	-	
Sri Lanka		-	0.891	
St. Kitts And Nevis		-	-	
St. Lucia		-	-	
St. Vincent and Grenadine		-	-	
Suriname		-	-	
Swaziland		-	0.800	
Tajikistan		-	1.075	
Timor Leste		-	-	
Togo		-	-	
Tonga		-	-	
Trinidad and Tobago		-	-	
Tunisia		-	-	
Turkmenistan		-	-	
Tuvalu		-	-	
United Arab Emirates		-	-	
Uruguay		1.040	-	

* All amounts in US\$M and include GEF Agency Fees.

** As of date March 03, 2008

Group (Climate Change)

Countries in the group have collective access to US\$M 148.60 in GEF - 4. No country in the group can access more than US\$M 3.10 in GEF - 4.

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Climate Change

Annex A

<i>Country</i>	<i>Country/Group Allocation**</i>	<i>Utilization**</i>	<i>Pipeline**</i>	<i>Available for Programming**</i>
Vanuatu		-	-	
Yemen		-	-	
Zambia		-	-	
Zimbabwe		-	-	
Subtotal for Countries in Group	148.600	4.397	13.996	130.206

* All amounts in US\$M and include GEF Agency Fees.

** As of date March 03, 2008

Group (Climate Change)

Countries in the group have collective access to US\$M 148.60 in GEF - 4. No country in the group can access more than US\$M 3.10 in GEF - 4.