

GEF Council Meeting  
October 28 – 30, 2014  
Washington, D.C.

Agenda Item 04

**ANNUAL MONITORING REVIEW (AMR) FY14:  
PART I**

**Recommended Council Decision**

The Council, having reviewed GEF/C.47/04, *Annual Monitoring Review (AMR) FY 14: Part I*, welcomes the overall finding that the GEF portfolio under implementation in FY14 performed satisfactorily across all focal areas. The Council welcomes the first disbursement analysis in the management effectiveness section.

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## INTRODUCTION

1. The Annual Monitoring Review (AMR) is designed to provide information regarding the overall health of the GEF Trust Fund's active portfolio of projects and to provide an overview of the portfolio approvals in any given fiscal year. At its meeting in May 2011, the Council agreed to a two-step approach to the AMR: (i) Part one, containing a macro-view of the portfolio under implementation presented to the Council at its fall meeting soon after the conclusion of the fiscal year; and (ii) Part two, presented in the spring, containing more in-depth analysis of outcomes, experiences, and lessons learned.
2. The Secretariat has coordinated with the GEF Agencies to submit AMR Part I to the October 2014 Council meeting. The Secretariat relied on the tremendous effort of the Agencies to gather the required data from the field, synthesize and compile the data, and prepare this document for the Council. The Secretariat is appreciative of the high quality and completeness of the submissions by GEF Agencies that have projects under implementation.
3. This year's AMR provides: (i) an overview of cumulative project approvals since GEF inception; (ii) an analysis of GEF-5 project approvals through FY14; (iii) a breakdown of GEF's active portfolio, including performance ratings; and (iv) information on management effectiveness and efficiency indicators. The FY14 report also includes for the second time the first disbursement analysis for the projects which were CEO endorsed/approved during GEF-5.
4. The FY14 AMR includes projects and programs in 146 countries that began implementation on or before July 1, 2013. Specifically, the FY14 report includes all projects under implementation, for at least part of the period July 1, 2013 – June 30, 2014, as part of the GEF's active portfolio. FY 14 marks the fourth (last) year of programming under GEF-5. Three hundred sixty one projects and programs were approved in FY 14 for a total grant amount of \$899 million.
5. Given the concerns about project cycle performance, Council attention is drawn to Annex III that contains a list of all projects that are overdue for CEO endorsement. In addition, the analysis of first disbursement is included in the Management Efficiency and Effectiveness section.
6. A break-down of project and program approvals by focal area objectives are also presented to show the overall percentage of funds delivered toward respective project goals and focal area targets (in response to Council Meeting Highlights, June 2010). This analysis of project/program indicative funding by focal area objectives is presented in the portfolio overview section for GEF-5 approvals through FY14.
7. The current report covers only the funds in the GEF Trust Fund; a separate monitoring report for the LDCF/SCCF will be presented to the spring LDCF/SCCF Council meeting.

## GEF AT A GLANCE (AS OF JUNE 30, 2014)

**Table 1: GEF at a Glance (as of June 30, 2014)<sup>1</sup>**

<b>Cumulative – Project Approvals</b>	
Number of approvals	3,578
Value of Approvals <sup>2</sup>	\$12,207 million
Indicative Co-financing	\$56,016 million
Ratio of \$ GEF : \$ Indicative Co-financing	1:4.6
<b>FY 14– Project Approvals</b>	
Number of Approvals	361
Value of Approvals	\$899 million
Average Value for FSP Project	\$5.5 million
Range of Value	\$2.2 - 18 million
<b>FY 14 – Projects Under Implementation</b>	
Number of Projects	734
Value of Projects	\$3,112 million
Number of Projects Closed	59
Number of Cancelled Projects <sup>3</sup>	3
Number of Withdrawn Projects	4
<b>FY 14– Projects Development Outcome Ratings</b>	
Percentage of projects that have received a moderately satisfactory or better rating	90%

## PORTFOLIO OVERVIEW

8. The portfolio overview provides a summary of the GEF's cumulative project and program approvals since inception, cumulative funding decisions, and approval data for GEF-5. The information presented in the following section is based on data retrieved from the Secretariat's Project Management Information System (PMIS) database and the GEF Trustee.

### Cumulative Project Approvals since Inception

9. Project amounts for GEF approvals from inception through June, 30, 2014 totaled \$12,207 million in grants, including programs, enabling activities (EAs), project preparation grants (PPGs), and Small Grants Program (SGP). Table 2 presents GEF cumulative funding by modality from 1991-2014.<sup>4</sup> In FY 14, project approvals amounted to \$899 million in grants for 361 projects: 119 Full-Sized Projects (FSP), 143 Medium-Sized Projects (MSP), and 96 enabling Activities (EAs).

<sup>1</sup> All figures in this report are in USD

<sup>2</sup> Excluding Agency fees

<sup>3</sup> AfDB reported 3 cancelled projects and 4 withdrawn projects for FY 14.

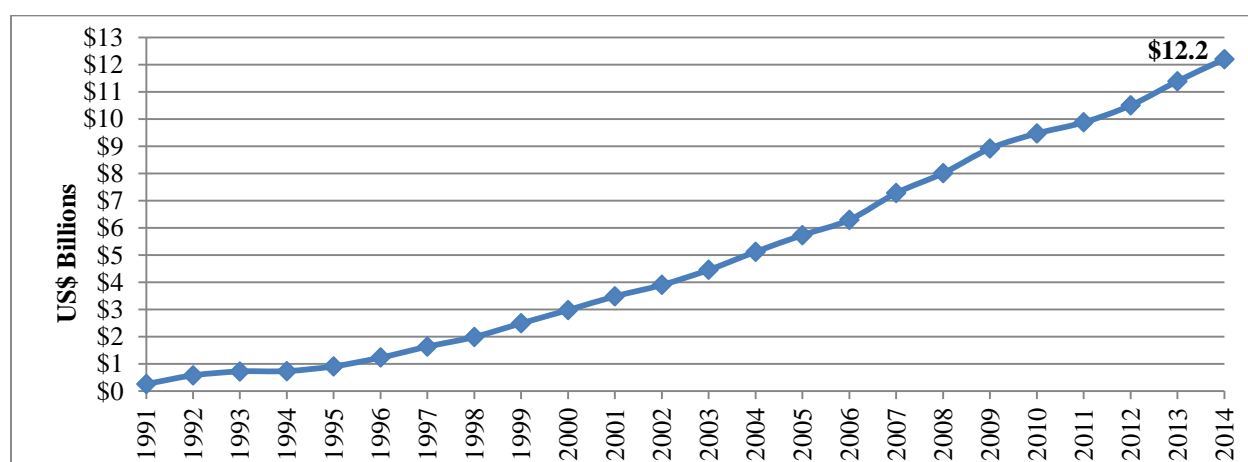
<sup>4</sup> Agency fees excluded.

**Table 2: GEF Cumulative Funding by Modality<sup>5</sup>**

Modality	Amount (\$ millions)
FSPs and MSPs <sup>6</sup>	9,148
Programs	1,625
Small Grants Program	727
Enabling Activities	418
Project Preparation Grants	291
<b>Total</b>	<b>12,207</b>

10. Figure 1 presents the cumulative projects approvals (excluding Agency fees) by dollar amount from 1991 to 2014.

**Figure 1: Cumulative Project Approvals**



### Net Commitments, Funding Decisions, and Cash Transfers

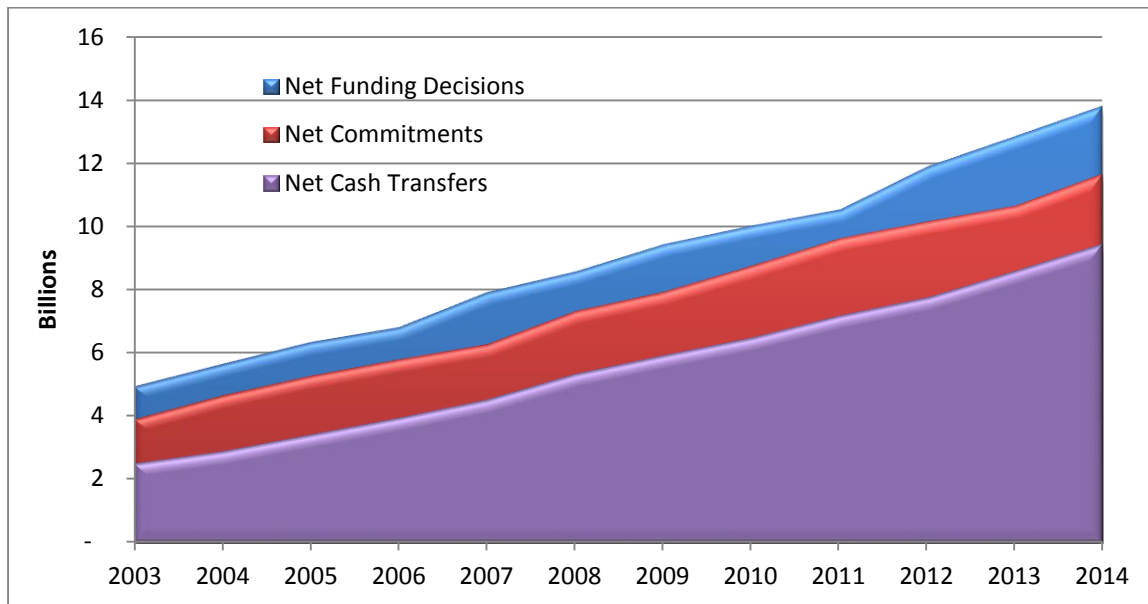
11. Figure 2 provides the GEF cumulative commitments, funding decisions and cash transfers from FY03 through FY14 (GEF-3, GEF-4, and GEF-5 period). The cumulative funding decisions, which refers to all project related funding decisions since GEF inception, total \$13.8 billion (this figure includes Agency Fees) a 7 percent increase from FY13 (\$12.8 billion). Cumulative cash transfers which refer to the transfer of funds from the Trustee to Agencies, totaled \$9.4 billion in FY14, an increase of 10 percent from FY13 (\$8.6 billion).

<sup>5</sup> Figures included GEF Trust Fund projects and GEF portions of Multi Trust Fund projects. The Amounts exclude PPG, which is captured in the separate Modality item “Project Preparation Grants”.

<sup>6</sup> FSPs and MSPs for projects under programs and for projects under small grants program are excluded.



**Figure 2: Cumulative Funds Transfer, Commitments, and Funding Decisions**  
(By fiscal year as of June 30, 2014)<sup>7</sup>



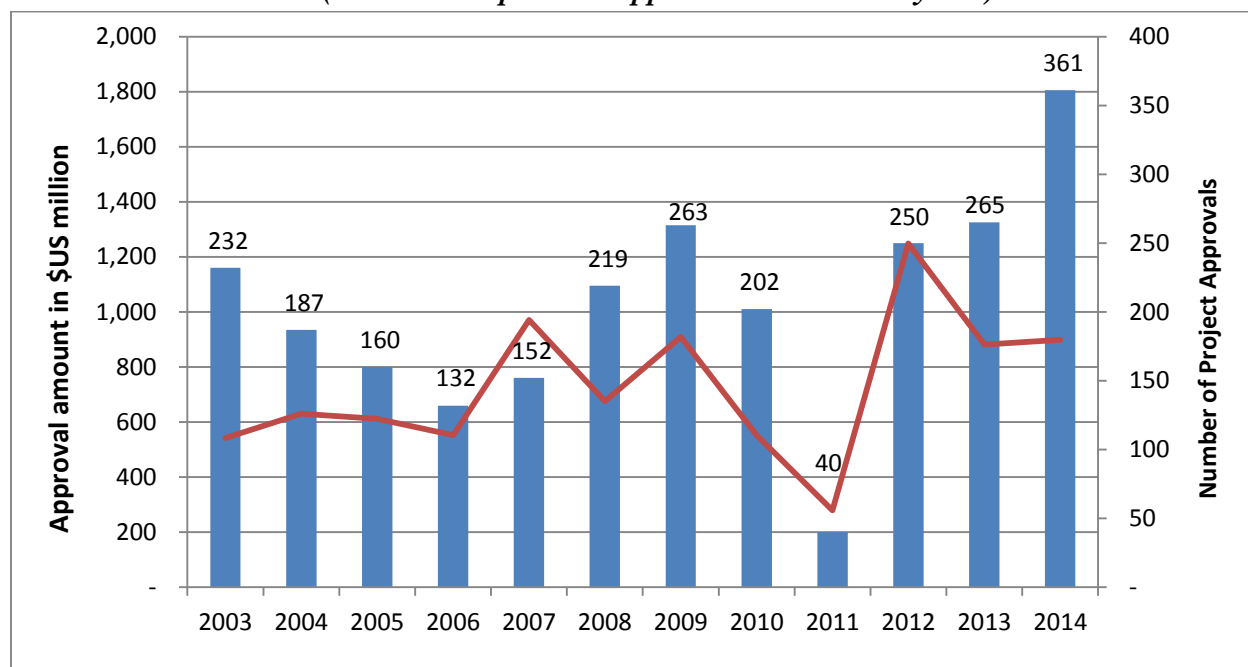
### GEF's Programming by Fiscal Year

12. The following section provides an analysis of total resources programmed from FY03 through FY14. The third Replenishment (GEF-3) period includes fiscal years 2003-2006. The fourth Replenishment (GEF-4) period includes fiscal years 2007-2010, and the fifth Replenishment (GEF-5) period includes fiscal years 2011-2014. In FY 14, the GEF programmed \$899 million for 361 projects.<sup>8</sup> Figure 3 provides total approvals and grant amounts by fiscal year.

<sup>7</sup> The data presented in this figure may have shifted across years due to data reconciliation.

<sup>8</sup> Includes FSPs, MSPs, EAs only GEF project grant excluding PPGs and Agencies Fees.

**Figure 3: Project Approvals by Amount and Number of Projects by Fiscal Year**  
*(The red line presents approval amount over years)*



### Project Approvals in GEF-5<sup>9</sup>

13. The following section provides a comparison of total resources programmed starting from FY 2003 through 2011. The third Replenishment (GEF-3) period includes four fiscal years (2003-2006). The fourth Replenishment (GEF-4) period includes four fiscal years (2007-2010), and the fifth Replenishment (GEF-5) period includes four fiscal years (2011-2014)<sup>10</sup>.

14. A total of 938 projects (498 FSPs, 215 MSPs, and 225 EAs) including 16 programs have been approved to date in GEF-5 for a total of \$3,408 million. Under these 16 programs, 65 sub projects have been approved to date. These sub-projects are included in the total project figures given in this section.

15. Table 3 presents a detailed break-down of received grant amounts by Agency. In GEF-5, The United Nations Development Programme (UNDP) has received the largest grant amount approved totaling \$1,307 million (38 percent of total approved), followed by the World Bank totaling \$708

<sup>9</sup> For GEF-5 analysis, figures included GEF Trust Fund and Multi Trust Fund (GEF portion of the fund).

<sup>10</sup> During the first Fiscal Year of GEF-5, a significantly lower number of project approvals and approval amounts have been programmed in comparison to previous years. There are several reasons why the programming number for FY 2011 was low. Constituting the first work program of the FY 2011 (November 2010 Council) was a challenge for the GEF Secretariat and its partners, given reforms put in place to make the GEF more country-driven and results-driven, Agencies needed a few months to adjust to new policies. Given these circumstances, and the fact that the level of resources available in the GEF Trust Fund was limited at the time, the Secretariat proposed only four full-sized PIFs for the November 2011 work program in addition to the Small Grants Program (SGP). The quality of PIF proposals since the November 2010 work program has improved, as evidenced by a drop in PIF rejection rate from 23% to 3% for the May 2011 work program.

million (21percent of total approved), and United Nations Environment Programme (UNEP) totaling \$416 million (12 percent). The UNDP has also the largest number of projects approved (380), followed by UNEP (188), UNIDO (134), and The World Bank (89).

16. The World Bank and UNDP's larger share of GEF-5 approvals appears to be following a similar trend in GEF-4. By the end of GEF-4 (2007-2010), UNDP had the largest grant amount totaling \$970 million as well as the largest number of projects approved (323). The World Bank had the second largest grant amount totaling \$946 million; however the number of projects approved was significantly higher in GEF-4 at 170 as opposed to GEF-5 at 89.

**Table 3: GEF-5 Share of Grant Amounts at a Glance by Agency<sup>11</sup>**

Agency	No. of Approvals			Total Grant (\$ million)	Share of Grant (%)
	FSP	MSP	EA		
<b>UNDP</b>	94	201	85	1,307	38
<b>World Bank</b>	80	8	1	708	21
<b>UNEP</b>	64	58	66	416	12
<b>UNIDO</b>	40	37	57	258	8
<b>FAO</b>	52	9	6	244	7
<b>IADB</b>	19	5	1	172	5
<b>Joint Agency</b>	13	2	0	81	2
<b>AfDB</b>	6	1	0	78	2
<b>EBRD</b>	5	2	0	51	2
<b>ADB</b>	11	2	0	37	1
<b>WWF-US</b>	0	2	2	25	1
<b>CI</b>	2	4	0	18	1
<b>IFAD</b>	3	0	0	13	0
<b>Total</b>	<b>389</b>	<b>331</b>	<b>218</b>	<b>3,408</b>	<b>100</b>

17. When breaking down project approvals by focal area,<sup>12</sup> the Multi Focal Area (MFA) had the largest share of funds with \$1,242 million. Followed by CC (\$839 million), BD (\$574 million), POPs (\$343 million), IW (\$284 million), LD (\$121 million), and ODS (\$5 million). CC had the largest number of projects approved with 234 projects, followed by BD (220) and MFA (204). Table 4 presents the detailed break-down of project approval by focal area.

<sup>11</sup> SGP Core Program (\$135M) with GEF ID 4329 (UNDP, MFA) is excluded from analysis to provide a more realistic picture, applied in all GEF-5 analysis.

<sup>12</sup> Multifocal Area (MFA), Climate Change (CC), Biodiversity (BD), Persistent Organic Pollutants (POPs), Land Degradation (LD), Ozone Depleting Substances (ODS), International Waters (IW).

**Table 4: GEF-5 Share of Grants at a Glance by Focal Area**

Focal Area	No. of Approvals			Total Grant (\$ million)	Share of Grant (%)
	FSP	MSP	EA		
<b>MFA</b>	151	51	2	1,242	36
<b>CC</b>	129	58	47	839	25
<b>BD</b>	107	45	68	574	17
<b>POPs</b>	49	29	73	343	10
<b>IW</b>	38	6	0	284	8
<b>LD</b>	22	26	35	121	4
<b>ODS</b>	2	0	0	5	0
<b>TOTAL</b>	<b>498</b>	<b>215</b>	<b>225</b>	<b>3,408</b>	<b>100</b>

18. The larger share of MFAs in GEF-5 approvals appears to be a new trend in the GEF (FY 11 the highest). Of the \$1,241 million approved as MFAs: 10 projects were upgraded SGP country program projects totaling \$142 million; Ten SGP country programs upgraded (funded solely through their country's STAR allocations); \$129 million was programmed for SFM/REDD+; \$81 million is attributable to the Sahel and West Africa Program in Support of the Great Green Wall Initiative. Total grant approved was \$101 million, \$81 million from the GEF TF and \$20 million from the LD/FC/SCCF. In addition, the greater share of MFAs is also partially related to the rise of programmatic approaches which are primarily MFAs.

19. Table 5 provides comparison of GEF-5 fiscal years approvals by share of Focal Area.

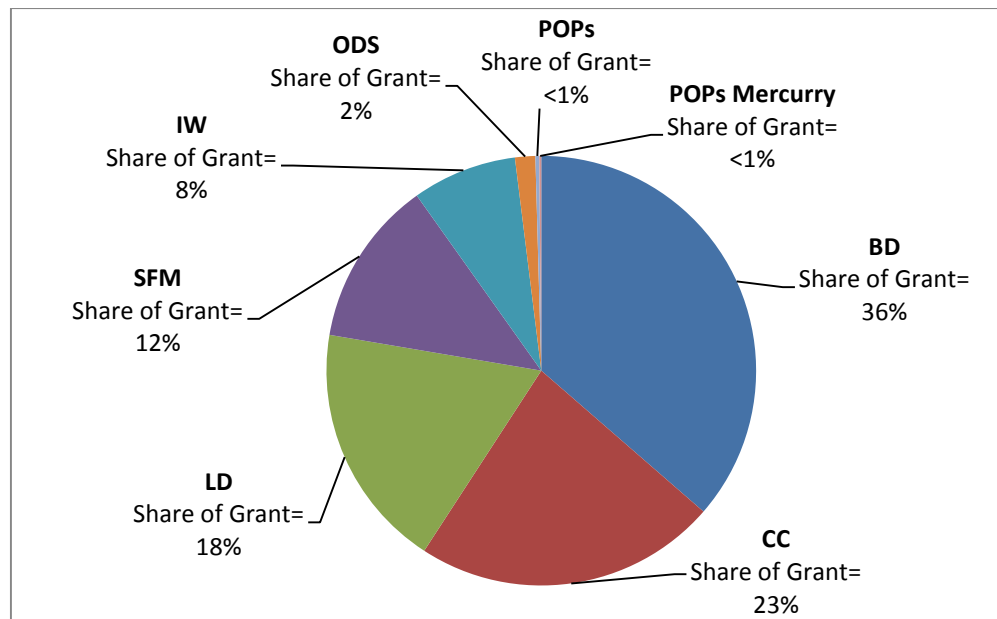
**Table 5: Comparison of GEF-5 Fiscal Years Approvals by Share of Focal Area**

Focal Area	FY 11 (%)	FY 12 (%)	FY 13 (%)	FY 14 (%)
<b>MFA</b>	49	41	35	20
<b>CC</b>	33	22	26	27
<b>BD</b>	9	20	13	21
<b>POPs</b>	6	10	13	11
<b>LD</b>	2	3	4	6
<b>ODS</b>	1	0	0	0
<b>IW</b>	0	4	10	15
<b>TOTAL</b>	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>

20. Figure 4 presents the breakdown of MFA project composition of resources by focal area as follows: Out of the \$1,043 million,<sup>13</sup> BD had the largest share of funds with \$379 million, followed by CC (\$238 million), LD (\$192 million), SFM (\$130 million), IW (\$83 million), ODS (\$16 million), POPs (\$3 million), and POPs Mercury (\$2 million). As shown in Figure 4, MFA projects aggregated the resources from all focal areas contributed to the MFAs.

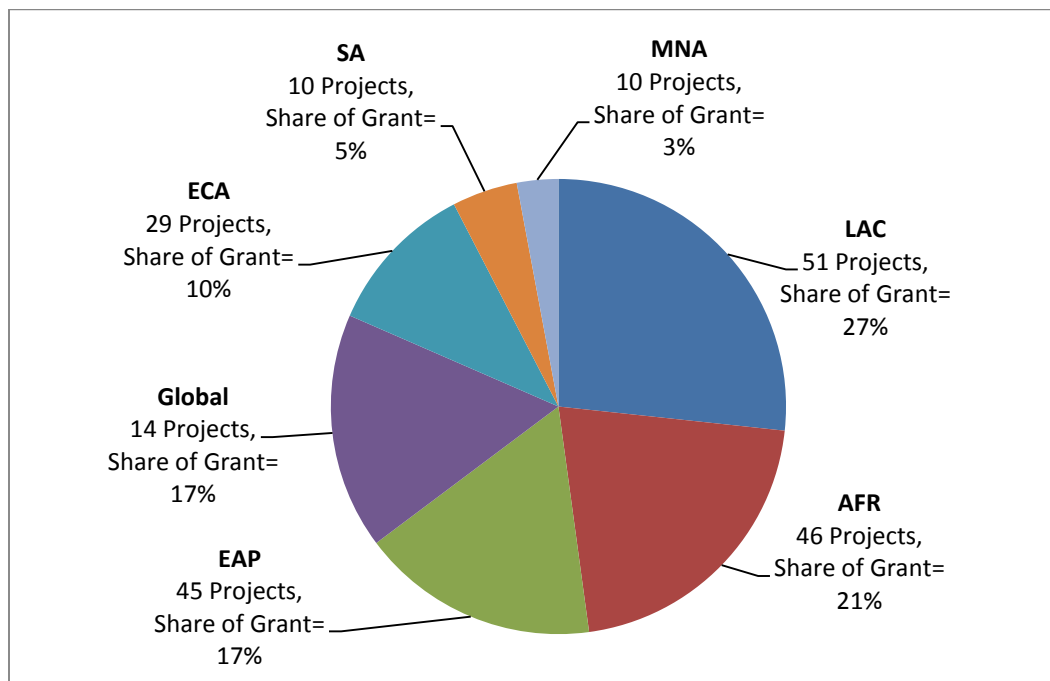
<sup>13</sup> The analysis for breakdown of MFA by FA and Region excluded PPP, Earth Fund, and SGP Core.

**Figure 4. GEF-5 Distribution of Focal Area by Resources for MFA Projects**



21. Figure 5 presents the breakdown of MFA project composition of resources by region as follows: Out of the \$1,043 million,<sup>14</sup> LAC had the largest share of funds with \$280 million, followed by AFR (\$222 million), EAP (\$178 million), Global projects (\$176 million), ECA (\$114 million), SA (\$49 million), and MNA (\$31 million).

**Figure 5. GEF-5 Distribution of Region by Resources for MFA Projects**



<sup>14</sup> The analysis for breakdown of MFA by FA and Region excluded PPP, Earth Fund, and SGP Core amount.

22. Table 6 presents a detailed break-down of received grant amounts by region in GEF-5.

**Table 6: GEF-5 Share of Grants at a Glance by Region<sup>15</sup>**

Region	No. of Approvals			Total Grant (\$ million)	Share of Grant (%)
	FSP	MSP	EA		
LAC	106	42	49	713	21
AFR	112	44	70	704	21
EAP	112	42	26	701	21
CEX	40	38	2	536	16
ECA	69	29	47	413	12
SA	33	13	7	215	6
MNA	26	7	24	125	4
<b>TOTAL</b>	<b>498</b>	<b>215</b>	<b>225</b>	<b>3,408</b>	<b>100</b>

### Indicative Co-financing for GEF-5

23. To date, the overall amount of indicative co-financing for approved projects in GEF-5 totals \$20,441 million, for a ratio of GEF grant amount to indicative co-financing of approximately 1 to 6 which is higher than GEF-4's 1:5.5.

24. By region, the ratio of planned co-financing to total grant amount was highest in the ECA, EAP, and SA regions with a ratio of 1:8 equally followed by the AFR and MNA regions (1:6), the LAC region (1:4), the Global projects (1:3). Table 7 shows the ratio of distribution of indicative co-financing to total grant by region.

**Table 7: Ratio of Indicative Co-financing to Total Grant in GEF-5 by Region**

Region	Planned Cofinance (\$ million)	Total Grant (\$ million)	Ratio of planned co-finance to total grant amount
ECA	3,506	415	8
SA	1,744	215	8
EAP	5,472	699	8
MNA	813	125	6
AFR	4,488	704	6
LAC	3,041	713	4
Global	1,376	536	3

25. By focal area, the ratio of indicative co-financing to total grant amount is distributed to show that CC had the highest ratio (1:10) among all other Focal Areas, followed by IW (1:8), LD and

<sup>15</sup> Out of 50 Global projects, 15 regional projects which took place in multiple regions also considered as Global.

MFA (1:5), BD and POPs (1:4), and ODS (1:2). Table 8 shows the ratio distribution of indicative co-financing to total grant by focal area.

**Table 8: Ratio Indicative Co-financing to Total Grant in GEF-5 by Focal Area**

<b>Focal Area</b>	<b>Planned Cofinance (\$ million)</b>	<b>Total Grant (\$ million)</b>	<b>Ratio of planned co-finance to total grant amount</b>
<b>CC</b>	8,223	839	10
<b>IW</b>	2,299	284	8
<b>MFA</b>	5,753	1,242	5
<b>LD</b>	558	121	5
<b>BD</b>	2,309	574	4
<b>POPs</b>	1,287	343	4
<b>ODS</b>	12	5	2

### **GEF Small Grants Programme**

26. During the year 2013-2014, the GEF Small Grants Programme (SGP), implemented by UNDP, provided small grant funding to 1,682 new grant projects, committing a total amount of \$57m in GEF funding. The SGP also met its target of leveraging 1:1 co-financing for SGP (given the small scale and grassroots nature of SGP grantees and partners), with nearly \$59m in total co-financing committed (including in-cash and in-kind co-financing). The total number of grant projects under implementation which were supervised and monitored during the reporting period by SGP amounted to 4,187 projects<sup>16</sup> for a total grant amount of over \$113m and total co-financing amount of over \$122m. During the reporting year, 1,097 projects were completed, the results of which will be presented in SGP's detailed Annual Monitoring Report for 2013-2014.

27. In terms of country coverage, SGP was active in 126 countries during this year, with 117 countries supported by the SGP Global Programme, and 9 countries supported by SGP Upgraded country programmes funded through Full Size Projects (FSPs). LDCs and SIDS currently account for 59% of all SGP country programmes, with support provided to CSOs in 40 LDCs and 37 SIDS. Two country programmes, SGP Bulgaria and SGP Romania, were closed in June 2013, as these countries transitioned from being GEF recipient countries to EU members. During early 2014, start-up missions were undertaken for new SGP country programmes to be started in Colombia and Republic of Congo.

28. The focal area distribution of SGP grant projects under implementation continued to remain strongly focused on Biodiversity which accounted for the largest share of the portfolio (43%), followed by Climate Change Mitigation (25%) and Land Degradation (21%). International Waters and Chemicals each accounted for 3%, while Capacity Development and Multifocal Area projects accounted for 2% each. Climate Change Adaptation, which is separately co-funded from non-GEF sources, accounted for 1% of all projects.

<sup>16</sup> Including 3,914 GEF funded as well as 273 other donor funded grants (which utilize SGP as a delivery mechanism).

29. In terms of the regional distribution<sup>17</sup> of SGP's portfolio of active projects, Africa continued to have the largest share of grant funds (32%), followed by Latin America and the Caribbean (29%) and Asia and the Pacific (23%). Two smaller regions, Europe and the CIS and the Arab States, accounted for 8% and 6% respectively of grant funding within the portfolio of active projects.

### **National Portfolio Formulation Exercise**

30. NPFE's are multi-stakeholder exercises carried out voluntarily by countries so as to have discussions and agreements on how best to program the resources available through the GEF. The resulting documents/reports propose a number of project ideas that may then be developed with the support of the GEF Partner Agencies. Countries may request up to \$30,000 in funding to carry out this exercise. Forty two countries have undertaken this exercise to date (32 with GEF funding and 10 without).<sup>18</sup> To date, a total of 35 National Portfolio Formulation Documents (NPDFs) have been received by the Secretariat. Since these exercises were intended to be carried out early in the replenishment period, no new NPFEs were done since the last AMR.

### **Indicative Programming by Focal Area Objectives in GEF-5<sup>19</sup>**

31. This section provides a detailed breakdown of indicative programming amounts by objective. Table 9, provides a comparison of programming indicative targets for focal area objectives as agreed in the GEF-5 replenishment (*Summary of Negotiations Fifth Replenishment of GEF Trust Fund, GEF/C.37/03*) versus dollar amount programmed through FY 14.

32. At the time of the GEF-5 replenishment, the Secretariat outlined within each focal area or theme, illustrative resource programming levels for each objective with associated results indicators and targets. It is important to note that programming is largely determined by: (i) the resource allocation system; (ii) the priorities expressed by countries with regard to their allocations in each focal area, and; (iii) actual financial events in the GEF Trust Fund. Under an operational system responsive to country needs, proposed resource programming levels for focal area objectives are difficult to impose. Country demand among the different objectives is also difficult to predict. Therefore, programming levels may fall short or exceed the scenarios outlined during the replenishment.

33. This programming amount covers all GEF Trust Fund grants utilized by countries for FSP and MSP projects, multi-focal area projects, enabling activities, and the Small Grants Program. In addition, it includes investments through global and regional projects.

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<sup>17</sup> Portfolio statistics are based on funding for GEF SGP total active projects during the period July 2013 to June 2014

<sup>18</sup> For a list of the specific countries and a complete update on the status of the NPFE process, refer to document GEF/C.42/Inf.06, *Update on the GEF National Portfolio Formulation Exercises*. (<https://www.thegef.org/council-meeting-documents/update-gef-national-portfolio-formulation-exercises>)

<sup>19</sup> After discussions with Agencies and upon further comparative analysis between figures provided at the project concept stage (PIF) versus fully developed projects (CEO Endorsement), it has become evident that it is difficult to accurately assign an indicative dollar amount to focal area outcomes at the earliest stage of the project preparation process. Alternatively, tracking the expected outcomes by dollar amount once projects are fully developed would lead to more accurate estimates.



**Table 9: FA Objectives Replenishment Scenarios vs. Amounts Programmed Through FY 14**  
(June 30, 2014)

FA Objective	4.25 billion Replenishment Scenarios (\$ million)	Programmed through June 30, 2014 (\$ million)	Programmed through June 30, 2014 (%)
BD-1: Improve Sustainability of Protected Area Systems	700	500	71%
BD-2: Mainstream Biodiversity Conservation and Sustainable Use into Production Landscapes, Seascapes and Sectors	250	449	180%
BD-3: Build Capacity for the Implementation of the Cartagena Protocol on Biosafety (CPB)	40	15	38%
BD-4: Build Capacity on Access to Genetic Resources and Benefit Sharing	40	42	105%
BD-5: Integrate CBD Obligations into National Planning Processes through Enabling Activities	40	43	108%
<b>BD Subtotal</b>	<b>1,070</b>	<b>1,049</b>	<b>98%</b>
CCM-1: Technology Transfer: Promote the demonstration, deployment, and transfer of innovative low-carbon technologies	300	145	48%
CCM-2: Energy Efficiency: Promote market transformation for energy efficiency in industry and the building sector	250	248	99%
CCM-3: Renewable Energy: Promote investment in renewable energy technologies	320	296	93%
CCM-4: Transport/ Urban: Promote energy efficient, low-carbon transport and urban systems	250	141	56%
CCM-5: LULUCF: Promote conservation and enhancement of carbon stocks through sustainable management of land use, land-use change, and forestry	50	174	348%
CCM-6: Enabling Activities: Support enabling activities and capacity building under the Convention	80	119	149%
<b>CCM Subtotal</b>	<b>1,250</b>	<b>1,123</b>	<b>90%</b>
CD-1: Enhance capacities of stakeholders for engagement through consultative process	44	0	97%
CD-2: Generate, access and use of information and knowledge		18	
CD-3: Strengthened capacities for policy and legislation development for achieving global benefits		9	
CD-4: Strengthened capacities for management and implementation on convention guidelines		9	
CD-5: Capacities enhanced to monitor and evaluate environmental impacts and trends		7	
<b>CD Subtotal</b>	<b>44</b>	<b>43</b>	<b>97%</b>
CHEM-1: Phase out POPs and reduce POPs releases	350	334	95%
CHEM-2: Phase out ODS and reduce ODS releases	25	23	92%

CHEM-3: Pilot sound chemicals management and mercury reduction	25	31	124%
CHEM-4: POPs enabling activities	25	34	136%
<b>CHEM Subtotal</b>	<b>425</b>	<b>422</b>	<b>99%</b>
IW-1: Transboundary Basins/ Aquifers: Catalyze multi-state cooperation to balance conflicting water uses in trans-boundary surface and groundwater basins while considering climatic variability and change	130	101	78%
IW-2: Large Marine Ecosystems/ Coasts: Catalyze multi-state cooperation to rebuild marine fisheries and reduce pollution of coasts and Large Marine Ecosystems	180	191	106%
IW-3: IW Capacity Building: Support foundational capacity building, portfolio learning, and targeted research needs for joint, ecosystem-based management of trans-boundary water systems	100	97	97%
IW-4: ABNJ Pilots: Promote effective management of Marine Areas Beyond National Jurisdiction (ABNJ)	30	30	100%
<b>IW Subtotal</b>	<b>440</b>	<b>419</b>	<b>95%</b>
LD-1: Agriculture and Rangeland Systems: Maintain or improve flow of agro-ecosystem services sustaining the livelihoods of local communities	200	104	52%
LD-2: Forest Landscapes: Generate sustainable flows of forest ecosystem services in drylands, including livelihoods of forest dependent people	30	24	80%
LD-3: Integrated Landscapes: Reduce pressures on natural resources from competing land uses in the wider landscape	135	190	141%
LD-4: Adaptive Management and Learning: Increase capacity to apply adaptive management tools in SLM/SFM/INRM by GEF and UNCCD	15	26	173%
<b>LD Subtotal</b>	<b>380</b>	<b>344</b>	<b>90%</b>
SFM/REDD+-1 and: Forest Ecosystem Services: Reduce pressures on forest resources and generate sustainable flows of forest ecosystem services.	250	125	58%
SFM/REDD+-2: Reducing Deforestation: Strengthen the enabling environment to reduce GHG emissions from deforestation and forest degradation and enhance carbon sinks from LULUCF activities.		19	
<b>SFM/REDD+-</b>	<b>250</b>	<b>144</b>	<b>58%</b>
Small Grants Program	140	140	100%
Outreach to Private Sector	80	76	95%
Others <sup>20</sup>	not indicated	2	N/A
Corporate Budget	120	84	70%
Unallocated to Strategic Objectives	25 (10 for BD, 10 for CCM, and 10 for LD)	N/A	N/A
<b>TOTAL</b>	<b>4,250</b>	<b>3,846</b>	<b>91%</b>

<sup>20</sup> Some PPGs were approved during GEF-5; however, their associated projects were approved in GEF-4. Those PPGs are classified as others.

34. Within the Biodiversity focal area, the rate of programming per objective ranges from 38 percent to 180 percent of the projected notional allocations resulting in a usage rate of 98 percent of the total allocation at the end of GEF-5 which represents a very efficient rate of programming. However, without a rigorous analysis at the country level on the project prioritization process, it is difficult to safely generalize at a portfolio level why such a varying rate of usage per focal area objective in the biodiversity focal area exists. However, in spite of this challenge, a few hypotheses are presented that likely explain the rate of usage within the biodiversity focal area.

35. First, the growth in multi-focal area projects and in particular the use of biodiversity resources in SFM/REDD+ projects has created an incentive for an increase in forest-related projects in the productive landscape, which is the likely cause of the increased usage of resources under objective two on biodiversity mainstreaming. Hence, we have a usage of 71 percent for protected areas under objective one and 180 percent for biodiversity mainstreaming and sustainable use under objective two. Given the need to effectively mainstream biodiversity in order to achieve many of the Aichi Targets, this trend can be seen as a favorable one over the medium to long term. However, it is still important to point out that the total amount of resources invested in protected areas during GEF-5 was \$500 million or 48 percent of the total amount programmed with biodiversity mainstreaming using \$449 million or 43 percent of the total resources programmed. This represents the most balanced programming of biodiversity resources between classical conservation protection strategies and biodiversity mainstreaming approaches since the initiation of focal area programming strategies that began in GEF-4.

36. The under-programming of resources under objective three on biosafety can be interpreted in two ways. First, it could be a demonstration of the low priority that countries place on biosafety as countries prioritized the use of their resource allocation in GEF-5. Second, it could be that the remaining countries that have not put forward a national biosafety implementation project may lack internal capacity in biosafety and are unable to develop a proposal. Given that GEF has been providing support to biosafety capacity building for some time and the objective in the biodiversity strategy is not new the low programming rate could not be attributed to a lack of awareness of the existence of GEF support.

37. For the Climate Change Mitigation focal area, as of June 30, 2013, programmed resource allocations ranged from 42 percent to 262 percent in programmed resources compared to the scenarios from the replenishment period (Table 9). Focal area CCM-5: *LULUCF: Promote conservation and enhancement of carbon stocks through sustainable management of land use, land-use change, and forestry* has programmed above the original allocation (\$131 million programmed against the original allocation of \$50 million).

38. There are two key factors contributing to this trend. The first is an increase in multi-focal area projects in the GEF-5 period to date. A majority of multi-focal area projects with climate change mitigation concerns address land use, agriculture, and forestry issues. The CCM-5 objective aligns with BD and LD objectives, and has allowed countries and agencies to develop projects addressing multiple objectives including mitigation. Secondly, to access SFM/REDD+ incentive, it is required for a project to address at least two focal area objectives. Within the CCM focal area, the LULUCF objective is the one most closely aligned to the SFM/REDD+ objectives.

39. One important factor that influenced the actual resource allocation among the CCM focal area objectives is the guidance from the Conference of the Parties (COP) to the United Nations Framework Convention on Climate Change. (UNFCCC). Since the GEF-5 period started, the GEF has also received additional UNFCCC COP guidance, above and beyond the needs projected during the replenishment period. Some key examples include: support to non-Annex I Parties preparing their first biennial update reports as early as possible in 2012 and on the basis of agreed full-cost funding; support for the intended Nationally Determined Contributions; and support for the operationalization and activities of the Climate Technology Centre and Network.

40. For the Cross-Cutting Capacity Development (CCCD) window, 40 projects were approved during GEF-5, amounting to \$43 million and corresponding to 97% of the total CCCD allocation. The overall high percentage is due in part to the raised awareness about this cross-cutting window as a direct response to the NCSAs, through the ECWs and NPFs, and by Agencies such as UNDP and UNEP. One thing to note is that the first Objective (stakeholder engagement) is not directly supported by this window, but through the Country Support Program activities, such as National Dialogues, ECWs, etc.

41. At the end of GEF-5 the Chemicals focal area allocated 99% of the target figures from the replenishment period. Resources for CHEM-3 were above the replenishment targets as a result of an un-anticipated demand for resources for enabling activities under the newly agreed Minamata Convention. These additional resources for the Minamata Convention were authorized by the 44<sup>th</sup> GEF Council in June 2013. By conventions supported by the CHEM focal area in GEF-5, the Stockholm Convention was supported through CHEM 1 and 4. This combined achieved a 98% realization of targeted resources. For the Montreal Protocol supported by CHEM 2 the realization rate was 92%.

42. For the International Waters focal area, resources programmed for the four IW objectives average a total of 95 percent. The significant increase in programming from the relatively modest 58 percent as described in the FY13 AMR reflects strong country demand across all four IW objectives. Specifically, requests and approvals of projects from Africa have seen an increase from \$ 33.2 million in FY 13 to 71.7 million in FY 14.

43. For Land Degradation focal area, the \$344 million programmed to date represents 90 percent of the total focal area allocation for GEF-5. The breakdown by focal area objective shows a strong focus on LD3, accounting for \$190 million (55 percent) of the total programmed to-date. This reinforces the importance of this objective for countries to leverage other GEF focal areas and the SFM/REDD-plus incentive through multi-focal area projects. Objective one (LD1), which focuses on agricultural and rangeland systems accounts for 30 percent of the total resources. Objective two (LD2) and Objective four (LD4) each account for about 8 percent of the total programmed. While programming of the focal area resources for GEF-5 is on track, the trends are not consistent with indicative amounts allocated to the four objectives. The trends suggest a greater demand by countries for integrated approaches to reduce pressures on natural resources from competing land uses (LD3) and less of stand-alone programming in agricultural (LD1) and forest landscapes (LD2). This trend reinforces the growing importance for aligning sustainable land management priorities in the context of biodiversity conservation, climate change mitigation, and climate change adaptation, which creates opportunities for synergies across wider landscapes.

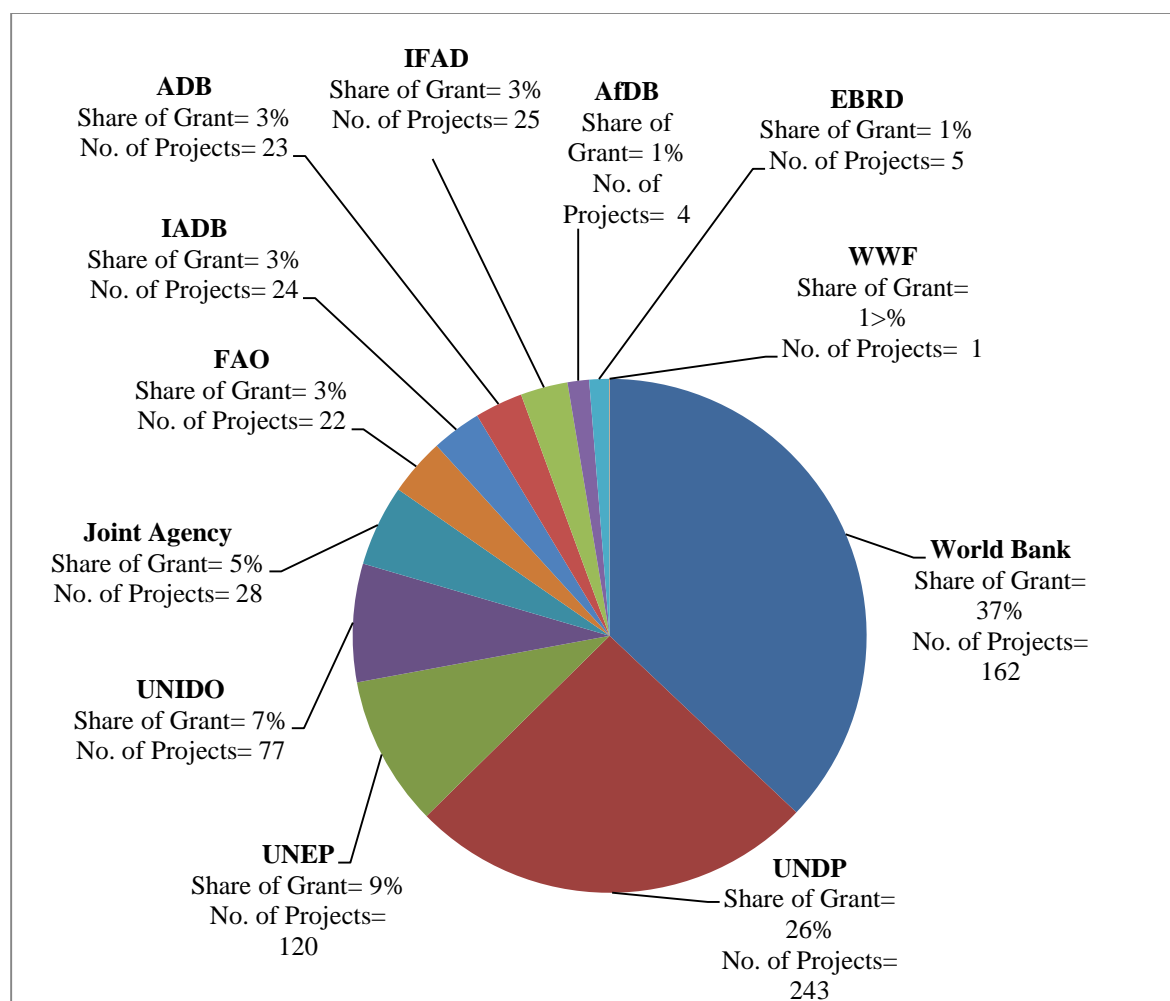
## PROJECTS AND PROGRAMS UNDER IMPLEMENTATION

44. The following section presents data for projects and programs currently under implementation for part of FY14 (July 1, 2013-June 30, 2014). The analysis is based on data submitted by the GEF Agencies to the GEF Secretariat in September 2014.

45. The GEF Agencies submitted data for 734 projects totaling \$3,112 million (including PPGs), that have been under implementation for at least part of FY 14, a similar trend to FY 13 which total Amount of GEF funding allocated to FSPs and MSPs under implementation was \$3,442 million (including PPGs) for total of 702 projects.

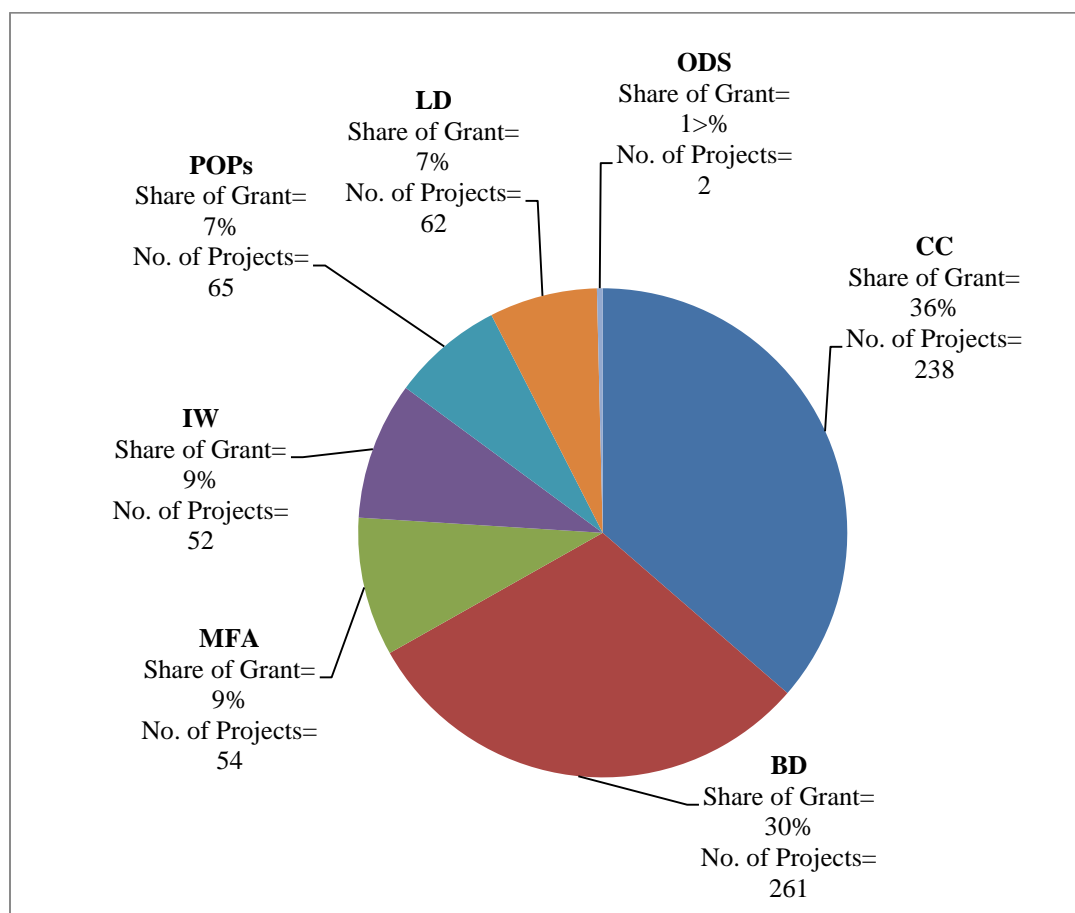
46. In FY 14, The World Bank had the largest amount of GEF grants under implementation, totaling \$1,153 million (37 percent), followed by UNDP 796 million (26 percent), UNEP \$295 million (9 percent), and UNIDO \$232 million (7 percent), respectively. In terms of the distribution of the 734 projects amongst the Agencies, UNDP had the largest portion under implementation (243), followed by the World Bank (162) and UNEP (120), respectively. Figure 6 presents a detailed break-down by Agency.

**Figure 6: Grant Distribution of Projects at a Glance by Agency in FY14**



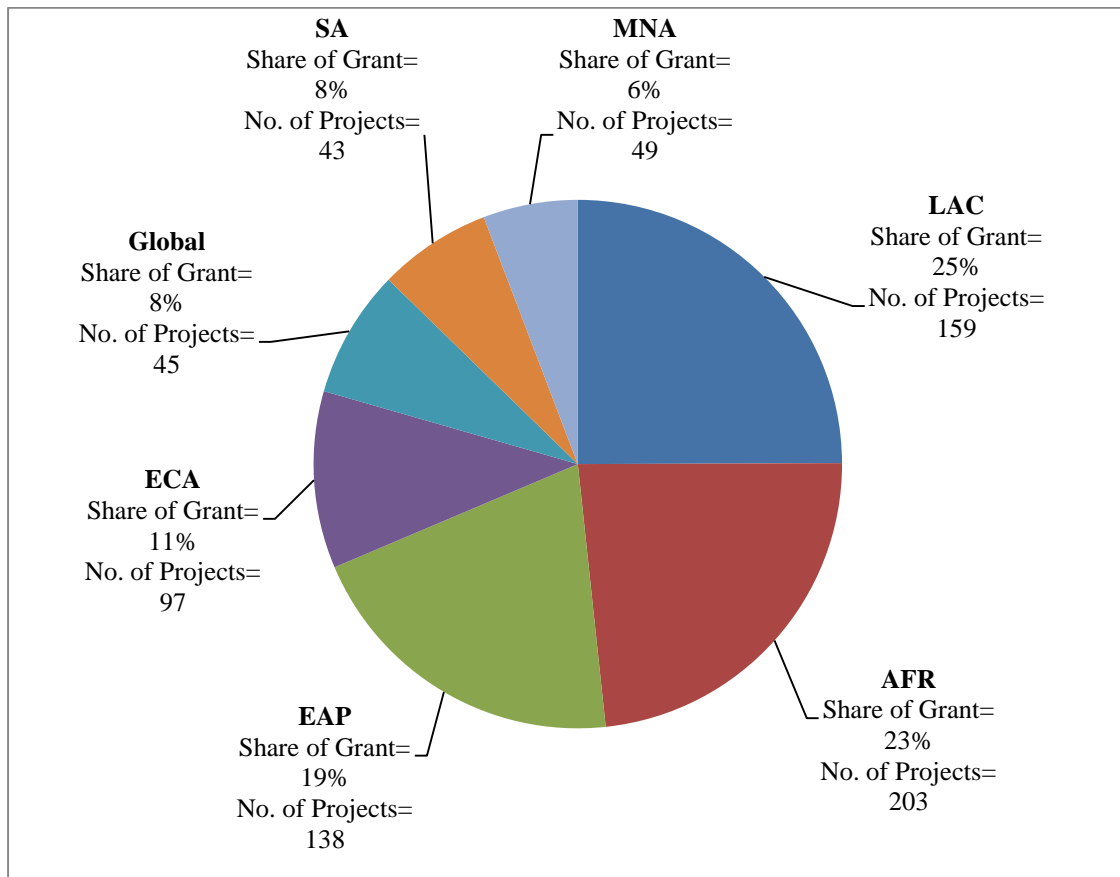
47. Figure 7 shows the funding distribution of the 734 projects currently under implementation across the focal areas. The CC focal area has the largest share of total GEF funds, utilizing \$1,132 million (36 percent), and the BD focal area, which utilizes \$948 million (30 percent). The MFA and IW utilized \$283 and \$285 million (9 percent each), respectively. In terms of the number of projects under implementation, the BD focal area has the greater proportion of full and medium sized projects with 261, followed by CC (238), POPs (65), LD (62), MFA (54), and IW (52) projects, respectively.

**Figure 7: Grant Distribution of Projects at a Glance by Focal Area in FY14**



48. In terms of the distribution of the 734 projects by region, the LAC region has the largest portion of GEF funds, with \$776 million (25 percent of the total), followed by the AFR region with \$727 million (23 percent), and the EAP region, with \$632 million (19 percent), and ECA with \$337 million (11 percent) (Figure 8). Regional projects have been included in the calculation for each of the regions, thereby increasing the overall share of each region. In terms of the number of projects under implementation, the AFR region has the greater proportion with 203, compared to the LAC (159), EAP (138), and ECA (97).

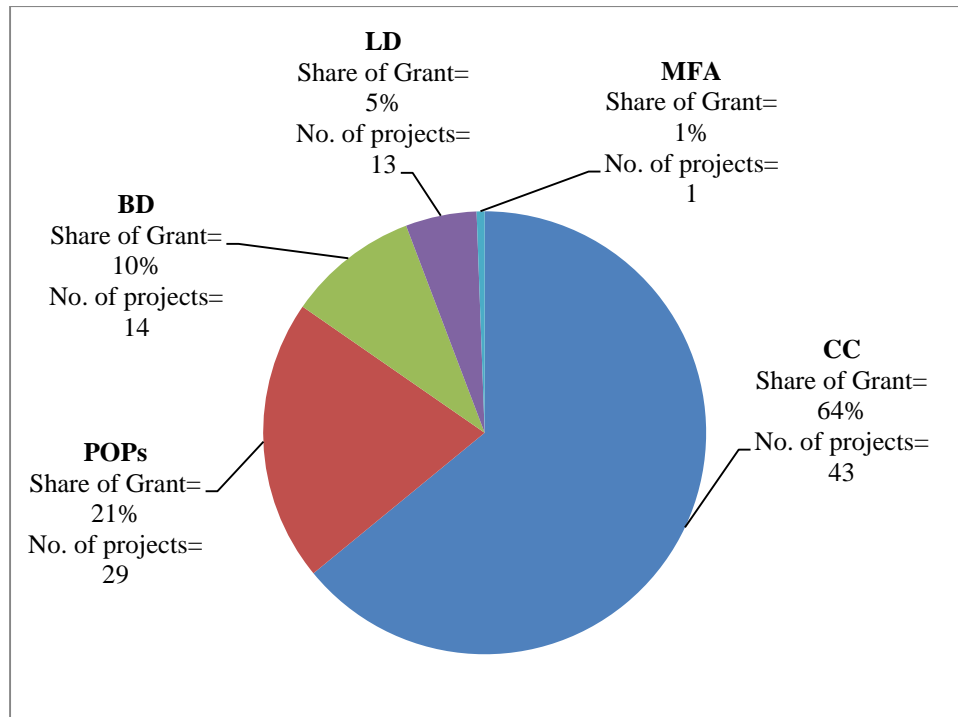
**Figure 8: Grant Distribution of Projects at a Glance by Region in FY14**



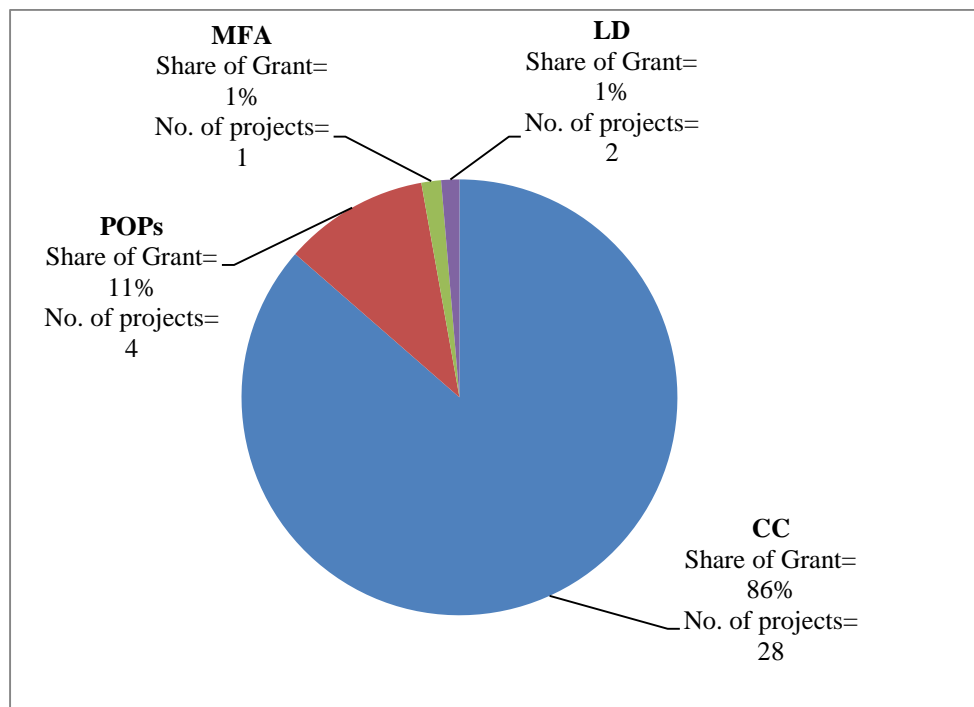
### Enabling Activities

49. In FY14, GEF Agencies reported on the status of Enabling Activities (EAs) approved, completed, and under implementation (479 projects, \$157 million) between July 1, 2013 and June 30, 2014. Of which, 100 EAs were approved, amounting to \$34 million; 344 EAs are under implementation, amounting to \$109 million; and 35 EAs completed implementation in FY 14, amounting to \$14 million as shown in figures below.

**Figure 9: Share of Grants for EAs Approved by Focal Area in FY14**

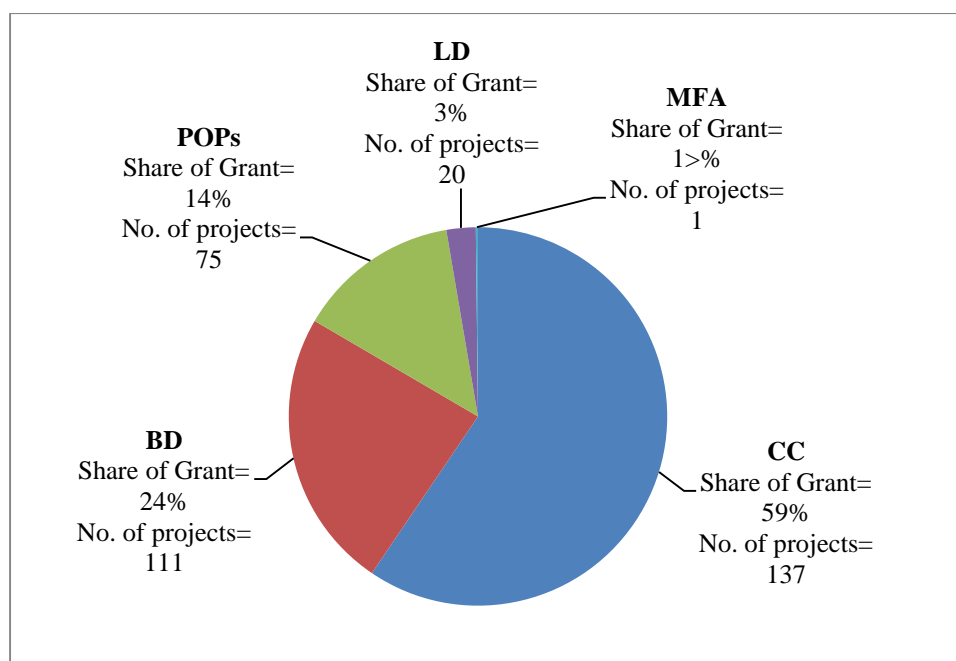


**Figure 10: Share of Grants for EAs Completed by Focal Area in FY14**



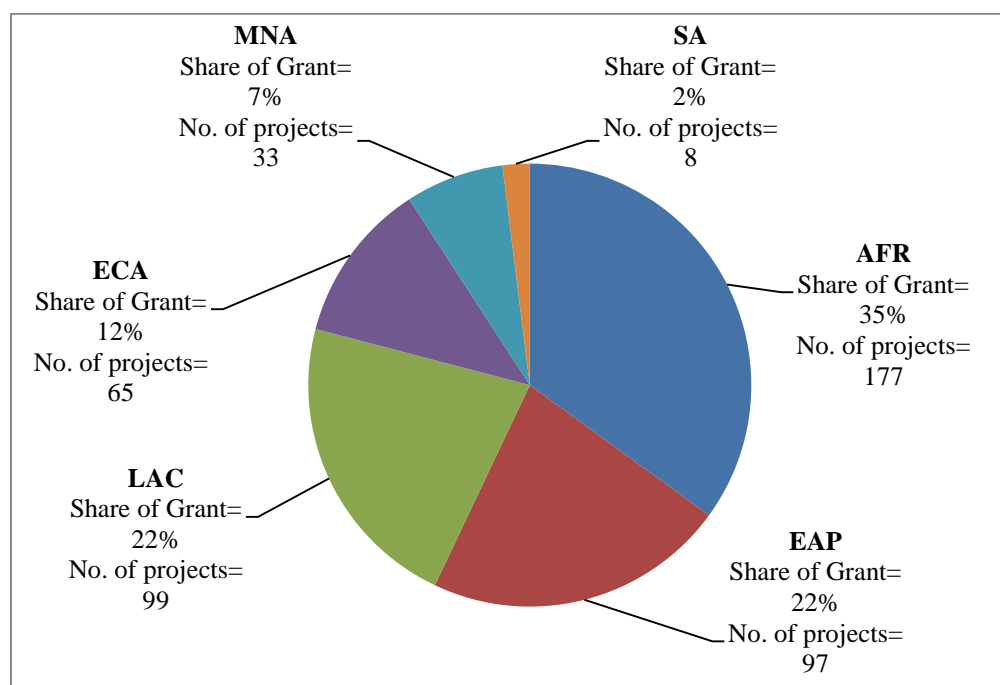


**Figure 11: Share of Grants for EAs Under Implementation by Focal Area in FY14**



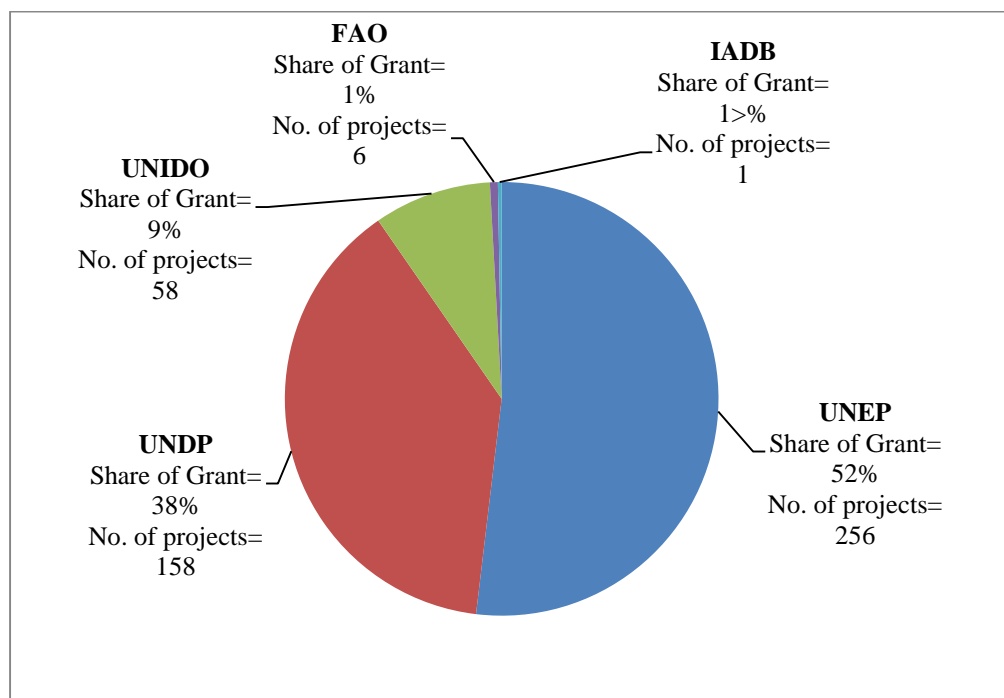
50. In terms of the distribution of the 479 EA projects by region, Africa has the largest share of grants for EAs with 177 projects (35 percent), followed by the EAP 97 projects (22 percent), LAC 99 projects (22 percent), and ECA 65 projects (12 percent), respectively (Figure 12).

**Figure 12: Share of Grants for EAs at a Glance by Region in FY14**



51. Figure 13 shows the funding distribution of the 479 EA projects across the agencies. The UNEP has the largest share of total EA funds, utilizing \$81 million (52 percent), the UNDP which utilizes \$60 million (38 percent) and UNIDO 14 million (9 percent).

**Figure 13: Share of Grants for EAs at a Glance by Agency in FY14**



## Performance Ratings

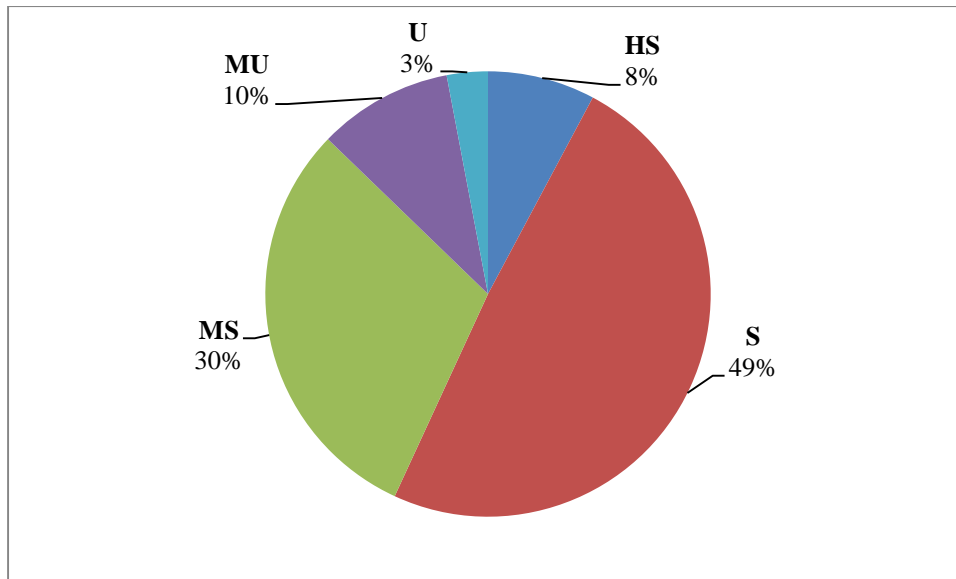
52. Ratings for implementation progress should be based on progress made for the given reporting period (i.e., how has the project progressed during one year of implementation), whereas the DO rating is based on the likelihood that by the end of project implementation a project will achieve its stated objectives.

53. Based on data submitted by GEF Agencies for FY14, the GEF portfolio under implementation received an implementation progress (IP) rating of moderately satisfactory (MS) or higher (satisfactory or highly satisfactory) for 87 percent of projects, which is in compliance with the target of at least 75 percent. Likewise for the likelihood of attaining development/global environment objectives (DO), the GEF portfolio under implementation received a rating of moderately satisfactory or higher (satisfactory or highly satisfactory) for 90 percent of projects.

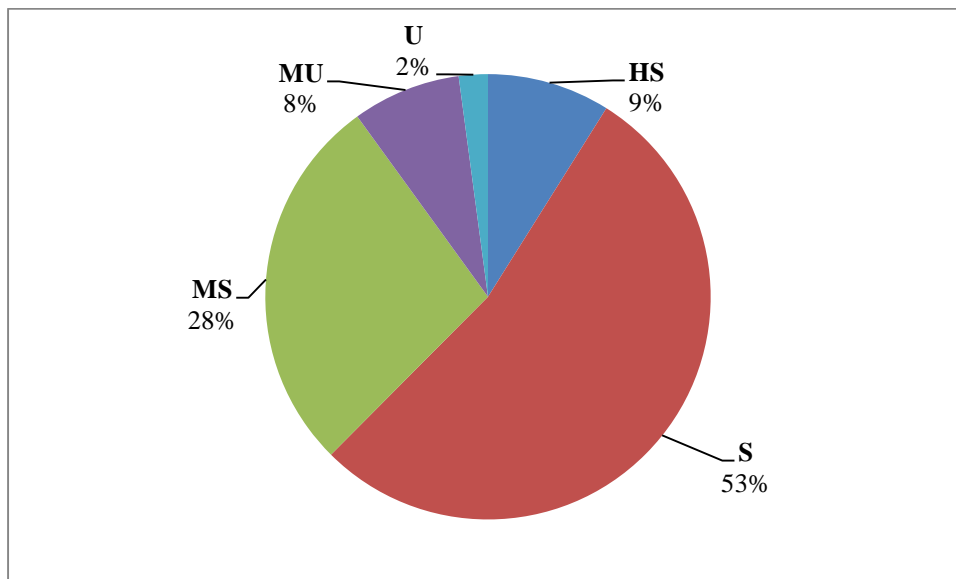
54. Figures below provide the distribution of Agency ratings for the likelihood of attaining DO and the IP for the 705 projects under implementation for at least one year.<sup>21</sup>

<sup>21</sup> Projects with less than one year implementation are not required to submit a PIR and/or DO/IP ratings. In addition, the ratings reported in the current AMR are indicative because the final PIR report is not due till December 1st. Of 734 reported projects, 16 projects have been under implementation for less than one year and a

**Figure 14: GEF Portfolio Performance IP Ratings in FY14<sup>22</sup>**



**Figure 15: GEF Portfolio Performance DO Ratings in FY14**



55. For both IP and DO ratings, all GEF Agencies implementing projects in FY14 successfully met the target of at least 75 percent of projects rated moderately satisfactory or above except for IADB reporting IP rating of 71 percent. Table 10 shows the breakdown of project DO and IP ratings by Agency. This follows a similar trend as previous fiscal year.

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total of 13 projects were excluded from the analysis due to either missing ratings or reaching their stage of final PIR preparation (less than 2% of active portfolio).

<sup>22</sup> Classification of ratings: Highly Satisfactory (HS), Satisfactory (S), Unsatisfactory (US), Moderately Satisfactory (MS), Moderately Unsatisfactory (MU), Highly Unsatisfactory (HU)

**Table 10: Development Objective Ratings and Implementation Progress Ratings by Agency in FY14**

Agency	Total No. of Projects	DO Ratings (%)		IP Ratings (%)	
		MS or above	MU or below	MS or above	MU or below
<b>UNDP</b>	243	87	13	86	14
<b>WB</b>	162	88	12	83	17
<b>UNEP</b>	110	93	7	93	7
<b>UNIDO</b>	70	94	6	96	4
<b>Joint Agency</b>	27	89	11	81	19
<b>IFAD</b>	23	100	0	100	0
<b>ADB</b>	21	100	0	81	19
<b>IADB</b>	21	86	14	71	29
<b>FAO</b>	19	89	11	84	16
<b>EBRD</b>	5	100	0	100	0
<b>AfDB</b>	4	100	0	100	0

56. All focal areas were also successful at meeting the target for both the DO and IP ratings. Table 11 shows a breakdown of the percentage of DO and IP ratings for projects by focal area.

**Table 11: Breakdown of the Percentage of DO and IP Ratings for Projects by Focal Area in FY14**

Focal Area	Total No. of Projects	DO Ratings (%)		IP Ratings (%)	
		MS or above	MU or below	MS or above	MU or below
<b>BD</b>	252	90	10	88	12
<b>CC</b>	228	87	13	83	17
<b>IW</b>	52	87	13	88	12
<b>LD</b>	60	95	5	90	10
<b>MFA</b>	49	94	6	90	10
<b>ODS</b>	2	100	0	100	0
<b>POPs</b>	62	92	8	92	8

57. All regions show successful results in meeting the target for achieving the development objective. Table 12 includes the breakdown of the percentage of DO and IP ratings for projects by region.

**Table 12: Breakdown of the Percentage of DO and IP Ratings for Projects by Region in FY14**

Region	Total No. of Projects	DO Ratings (%)		IP Ratings (%)	
		MS or above	MU or below	MS or above	MU or below
AFR	198	92	8	90	10
EAP	133	86	14	80	20
ECA	96	91	11	94	6
Global	40	100	0	95	5
LAC	151	87	13	85	15
MNA	48	90	10	88	12
SA	39	92	8	85	15

**MANAGEMENT EFFICIENCY AND EFFECTIVENESS**

58. As part of the last GEF-5 replenishment process, the GEF introduced a number of management indicators aimed at tracking organization effectiveness. The indicators provided a general picture of how well the GEF currently mobilizes and uses its resources, the visibility of the GEF as a global environmental leader, the efficiency of the GEF partnership in meeting service standards and project cycle efficiency, the GEF Secretariat's commitment to gender and diversity in its hiring practices, and effectiveness of collaboration with partners.

**Table 13: Management Effectiveness and Efficiency Indicators**

MANAGEMENT EFFICIENCY AND EFFECTIVENESS					
<b>I. Secure financing and financing mechanisms</b>					
<b>A. Increased and diversified contributions</b>					
1. Total amount of contributions pledged for GEF-5 (US\$)	3,547 million				
2. Number of Donors Pledging for GEF-5	34				
	FY 11	FY 12	FY 13	FY 14	Target
3. Actual contributions as of FY (US\$)	\$983 M	\$829 M	\$827 M	\$798 M	\$887 M
4. Actual contributions against pledges for GEF-5 (%) <sup>23</sup>	28%	23%	23%	23%	25%
<b>B. More efficient cost structure</b>	FY 11	FY 12	FY 13	FY 14	Target
1. Project management cost against GEF project grants for PIF approval	7.6%	5%	5.2%	5.5%	5%
2. GEF Corporate expenses as % of total GEF grants (without agency fees) <sup>24</sup>	8%	2.1%	3.5 <sup>25</sup> %	3.4%	< 5%

<sup>23</sup> Calculated by dividing the total amount of contributions pledged by four, assuming a quarter of total pledge amount for GEF-5 will be contributed in a fiscal year

<sup>24</sup> All corporate expenses include those of the Secretariat, STAP, EO, and GEF Trustee. Total GEF grants include all grants minus agency fees.

<sup>25</sup> FY13 Corporate Budget: \$31,339,300 and FY13 GEF Grants without Fees: \$882,904,076

II. Enhance visibility of GEF					
A. Increased visibility of GEF	FY 11	FY 12	FY 13	FY 14	Target
1. Number of hits on GEF website	340 ,683 <sup>26</sup>	411,683	474,549	557,712	5% increase/year
2. Number of followers in social media	Twitter:1,332	Twitter: 2,853	Twitter: 5,913	Twitter: 9,051 (+17%)	
	Facebook:1,125	Facebook: 2,415	Facebook: 5,700	Facebook: 34,143 (+600%)	5% increase/year
	YouTube:16,228	YouTube: 13,677	YouTube: 16,807	YouTube: 20.402 (+21%)	
3. Percent Engagement with GEF Newsletter	N/A	41% opened	411 visits <sup>27</sup>		5% increase/year
		10% articles clicked on		2101 (+500%)	
4. Number of published Articles (Factiva search criteria – all languages)	1,203	5,036	1,085	521	N/A
	(99% neutral and/or positive tone)	(Article’s "Sentiment": fairly positive to fully positive) <sup>28</sup>	(99% neutral and/or positive tone)	(99% neutral and/or positive tone)	
III. Indicators of Efficiencies in Project Cycle <sup>29</sup>					
A. Improved timeliness of program design	FY 11	FY 12	FY 13	FY 14	Target
1. Percentage of PIF/PPG submissions responded to by the Secretariat within 10 days (10 day service standard) <sup>30</sup>	67%	77%	57%	56%	N/A
2. Percentage of PIF/PPG requests re-submitted by Agency within 10 days after receiving the Secretariat response (10 day service standard)	62%	70%	40%	55%	N/A
IV. Ensure staff, including gender representation					
A. Gender sensibility and equality ensured	FY 11	FY 12	FY 13	FY 14	Target
1. Percentage of GEF Secretariat and	38% F	39% F	43% F	43% F	50%:50%

<sup>26</sup> Sentiment conveys the dominant tone-of-voice in hits belonging to an article or a set amount of articles. Media sentiment allows us to track the spectrum of opinions, and how the GEF needs to act or react at any given time.

<sup>27</sup> The GEF has started to track GEF Newsletter views with Google Analytics, the same tool used for the website. It provides more details and data about the newsletter's usage allowing the GEF to analyze the results.

<sup>28</sup> Sentiment conveys the dominant tone-of-voice in hits belonging to an article or a set amount of articles. Media sentiment allows us to track the spectrum of opinions, and how the GEF needs to act or react at any given time

<sup>29</sup> The GEF recently updated the way it tracks these indicators ensuring harmony in calculation and reporting methodology of project cycle data between the GEF Secretariat and the Evaluation Office.

<sup>30</sup> Does not include enabling activities (EAs); the indicator tracks the 10-day service standard of the Secretariat and is calculated by submission.

Evaluation professional staff by gender <sup>31</sup>	62% M	61% M	57% M	57% M	
2. Percentage of GEF Secretariat and Evaluation Office Staff by geographic distribution from developing countries <sup>32</sup>	Part I: 52%	Part I: 54%	Part I: 53%	Part I: 59%	50%:50%
	Part II: 48%	Part II: 46%	Part II: 47%	Part II: 41%	
B. Skilled and motivated staff hired and retained	FY 11	FY 12	FY 13	FY 14	Target
2. Annual staff loss rate	4%	5%	15% <sup>33</sup>	6%	10%
3. Average time to fill professional vacancies	60 days	75 days	60 days	60 days	90 days
<b>V. Results Driven Implementation</b>					
A. Grant Performance Rating	FY 11	FY 12	FY 13	FY 14	Target
1. Percentage of projects on track to achieve stated objectives with a development objective (DO) rating of moderately satisfactory or above	89%	85%	89%	90%	85%
2. Percent of projects that are on track to reach stated objectives, with a development objective(DO) rating of satisfactory or above	63%	83%	60%	87%	70%
<b>VI. Effective Collaboration</b>					
A. Conflicts and complaints resolved successfully on a timely basis <sup>34</sup>	FY 11	FY 12	FY 13	FY 14	Target
1. Percentage of conflict cases reported to the CEO that are resolved successfully	85%	82%	No Conflicts / Complaints reported	No Conflicts / Complaints reported	80%
2. Percentage of complaint cases reported to the CEO that are successfully resolved	80%	90%	No Conflicts / Complaints reported	No Conflicts / Complaints reported	100%
B. Country outreach and collaboration with CSOs	FY 11	FY 12	FY 13	FY 14	Target
1. Number of CSOs executing or co-executing a project (of approved projects in FY)	NA	14	20	54	N/A

<sup>31</sup> These numbers do not include administrative staff, junior professionals, or consultants. The percentage of female professional staff has steadily increased from FY 2009 (30%), FY 2010 (35%).

<sup>32</sup> Numbers include all full-time staff.

<sup>33</sup> 15 end of appointment and 1 deceased between 07/01/12 and 06/30/2013.

<sup>34</sup> The GEF Policy on Disclosure of Information is being presented at the November 2011 Council for approval. It will provide GEF stakeholders with a reference document that articulates clearly how disclosure is to be approached. This new policy will reinforce efforts being undertaken in response to the replenishment resolutions to make the GEF more efficient and more effective by improving transparency in its operations.

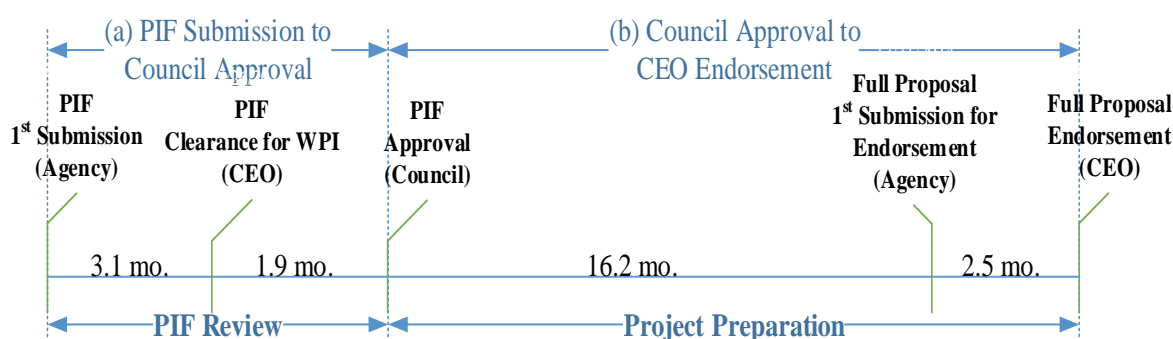
**Complaint:** When a conflict is brought to the attention of the GEF Conflict Resolution Commissioner by one or more of the parties involved. A complaint outlines the alleged facts of the conflict and the basis for which a resolution is sought.

2. Expanded Constituency Workshops (ECWs) <sup>35</sup> :	12	14	10	13 <sup>36</sup>	N/A
(i) Organization of the Workshops (Average out of 5.0 points)	(i) 4.0	(i) 4.3	(i) 4.2	(i) 4.3	N/A
(ii) Usefulness of Participation in Workshops (Average out of 5.0 points)	(ii) 4.4	(ii) 4.5	(ii) 4.4	(ii) 4.4	N/A

## Project Cycle Performance

59. Elapsed time between PIF approval and CEO endorsement: In the GEF project cycle, design of full-sized projects prior to CEO endorsement consists of two major phases as shown in Figure 16 below: (i) PIF review from first submission of the concept for the Secretariat review to approval of the PIF by the GEF Council as part of a work program; and (ii) project preparation from PIF approval by Council to endorsement of the project document by the CEO.

**Figure 16: Average Elapsed Times between Various Milestones during PIF Review and Project Preparation**



<sup>35</sup> The data for ECWs is collected per calendar year (figures under FY11 column reflect Jan-Dec 2011, for FY12 reflect Jan-Dec 2012, and for FY13 reflect Jan-Sept 2013 – three ECWs have yet to take place in 2013). Indicators are rates assigned by responses to two questions from survey given to ECW participants at the end of the workshop (“organization of the workshop” and “usefulness of participation in workshop”). Based on 454 respondents in 2011, 606 in 2012, and 314 in 2013, the overall average between 2011, 2012 and 2013 is highly satisfactory. These positive results reinforce the acknowledgement that GEF ECWs are contributing not only to an improvement of the participants’ understanding of the GEF system, but also to a better collaboration between GEF Agencies, GEF Secretariat and the countries.

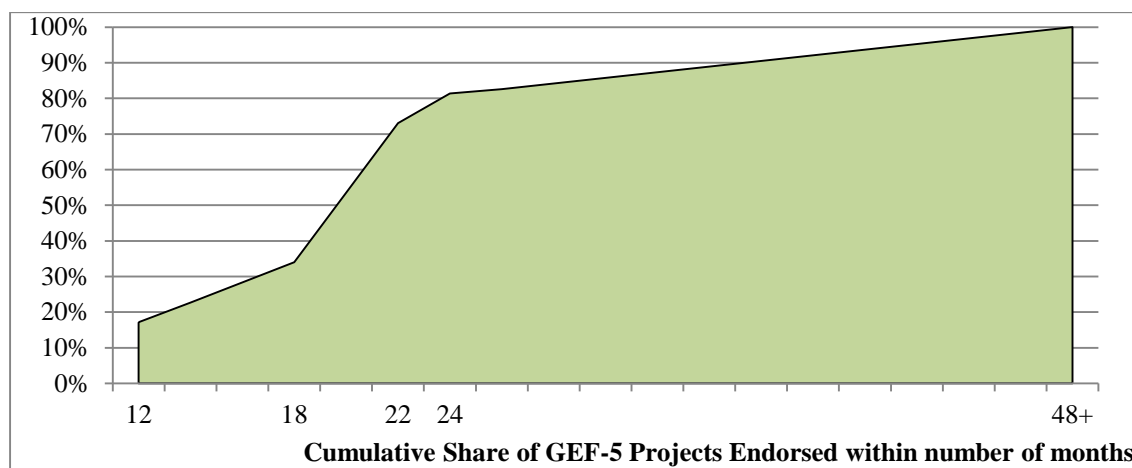
<sup>36</sup> 13 ECW held in FY13 (Jan-Dec). Honduras, Chile, Senegal, Cambodia, Chile, Tajikistan, Rwanda, Congo Rep., Dom. Rep., Zambia, Bosnia & Herzegovina, Nigeria, Samoa and Morocco.



60. Figure 16 above, using available GEF-5 data,<sup>37</sup> shows that the main part of the overall GEF project design period is the project preparation phase, which takes place after approval of the Project Identification Form (PIF). In particular, it shows that this took on average 18.7 months in GEF-5 – an average which may well increase as the projects that have not yet been endorsed will add longer delay periods when they are finally endorsed and enter this data set. Of the project preparation phase, the majority (16.2 months) of the time taken is the period in which the Agency is working with the recipient country directly before submission for CEO endorsement.

61. It is also important to look beyond averages and assess overall distribution. A metric employed by the GEF Evaluation Office in OPS5 is to assess the share of projects that exceed the project time standards (18 months in GEF-5) between PIF approval and CEO endorsement. Figure 17 showed that overall, about 80% of GEF-5 projects were CEO endorsed within 24 months of PIF approval. Nevertheless, only one third of these projects were able to meet the 18-month standard of GEF-5.

**Figure 17: Performance and Time Standards for CEO Endorsement**



62. A key issue that remains a concern therefore is the amount of time it takes to complete the preparation of full-sized projects (FSPs) compared to the established GEF project cycle time-standards. The Secretariat and Agencies recognize that further steps need to be taken to ensure that target time-line standards are met without compromising quality-at-entry. To this end, the GEF Secretariat has been engaged in an ongoing effort to take stock of projects that have exceeded project preparation time standards through tripartite meetings involving the Secretariat, Agencies, and the recipient governments. At the request of the Council, the Secretariat is submitting an updated project cancellation policy to this October Council meeting as a measure that would help resolved the long list of overdue projects<sup>38</sup>.

<sup>37</sup> Analysis was undertaken based on the total number of FSPs (262) for GEF-5 up to a cut-off date of February 2013. The cut-off date for GEF-5 is applied so that PIFs approved within the last 18 months are not included in the sample, following the methodology used by the Independent Evaluation Office. Of these 262 projects, 204 have been CEO endorsed. Of the 58 projects that have not been endorsed, 35 are yet to be submitted to the GEF Secretariat for CEO endorsement review.

<sup>38</sup> Details of the project cancellation policy is included in the Council document GEF/C.47/07, *Improving the GEF Project Cycle*, October 2013.

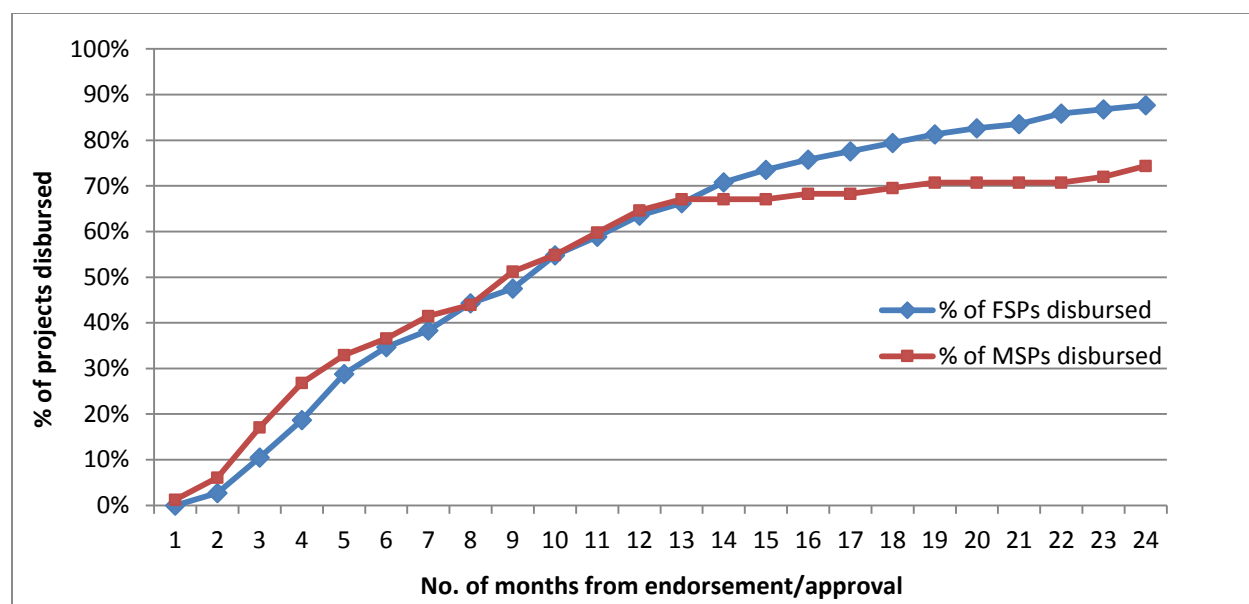
## Time Taken from Endorsement to First Disbursement in GEF-5

63. The GEF Council had requested the Secretariat to present an analysis on the time taken between CEO endorsement/approval and the first disbursement. The analysis presented in this note was undertaken to address this request.

64. The GEF Secretariat requested the agencies to provide data on first disbursement for projects and received relevant data from 10 GEF agencies. All agencies followed the same definition of the first disbursement date: the earliest of the date in which (i) the first transfer/disbursement of GEF funds to the project executing agency takes place or (ii) the first direct payment is made with GEF funds to suppliers of goods and/or services for the project.<sup>39</sup>

65. In GEF- 5, 585 projects (421 FSPs and 164 MSPs) were endorsed /approved. However, this analysis is based on 301 projects (219 FSPs and 82 MSPs) endorsed in FY11 and FY12 (Table 14), so that only the projects with a period of at least two full years since the endorsement are included, which presents a more real picture of disbursed projects. This analysis adopts a methodology that is consistent with the GEF IEO in OPS-5. The results are shown as follows.

**Figure 18: Cumulative distribution of projects disbursed in GEF-5 (FY 11 and FY 12)**



66. Figure 18 presents in Y axis the cumulative percentage of projects for which the first disbursement was made after the endorsement, and presents in X axis the time taken in months up to two years. Thus this Figure gives a general picture of the time taken from the endorsement to the first disbursement for a two year period from FY11 to FY12 by the size of projects. It shows that after one year from the endorsement, the first disbursement was made for 63 percent of FSPs and 65

<sup>39</sup> UNDP has made more clarification on the first disbursement: When a UN agency or National Implementing Partner (NIM) or NGO executes a project (and becomes an Implementing Partner in UNDP language), UNDP policies allow the UN Agency or the National Implementing Partner to spend project funds before receiving the cash advance from UNDP. As Implementing Partners submit financial expenditure reports to UNDP on a quarterly basis, this means that the actual 1st disbursement dates of project funds could be earlier than the dates shown in the UNDP submission.

percent of MSPs. This increases to 88 and 74 percent respectively after two years from the endorsement.

**Table 14: Breakdown of Disbursement by Agency for Endorsed/Approved Projects in GEF-5 (FY11 and FY 12)**

Agencies	GEF Amount (million dollar)	Total no. of projects disbursed	Projects disbursed within 1 year after endorsement			Projects disbursed within 2 years after endorsement			Projects disbursed within 3 years after endorsement		
			No. of project	% of projects disbursed	% of grant amount disbursed	No. of project	% of projects disbursed	% of grant amount disbursed	No. of project	% of projects disbursed	% of grant amount disbursed
UNIDO	95	34	34	100	100	34	100	100	34	100	100
Joint Agency	104	13	10	77	84	12	92	95	12	92	95
UNEP	133	63	48	76	81	49	78	82	51	81	83
FAO	41	13	9	69	73	13	100	100	13	100	100
UNDP	478	100	63	63	41	88	88	57	91	91	59
ADB	47	9	4	44	68	5	56	71	5	56	71
WB	244	52	21	40	47	39	75	83	44	85	93
EBRD	21	3	1	33	44	3	100	100	3	100	100
IADB	34	9	2	22	26	8	89	88	8	89	88
IFAD	12	5	1	20	14	2	40	37	3	60	45
<b>Total</b>	<b>1,208</b>	<b>301</b>	<b>193</b>	<b>64</b>	<b>56</b>	<b>253</b>	<b>84</b>	<b>75</b>	<b>264</b>	<b>88</b>	<b>78</b>

67. Table 14 shows the breakdown of 301 endorsed/approved projects by agency. It indicates that after one year from endorsement, the disbursement rate (in terms of share of projects in the cohort analyzed) among agencies varies. Percentage of projects which disbursed within 2 years after endorsement or approval increased rapidly for some agencies, FAO, UNDP, EBRD, IADB, and WB, representing an 84% overall disbursement rate.

## ANNEX I: AGENCY ADMINISTRATIVE EXPENSES IN FY 14<sup>40</sup>

1. For the FY14 reporting period, GEF Agencies have submitted their Administrative Expenses based on a revised fee reporting matrix.
2. The total administrative expenses used by the nine Agencies, that reported as requested in FY 14 totaled \$80 million. The Agencies used a total of \$69 million (86%) in project cycle and \$11 million (14%) in corporate activities, and management. Variation among agencies was relatively high, with some reporting close to 51% on corporate activities.
3. Please refer to Annex I for the detailed information submitted by each Agency.

ADMINISTRATIVE EXPENSES										
ADB										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
1. GEF Corporate activities:										
a) Policy support	59	29		62,364	8,154		29,460	13,129		113,107
b) Portfolio Management	132	74		72,244	15,976			15,624		103,844
c) Reporting	53	195		15,857	27,080			3,669		46,607
d) Outreach and knowledge sharing	24	181		19,289	26,342			4,061		49,693
e) Support to the GEF Evaluations Office	14	75		10,419	10,972			2,251		23,642
Subtotal	282	554		180,174	88,524		29,460	38,734		336,892
2. GEF Project Cycle management:										
a) Project preparation and approval	378	1,016		310,232	261,488		87,568	65,312		724,600
b) Project supervision, monitoring and evaluation	1,045	94		664,724	52,382		32,418	132,967		882,492
Subtotal	1,423	1,110		974,956	313,870		119,986	198,280		1,607,092
Total	1,705	1,664		1,155,130	402,394		149,446	237,014		1,943,984

<sup>40</sup> UNEP did not submit its administrative expenses for FY14.

ADMINISTRATIVE EXPENSES										
EBRD										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
<b>1. GEF Corporate activities:</b>										
a) Policy support				60,000	0		47,996	16,405		124,401
b) Portfolio Management				45,010	11,950		52,105	15,093		124,158
c) Reporting				27,561	107,703		0	0		135,264
d) Outreach and knowledge sharing				55,121	50,752		0	0		105,874
e) Support to the GEF Evaluations Office				0	0		0	0		0
<b>Subtotal</b>	0	0		187,692	170,406		100,101	31,498		489,697
<b>2. GEF Project Cycle management:</b>										
a) Project preparation and approval				55,100	6,000		100,000	0		161,100
b) Project supervision, monitoring and evaluation				61,398	39,000		200,734	0		239,734
<b>Subtotal</b>	0	0		116,498	45,000		300,734	0		462,232
<b>Total</b>	0	0		116,498	215,406		400,835	31,498		951,928

ADMINISTRATIVE EXPENSES										
FAO										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
1. GEF Corporate activities:										
a) Policy support	341			264,616			25,110	7,897		297,623
b) Portfolio Management	1,070	100		830,320	16,500			8,468		855,288
c) Reporting	55			42,680				425		43,105
d) Outreach and knowledge sharing	140	15		108,640	5,025		55,077	1,687		170,429
e) Support to the GEF Evaluations Office	25			19,400				194		19,594
Subtotal	1,631	115		1,265,656	21,525		80,187	18,671		1,386,039
2. GEF Project Cycle management:										
a) Project preparation and approval	4,344	1,086		3,370,944	293,220		528,715	41,929		4,234,808
b) Project supervision, monitoring and evaluation	1,397	233		1,084,072	58,250		181,605	13,239		1,337,166
Subtotal	5,741	1,319		4,455,016	351,470		710,320	55,168		5,571,974
Total	7,372	1,434		5,720,672	372,995		790,507	73,839		6,958,013

ADMINISTRATIVE EXPENSES										
IDB										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
1. GEF Corporate activities:										
a) Policy support	142	N/A		132,601	32,109		55,698	41,446		261,854
b) Portfolio Management	18	N/A		16,424	56,274		9,613	18,795		101,106
c) Reporting	45	N/A		42,203	39,503		0	20,426		102,132
d) Outreach and knowledge sharing	1	N/A		1,172	12,605		1,407	3,673		18,856
e) Support to the GEF Evaluations Office	0	N/A		78	840		94	239		1,252
Subtotal	206	N/A		192,477	141,332		66,811	84,579		485,200
2. GEF Project Cycle management:										
a) Project preparation and approval	516	N/A		488,413	130,850		28,781	122,103		770,147
b) Project supervision, monitoring and evaluation	584	N/A		400,517	925,447		124,449	100,214		1,550,628
Subtotal	1,099	N/A		888,930	1,056,297		153,230	222,318		2,320,775
Total	1,306	N/A		1,081,408	1,197,629		220,041	306,897		2,805,975

ADMINISTRATIVE EXPENSES										
IFAD										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
1. GEF Corporate activities:										
a) Policy support				0	3,560		10,197	2,545		16,302
b) Portfolio Management				10,931	45,791		27,267	0		83,988
c) Reporting				0	5,335		49,170	18,594		73,099
d) Outreach and knowledge sharing				0	8,157		9,832	497		18,486
e) Support to the GEF Evaluations Office				0	0		0	0		0
Subtotal	0	0		10,931	62,842		96,466	21,636		191,874
2. GEF Project Cycle management:										
a) Project preparation and approval				85,089	177,380		81,085	0		343,554
b) Project supervision, monitoring and evaluation				376,209	558,999		196,983	2,717		1,134,908
Subtotal	0	0		461,298	736,380		278,068	2,717		1,478,463
Total	0	0		472,229	799,222		374,534	24,353		1,670,337



ADMINISTRATIVE EXPENSES										
UNIDO										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
<b>1. GEF Corporate activities:</b>										
a) Policy support	198	2		137,937	13,137		41,000	102,041		294,115
b) Portfolio Management	295	68		138,513	16,316		45,000	152,031		351,860
c) Reporting	103	19		71,758	4,794		786	53,082		130,420
d) Outreach and knowledge sharing	111	120		88,873	28,791		54,000	57,205		228,869
e) Support to the GEF Evaluations Office	41	17		36,266	3,931		0	21,130		61,327
<b>Subtotal</b>	748	226		473,347	66,969		140,678	385,489		1,066,483
<b>2. GEF Project Cycle management:</b>										
a) Project preparation and approval	1,911	834		1,349,979	194,926		563,003	984,853		3,092,761
b) Project supervision, monitoring and evaluation	2,972	377		2,093,672	98,767		375,336	1,531,650		4,099,425
<b>Subtotal</b>	4,883	1,211		3,443,651	293,693		938,339	2,516,503		7,192,186
<b>Total</b>	5,631	1,437		3,916,998	360,662		1,079,017	2,902,000		8,258,668

ADMINISTRATIVE EXPENSES										
UNDP										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs		Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)		(US\$)
<b>1. GEF Corporate activities:</b>										
a) Policy support	1,128	76		922,436	37,785		79,283	54,556		1,094,061
b) Portfolio Management	1,499	0		1,300,613	0		0	74,921		1,375,535
c) Reporting	491	79		377,543	39,400		7,234	384,219		808,396
d) Outreach and knowledge sharing	535	169		410,932	84,576		46,039	28,956		570,503
e) Support to the GEF Evaluations Office	439	0		324,754	0		9,757	107,578		442,090
<b>Subtotal</b>	4,092	324		3,336,278	161,761		142,313	650,231		4,290,584
<b>2. GEF Project Cycle management:</b>										
a) Project preparation and approval	22,411	1,408		10,592,133	399,197		1,158,853	657,953		12,808,137
b) Project supervision, monitoring and evaluation	46,112	2,723		15,905,077	687,818		1,492,918	1,539,525		19,625,337
<b>Subtotal</b>	68,523	4,131		26,497,211	1,087,015		2,651,771	2,197,478		32,433,474
<b>Total</b>	72,615	4,454		29,833,489	1,248,776		2,794,084	2,847,709		36,724,058
1. Staff time multiplied by total salary costs (per staff day) to the agency, excluding overhead costs, e.g. using average costs per category of staff.										
2. Includes tickets and per diem										
3. Overhead costs include office space, utilities, etc.										

ADMINISTRATIVE EXPENSES									
AFDB									
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs	Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)	(US\$)
1. GEF Corporate activities:									
a) Policy support	20	30		964	1,714		4,000	2,000	8,679
b) Portfolio Management	280	70		13,500	4,000		15,000	50,000	82,500
c) Reporting	80			3,857			30,000	12,000	45,857
d) Outreach and knowledge sharing	280	90		13,500	5,143		12,000	3,000	33,643
e) Support to the GEF Evaluations Office	40			2,286			5,000	12,000	19,286
Subtotal	700	190		34,107	10,857		66,000	79,000	189,964
2. GEF Project Cycle management:									
a) Project preparation and approval	2,240	1,120		216,000	64,000		60,000	40,000	380,000
b) Project supervision, monitoring and evaluation	560	200		27,000	11,429		40,000	12,000	90,429
Subtotal	2,800	1,320		243,000	75,429		100,000	52,000	470,429
Total	3,500	1,510		277,107	86,286		166,000	131,000	660,393

ADMINISTRATIVE EXPENSES										
World Bank										
GEF Fiscal Year (July 13-June 14)	Staff time	Consultant time		Staff cost	Consultant cost		Travel costs	General Operating Costs	Other Costs	Total Cost
Estimated actual administrative costs	(days)	(days)		(US\$)	(US\$)		(US\$)	(US\$)	(US\$)	(US\$)
<b>1. GEF Corporate activities:</b>										
a) Policy support	355			345,969			15,529	39,733	1,899	403,129
b) Portfolio Management	892			823,945				102,331	2,014	928,293
c) Reporting										0
d) Outreach and knowledge sharing	157			114,388			4,270	17,237	12,815	148,710
e) Support to the GEF Evaluations Office				1,687				262		1,949
<b>Subtotal</b>	1403	0		1,285,992	0		19,799	159,563	16,728	1,482,081
<b>2. GEF Project Cycle management:</b>										
a) Project preparation and approval	N/A			2,316,760	454,754		963,825	423,361	244,828	4,403,528
b) Project supervision, monitoring and evaluation	N/A			4,254,201	593,827		1,237,225	656,517	287,142	7,028,912
c) Other	N/A			934,867	47,596		95,751	134,641	161,622	1,374,477
<b>Subtotal</b>	0	0		7,505,828	1,096,177		2,296,801	1,214,519	693,592	12,806,917
<b>Total</b>	1403	0		8,791,820	1,096,177		2,316,600	1,347,082	710,320	14,288,998
<ol style="list-style-type: none"> <li>1. N/A = Data is not available, because it requires: (a) significant effort to obtain data; (b) building GEF-specific reports at additional cost; and/or (c) SAP or other WB data systems does not track data.</li> <li>2. The above expenses include expenses for the SCCF and LDC programs which are tracked and processed similar to the GEF but are maintained separately.</li> <li>3. Indirect costs are reported as General Operating Costs.</li> <li>4. Corporate costs include: (a) Legal costs for policy support (b) Disbursement unit costs; (c) costs for TF Accounting unit; expenses for (b) and (c) are reported under portfolio mgmt.</li> <li>5. Other costs under Project Cycle Management include Regional Coordination, Thematic Specialists, umbrella program management, and other non-project related but are project cycle activities.</li> <li>6. Audit costs of \$44,536 for FY13 is included under Portfolio Management costs.</li> </ol>										

## ANNEX II: OPERATIONALLY CLOSED PROJECTS IN FY14

No.	Agency	GEF ID	Focal Area	Region	Project Size	DO Rating	IP Rating
1	ADB	1126	BD	EAP	FSP	S	S
2	ADB	3484	LD	EAP	FSP	S	S
3	FAO	3410	BD	LAC	MSP	S	S
4	IDB	3875	CC	LAC	MSP	MU	MU
5	IDB/WB	4219	CC	LAC	MSP	HS	HS
6	IFAD	1152	BD	AFR	FSP	S	S
7	IFAD	2373	LD	LAC	FSP	HS	S
8	IFAD	3379	LD	AFR	FSP	MS	MS
9	IFAD	3567	LD	AFR	FSP	S	S
10	UNEP	2806	MFA	ECA	MSP	HS	S
11	UNEP	3183	BD	LAC	FSP	N/A	N/A
12	UNEP	3629	BD	LAC	MSP	N/A	N/A
13	UNEP	3707	MFA	Global	MSP	S	S
14	UNEP	3790	BD	LAC	FSP	N/A	N/A
15	UNEP	4010	BD	EAP	MSP	S	HS
16	UNEP	4527	MFA	Global	MSP	N/A	N/A
17	UNEP	4543	BD	Global	MSP	N/A	N/A
18	UNIDO	2875	POPs	ECA	MSP	S	S
19	UNIDO	3572	POPs	EAP	MSP	S	S
20	UNIDO	3573	POPs	EAP	MSP	S	MS
21	UNIDO	4446	POPs	EAP	FSP	MS	MS
22	World Bank	3960	MFA	AFR	MSP	S	S
23	World Bank	1234	BD	AFR	FSP	S	S
24	World Bank	2794	LD	AFR	FSP	MS	MS
25	World Bank	3961	BD	AFR	MSP	S	S
26	World Bank	1273	BD	AFR	FSP	S	S
27	World Bank	3817	BD	AFR	MSP	MS	MS
28	World Bank	3373	MFA	AFR	FSP	MU	MS
29	World Bank	3375	LD	AFR	FSP	S	MU
30	World Bank	2003	BD	AFR	FSP	S	S
31	World Bank	2889	LD	AFR	FSP	S	S
32	World Bank	3384	LD	AFR	FSP	S	S
33	World Bank	2918	CC	AFR	FSP	S	S
34	World Bank	1894	CC	AFR	FSP	S	S
35	World Bank	3668	BD	AFR	MSP	S	S
36	World Bank	1892	CC	EAP	FSP	MS	MS

37	World Bank	2979	IW	EAP	FSP	S	S
38	World Bank	2952	CC	EAP	FSP	S	MS
39	World Bank	1532	CC	EAP	FSP	S	S
40	World Bank	2759	IW	EAP	FSP	MU	MU
41	World Bank	2761	MFA	EAP	FSP	MS	MU
42	World Bank	4210	CC	EAP	MSP	S	MS
43	World Bank	2374	CC	EAP	FSP	S	S
44	World Bank	3281	POPs	ECA	FSP	S	U
45	World Bank	2372	BD	ECA	FSP	S	S
46	World Bank	2354	LD	ECA	FSP	S	S
47	World Bank	2000	CC	IFC	FSP	N/A	N/A
48	World Bank	2618	BD	IFC	FSP	N/A	N/A
49	World Bank	2950	CC	IFC	FSP	N/A	N/A
50	World Bank	2902	CC	LCR	FSP	S	S
51	World Bank	3676	BD	LCR	MSP	S	S
52	World Bank	1476	MFA	LCR	FSP	MS	MS
53	World Bank	2884	BD	LCR	FSP	MS	MS
54	World Bank	3227	CC	LCR	FSP	S	S
55	World Bank	1214	BD	MNA	FSP	S	S
56	World Bank	3671	CC	MNA	MSP	MS	MU
57	World Bank	3470	MFA	SAR	FSP	S	S
58	World Bank	3471	MFA	SAR	FSP	S	S
59	World Bank	3468	MFA	SAR	MSP	S	S

### ANNEX III: OVERDUE PROJECTS ACCORDING TO STANDARD PREPARATION TIME LIMITS

1. All projects listed in this Annex have passed the due date for CEO approval or endorsement and will continue to be in this list until they completed the approval or endorsement stage. The last column shows where the projects are pending and expected action can either be from the Agencies or from the GEF Secretariat.

**Overdue Report as of September 3, 2014**

#### Full-sized projects

GEF ID	Funding Source	GEF Phase	Focal Area	Country Name	GEF Agency	Council PIF Approval Date	Due Date	Overdue Months	Last action by	Decision sought	Current status
3982	GET	GEF - 4	POPs	Kazakhstan	World Bank	3/17/2010	9/8/2011	36	GEFSEC		No submission
4112	GET	GEF - 4	CC	Morocco	AfDB	3/17/2010	4/30/2012	28	GEFSEC	CEO endorsement	Review Sheet sent to Agency for FSP revision
4683	GET	GEF - 5	CC	Russian Federation	EBRD	11/10/2011	5/10/2013	16	GEFSEC	CEO endorsement	Review Sheet sent to Agency for FSP revision
4611	GET	GEF - 5	POPs	Regional	UNDP	11/10/2011	5/10/2013	16	GEFSEC	CEO endorsement	Review Sheet sent to Agency for FSP revision
4634	GET	GEF - 5	MFA	Ukraine	UNEP	11/10/2011	5/9/2013	16	GEFSEC	Project is scheduled for tripartite meeting	No submission

<b>4641</b>	GET	GEF - 5	POPs	Cameroon	FAO	2/29/2012	8/29/2013	<b>12</b>	Agency	CEO endorsement	Under GEFSEC's review
<b>4740</b>	GET	GEF - 5	POPs	Regional	FAO	2/29/2012	8/29/2013	<b>12</b>	GEFSEC	CEO endorsement	Review sheet sent back to Agency for FSP revision on 4 March 2014.
<b>5140</b>	GET	GEF - 5	CC	Mexico	UNDP	2/29/2012	8/29/2013	<b>12</b>	GEFSEC	CEO endorsement	CEO endorsed on Sep 8, 2014
<b>4932</b>	GET	GEF - 5	MFA	Regional	UNEP	2/29/2012	8/29/2013	<b>12</b>	Agency	CEO endorsement	Under GEFSEC's review
<b>4953</b>	GET	GEF - 5	MFA	Regional	AfDB	6/7/2012	12/7/2013	<b>9</b>	GEFSEC		No submission
<b>4583</b>	GET	GEF - 5	MFA	Turkey	FAO	6/7/2012	12/7/2013	<b>9</b>	Agency	CEO endorsement	Under GEFSEC's review
<b>4632</b>	GET	GEF - 5	MFA	China	FAO	6/7/2012	12/7/2013	<b>9</b>	GEFSEC		No submission
<b>4662</b>	GET	GEF - 5	BD	China	FAO	6/7/2012	12/7/2013	<b>9</b>	GEFSEC	CEO endorsement	CEO endorsed on Sep 5, 2014
<b>4768</b>	GET	GEF - 5	BD	Argentina	FAO	6/7/2012	12/7/2013	<b>9</b>	GEFSEC		No submission
<b>4800</b>	GET	GEF - 5	MFA	Cameroon	FAO	6/7/2012	12/7/2013	<b>9</b>	GEFSEC		No submission



4852	GET	GEF - 5	BD	Costa Rica	IADB	6/7/2012	12/7/2013	9	GEFSEC		No submission
4859	GET	GEF - 5	MFA	Brazil	IADB	6/7/2012	12/7/2013	9	GEFSEC		No submission
4483	GET	GEF - 5	IW	Regional	UNDP	6/7/2012	12/7/2013	9	Agency	CEO endorsement	Under GEFSEC's review
4658	GET	GEF - 5	IW	Russian Federation	UNDP	6/7/2012	12/7/2013	9	GEFSEC	CEO endorsement	Document circulating for clearance/signature
4677	GET	GEF - 5	MFA	Thailand	UNDP	6/7/2012	12/7/2013	9	GEFSEC		No submission
4840	GET	GEF - 5	CC	Sierra Leone	UNDP	6/7/2012	12/7/2013	9	Agency	CEO endorsement	Under GEFSEC's review
4665	GET	GEF - 5	MFA	Russian Federation	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission
4668	GET	GEF - 5	POPs	Regional	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission
4795	GET	GEF - 5	MFA	Russian Federation	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission
4970	GET	GEF - 5	MFA	Cote d'Ivoire	UNEP	6/7/2012	12/7/2013	9	Agency	CEO endorsement	Under GEFSEC's review
5057	GET	GEF - 5	MFA	St. Lucia	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission

4892	GET	GEF - 5	BD	Indonesia	UNDP	6/7/2012	11/29/2013	9	GEFSEC		FSP transferred from WB to UNDP in early March 2014, thus dead line for CEO endorsement submission extended to Dec 2014
4508	GET	GEF - 5	POPs	Algeria	UNIDO	3/22/2013	3/22/2014	5	GEFSEC		Cancelled
5005	GET	GEF - 5	MFA	Vietnam	ADB	11/15/2012	5/15/2014	4	GEFSEC	CEO endorsement	Review Sheet sent to Agency for FSP revision
4922	GET	GEF - 5	LD	Global	FAO	11/15/2012	5/15/2014	4	GEFSEC	CEO endorsement	Review sheet sent back to Agency for FSP revision
4968	GET	GEF - 5	MFA	Chile	FAO	11/15/2012	5/15/2014	4	GEFSEC		No submission
5109	GET	GEF - 5	POPs	Malawi	FAO	11/15/2012	5/15/2014	4	Agency	CEO endorsement	Under GEFSEC's review
5113	MTF	GEF - 5	CC	Regional	FAO	11/15/2012	5/15/2014	4	GEFSEC		No submission
4880	GET	GEF - 5	CC	Regional	IADB	11/15/2012	5/15/2014	4	Agency	CEO endorsement	Under GEFSEC's review
4477	GET	GEF - 5	POPs	Pakistan	UNDP	11/15/2012	5/15/2014	4	GEFSEC	CEO endorsement	Review Sheet sent to Agency for FSP revision

4737	GET	GEF - 5	POPs	Armenia	UNDP	11/15/2012	5/15/2014	4	GEFSEC		No submission
5062	GET	GEF - 5	BD	Comoros	UNDP	11/15/2012	5/15/2014	4	GEFSEC	CEO endorsement	FSP circulated to Council on August 13, 2014; due for CEO endorsement.
4764	GET	GEF - 5	MFA	Regional	UNEP	11/15/2012	5/15/2014	4	GEFSEC		No submission
4796	GET	GEF - 5	MFA	Russian Federation	UNEP	11/15/2012	5/15/2014	4	GEFSEC		No submission
4947	GET	GEF - 5	CC	China	World Bank	5/1/2013	5/1/2014	4	GEFSEC		No submission
4881	GET	GEF - 5	POPs	Regional	UNEP	2/29/2012	5/31/2014	3	GEFSEC		No submission
4427	GET	GEF - 5	CC	Russian Federation	World Bank	5/29/2013	5/29/2014	3	GEFSEC	CEO endorsement	FSP sent back to Agency for revision
5582	GET	GEF - 5	CC	China	ADB	11/10/2011	6/30/2014	2	GEFSEC	CEO endorsement	Review Sheet sent to Agency for FSP revision
4886	GET	GEF - 5	POPs	Regional	UNEP	6/25/2009	6/30/2014	2	GEFSEC		No submission
4905	GET	GEF - 5	MFA	Cambodia	UNEP	3/29/2011	6/30/2014	2	GEFSEC		No submission
4927	GET	GEF - 5	CC	India	World Bank	7/17/2013	7/17/2014	2	GEFSEC		No submission

4942	GET	GEF - 5	MFA	India	World Bank	7/17/2013	7/17/2014	2	GEFSEC		No submission
4964	GET	GEF - 5	MFA	Russian Federation	World Bank	7/1/2013	7/1/2014	2	GEFSEC		No submission
5069	GET	GEF - 5	MFA	Grenada	UNDP	2/7/2013	8/7/2014	1	GEFSEC	CEO endorsement	CEO endorsed on Sep 12, 2014
4612	GET	GEF - 5	POPs	India	UNIDO/UNEP	8/1/2013	8/1/2014	1	Agency	CEO endorsement	Under GEFSEC's review
4921	GET	GEF - 5	CC	India	World Bank	8/16/2013	8/16/2014	1	Agency	CEO endorsement	Under GEFSEC's review
4651	GET	GEF - 5	BD	China	World Bank	7/25/2013	7/25/2014	1	GEFSEC		No submission
4602	GET	GEF - 5	ODS	Azerbaijan	UNIDO	8/23/2013	8/23/2014	0	GEFSEC	CEO endorsement	FSP sent to Agency for revision
4866	GET	GEF - 5	CC	China	UNIDO	8/28/2013	8/28/2014	0	Agency	CEO endorsement	Under GEFSEC's review
4873	GET	GEF - 5	CC	Tanzania	UNIDO	8/21/2013	8/21/2014	0	GEFSEC	CEO endorsement	FSP sent to Agency for revision
4893	GET	GEF - 5	CC	India	UNIDO	8/28/2013	8/28/2014	0	GEFSEC	CEO endorsement	FSP sent to Agency for revision
4902	GET	GEF - 5	CC	Macedonia	UNIDO	8/22/2013	8/22/2014	0	GEFSEC	CEO endorsement	FSP sent to Agency for revision
4918	GET	GEF - 5	CC	India	World Bank	8/20/2013	8/20/2014	0	GEFSEC		No submission

### Medium-sized projects

GEF ID	Funding Source	GEF Phase	Focal Area	Country Name	GEF Agency	CEO PIF Approval Date	Due Date	Overdue Months	Last action by	Decision sought	Current status
5287	GET	GEF - 5	CC	Panama	UNEP	6/7/2012	12/7/2013	9	GEFSEC	CEO approval	MSP sent back to Agency for revision
5290	GET	GEF - 5	BD	Venezuela	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission
5295	GET	GEF - 5	MFA	Cambodia	UNDP	6/7/2012	12/7/2013	9	Agency	CEO approval	Under GEFSEC's review
5310	GET	GEF - 5	IW	Regional	UNDP	6/7/2012	12/7/2013	9	Agency	CEO approval	Under GEFSEC's review
5344	GET	GEF - 5	CC	Cabo Verde	UNDP	6/7/2012	12/7/2013	9	GEFSEC		No submission
5450	GET	GEF - 5	CC	Global	UNDP	6/7/2012	12/7/2013	9	GEFSEC	CEO approval	MSP sent back to Agency for revision
5512	GET	GEF - 5	BD	Thailand	UNDP	6/7/2012	12/7/2013	9	GEFSEC	CEO approval	CEO approved on Sep 3, 2014
5144	GET	GEF - 5	POPs	Uruguay	FAO	6/7/2012	12/7/2013	9	GEFSEC		No submission
5197	GET	GEF - 5	MFA	St. Lucia	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission
5210	GET	GEF - 5	BD	Cameroon	UNEP	6/7/2012	12/7/2013	9	GEFSEC		No submission

5454	NPIF	GEF - 5	BD	Regional	UNEP	2/21/2013	2/21/2014	6	GEFSEC	CEO approval	MSP sent to Agency for revision
5354	GET	GEF - 5	LD	Madagascar	UNEP	11/15/2012	5/15/2014	4	GEFSEC		No submission
5355	GET	GEF - 5	BD	Moldova	UNDP	11/15/2012	5/15/2014	4	GEFSEC		No submission
5424	GET	GEF - 5	CC	Congo	UNDP	11/15/2012	5/15/2014	4	GEFSEC		No submission
5448	NPIF	GEF - 5	BD	Bhutan	UNDP	11/15/2012	5/15/2014	4	GEFSEC	CEO approval	CEO approved on Sep 12, 2014
4065	GET	GEF - 4	BD	Turkmenistan	UNEP	11/15/2012	5/15/2014	4	GEFSEC	CEO approval	MSP sent back to Agency for revision
5041	GET	GEF - 5	MFA	Guinea	UNDP	11/15/2012	5/15/2014	4	Agency	CEO approval	Under GEFSEC's review
5392	GET	GEF - 5	BD	Iraq	UNEP	6/11/2013	6/11/2014	3	GEFSEC		No submission
5483	GET	GEF - 5	BD	Armenia	UNEP	6/10/2013	6/10/2014	3	GEFSEC		No submission
5038	GET	GEF - 5	POPs	Armenia	UNIDO	7/17/2013	7/17/2014	2	GEFSEC	CEO approval	MSP sent back to Agency for revision
5325	GET	GEF - 5	POPs	Congo	UNIDO	7/17/2013	7/17/2014	2	GEFSEC		No submission
5099	GET	GEF - 5	BD	Bangladesh	UNDP	11/10/2011	6/30/2014	2	GEFSEC	CEO approval	MSP approved on Sep 10, 2014